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Houston Area Comprehensive HIV Prevention and Care Services Plan 2017 - 2021

Capturing the community's vision for an ideal system of HIV prevention and care for the Houston Area

HOUSTON EMA HIV CARE CONTINUUM

What is the Care Continuum?

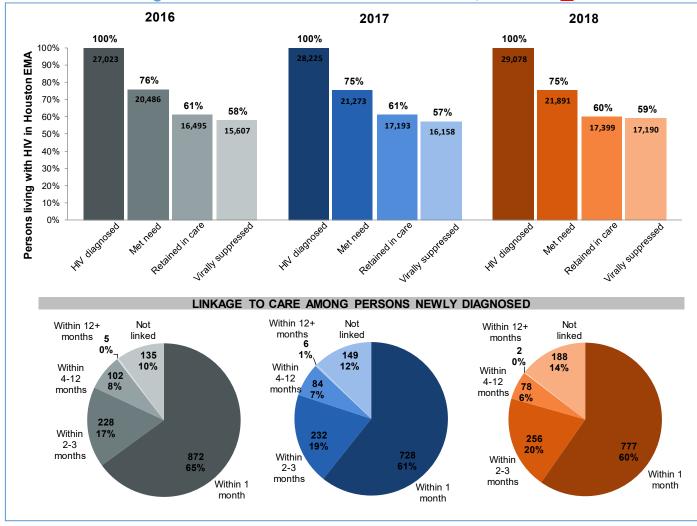
The HIV Care Continuum, previously known as a Treatment Cascade, was first released in 2012 by the <u>Centers for Disease Control and Prevention (CDC)</u>. It represents the sequential stages of HIV care, from being diagnosed with HIV to suppressing the HIV virus through treatment. Ideally, the Care Continuum describes a seamless system of HIV prevention and care services, in which people living with HIV (PLWH) receive the full benefit of HIV treatment by being diagnosed, linked to care, retained in care, and taking HIV medications as prescribed to achieve viral suppression.

The Houston Care Continuum (HCC)

The HCC is a diagnosis-based continuum. The HCC reflects the number of PLWH who have been diagnosed ("HIV diagnosed"); and among the diagnosed, the numbers and proportions of PLWH with records of engagement in HIV care ("Met Need"), retention in care ("Retained in Care"), and viral suppression ("Virally Suppressed") within a calendar year. Although retention in care is a significant factor for PLWH to achieve viral suppression, 'Virally Suppressed' also includes those PLWH in the <u>Houston EMA</u> whose most recent viral load test of the calendar year was <200 copies/mL but who did not have evidence of retention in care.

Linking newly diagnosed individuals into HIV medical care as quickly as possible following initial diagnosis is an essential step to improved health outcomes. In the HCC, initial linkage to HIV medical care ("Linkage to Care") is presented separately as the proportion of *newly* diagnosed PLWH in the Houston EMA who were successfully linked to medical care within one month, three months or within one year after diagnosis.

Figure 1: Houston EMA HIV Care Continuum, 2016-2018**



Source: Bureau of Epidemiology and Bureau of HIV/STD and Viral Hepatitis Prevention, Houston Health Department, 2020

| Measure | Measure Description | |
|----------------------------|---|--|
| HIV diagnosed | No. of persons living with HIV (PLWH) residing in Houston EMA through end of year (alive) | Texas eHARS data |
| Met need | No. (%) of PLWH in Houston EMA with met need (at least one: medical visit, ART prescription, or CD4/VL test) in year | |
| Linked to care (pie chart) | No. (%) of newly diagnosed PLWH in Houston EMA who were linked to medical care ("Met need") within N months of their HIV diagnosis | Texas DSHS HIV Unmet Need Project (incl. eHARS, ELR, ARIES, ADAP, Medicaid, private payer data) |
| Retained in care | No. (%) of PLWH in Houston EMA with at least 2 medical visits, ART prescriptions, or CD4/VL tests in year, at least 3 months apart | |
| Virally suppressed | No. (%) of PLWH in Houston EMA whose last viral load test of the year was ≤200 copies/mL | Texas ELRs, ARIES labs, ADAP labs |

From 2016-2018, the total number of persons diagnosed with HIV increased each year and the percentage of those with met need, retention, and viral suppression remained relatively constant.

• The percentage of newly diagnosed PLWH linked to care within one month of diagnosis decreased from 65% to 60% from 2016 to 2018.

Disparities in Engagement among Key Populations

Multiple versions of the HCC have been created to illustrate engagement disparities and service gaps that key populations encounter in the Houston EMA.

It is important to note that available data used to construct each version of the Houston HCC do not portray the need for activities to increase testing, linkage, retention, ART access, and viral suppression among many other at-risk key populations, such as those who are intersex, experiencing homelessness, or those recently released from incarceration.

The Houston EMA Care Continuum, by Age

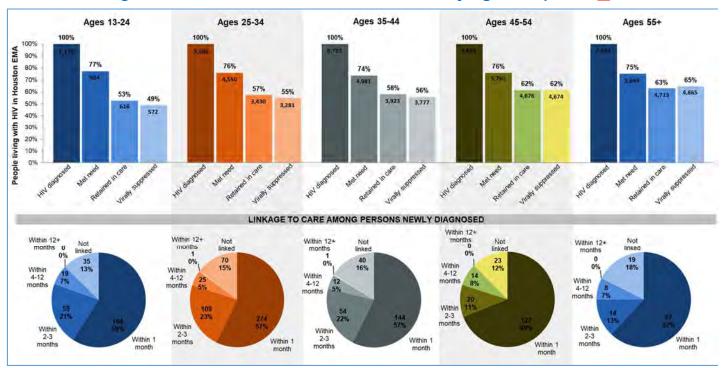
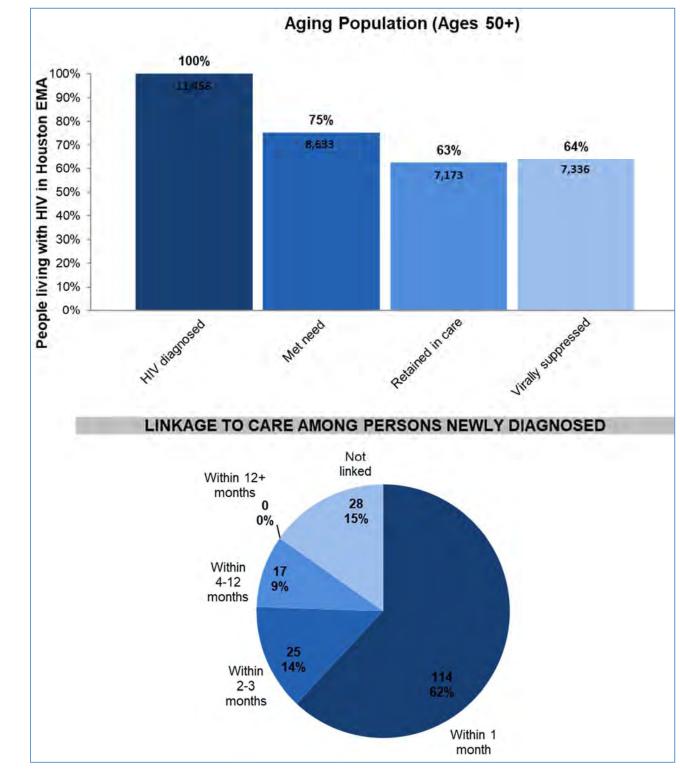


Figure 2: Houston EMA HIV Care Continuum by Age Group, 2018**

Source: Bureau of Epidemiology and Bureau of HIV/STD and Viral Hepatitis Prevention, Houston Health Department, 2020

Figure 3: Houston EMA HIV Care Continuum by Age Group, 2018**



Source: Bureau of Epidemiology and Bureau of HIV/STD and Viral Hepatitis Prevention, Houston Health Department, 2020

- Younger adults had lower percentages of retention and viral suppression compared to older adults.
- Middle age adults (25-44 years old) had the lowest proportion of newly diagnosed PLWH who were linked to care within one month of diagnosis when compared to other age groups.

The Houston EMA Care Continuum, by Sex Assigned at Birth/Current Gender

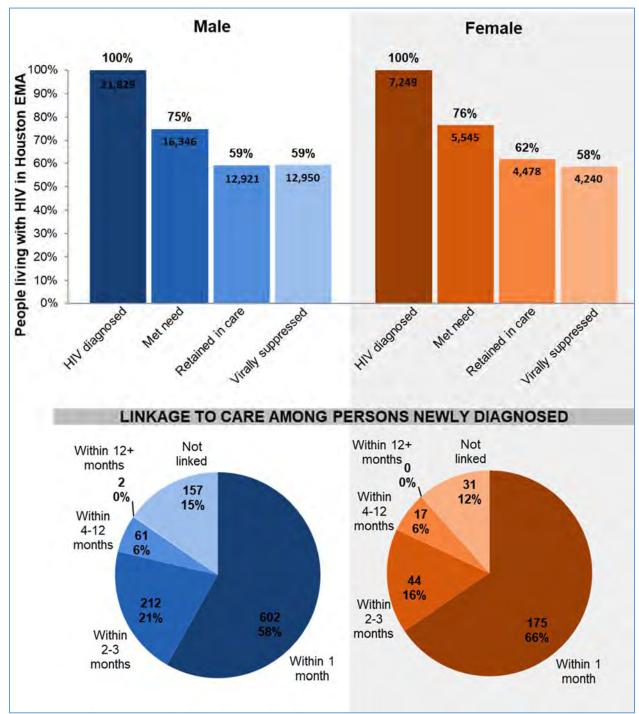
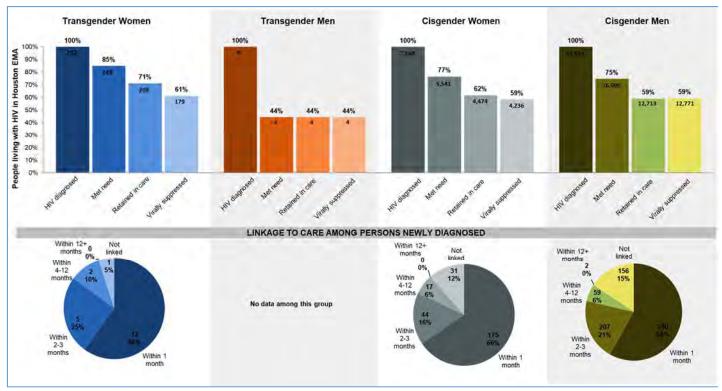


Figure 4: Houston EMA HIV Care Continuum by Sex Assigned at Birth, 2018**

Source: Bureau of Epidemiology and Bureau of HIV/STD and Viral Hepatitis Prevention, Houston Health Department, 2020

- Females living with HIV in the Houston EMA in 2018 had a slightly higher proportion of individuals with met need and retention in care than males living with HIV, although females had a slightly smaller proportion of viral suppression.
- The proportion of newly diagnosed females linked to care within the first month after diagnosis was higher than males (66% vs. 58%).



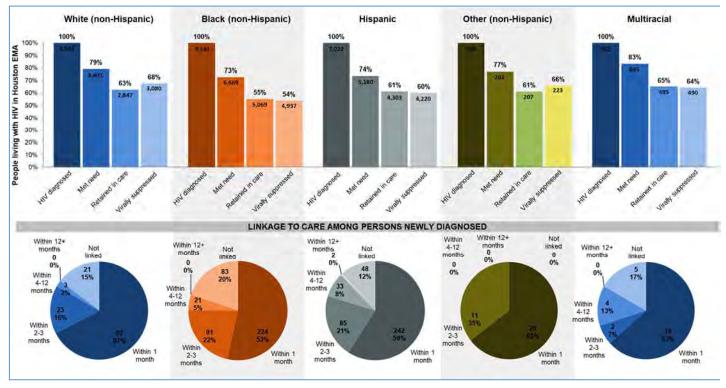


Source: Bureau of Epidemiology and Bureau of HIV/STD and Viral Hepatitis Prevention, Houston Health Department, 2020

- Transgender women living with HIV in the Houston EMA in 2018 had the highest proportion of individuals with met need, retention in care, and viral suppression.
- Transgender men living with HIV in the Houston EMA in 2018 had the lowest proportion of individuals with met need, retention in care, and viral suppression. Extreme caution should be exercised in interpretation, however, due to the very small numbers of transgender men represented in this data.
- The proportion of newly diagnosed people linked to care within the first month after diagnosis was lower for transgender women compared to cisgender women. However, there were few transgender individuals represented in the data and percentages can vary widely with small increases/decreases.

The Houston EMA Care Continuum, by Sex Assigned at Birth and Race/Ethnicity

Figure 6: Houston EMA HIV Care Continuum by Sex Assigned at Birth = Male and Race/Ethnicity, 2018<u>**</u>



Source: Bureau of Epidemiology and Bureau of HIV/STD and Viral Hepatitis Prevention, Houston Health Department, 2020

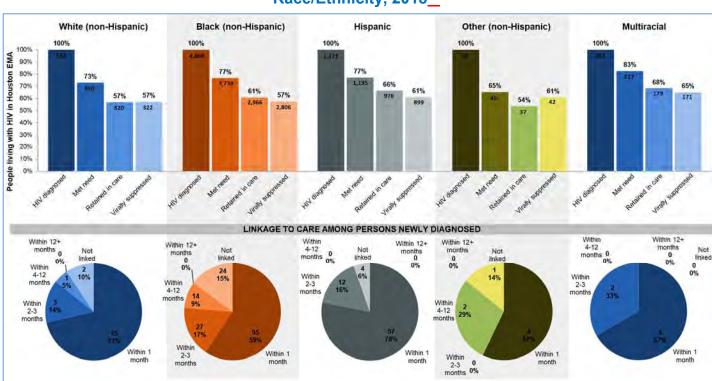


Figure 7: Houston EMA HIV Care Continuum by Sex Assigned at Birth = Female and Race/Ethnicity, 2018<u>**</u>

Source: Bureau of Epidemiology and Bureau of HIV/STD and Viral Hepatitis Prevention, Houston Health Department, 2020

- Compared to White (non-Hispanic) and multiracial males, all other males living with HIV had lower proportions of met need, retention in care, and viral suppression in 2018.
- Among females, Other (non-Hispanic) PLWH had the lowest proportion of individuals with evidence of met need and retention in care while Black and White (non-Hispanic) PLWH had the lowest proportion of individuals with evidence of viral suppression.
- Among those newly diagnosed with HIV, Hispanic females and White (non-Hispanic) males had the highest proportion linked to care within 1 month of diagnosis.
- Overall, Other (non-Hispanic) females living with HIV had the lowest proportion of individuals with met need across all birth sex and race/ethnicity groups. However, this group had few individuals and percentages can vary widely with small increases/decreases. White (non-Hispanic) females and Black (non-Hispanic) males living with HIV had the next lowest proportion of individuals with met need.
- Overall, Other (non-Hispanic) females living with HIV had the lowest proportion of individuals retained in care across all birth sex and race/ethnicity groups. However, this group had few individuals and percentages can vary widely with small increases/decreases. Black (non-Hispanic) males living with HIV had the next lowest proportion of individuals retained in care.
- Overall, Black (non-Hispanic) males living with HIV had the lowest proportion of individuals virally suppressed across all birth sex and race/ethnicity groups. White (non-Hispanic) males living with HIV had the highest proportion of individuals virally suppressed.

The Houston EMA Care Continuum, by Transmission Risk Factor*

*Transmission risk factors that are associated with increased risk of HIV exposure and transmission include men who have sex with men (MSM), people who inject drugs (PWID), MSM who also inject drugs (MSM/PWID), and heterosexual exposure.

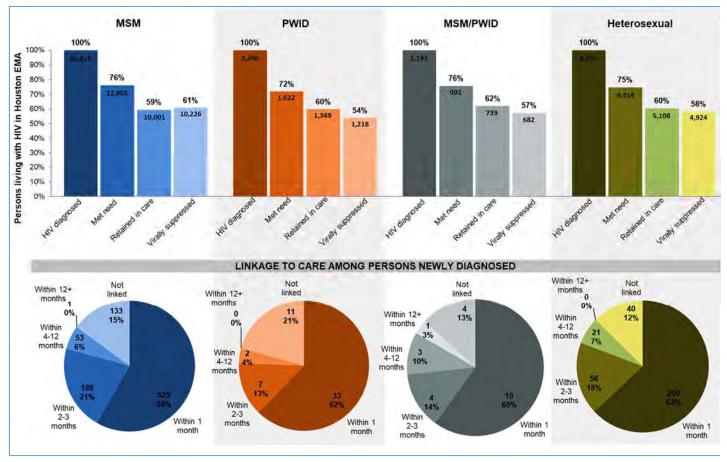


Figure 8: Houston EMA HIV Care Continuum by Transmission Risk, 2018**

Source: Bureau of Epidemiology and Bureau of HIV/STD and Viral Hepatitis Prevention, Houston Health Department, 2020

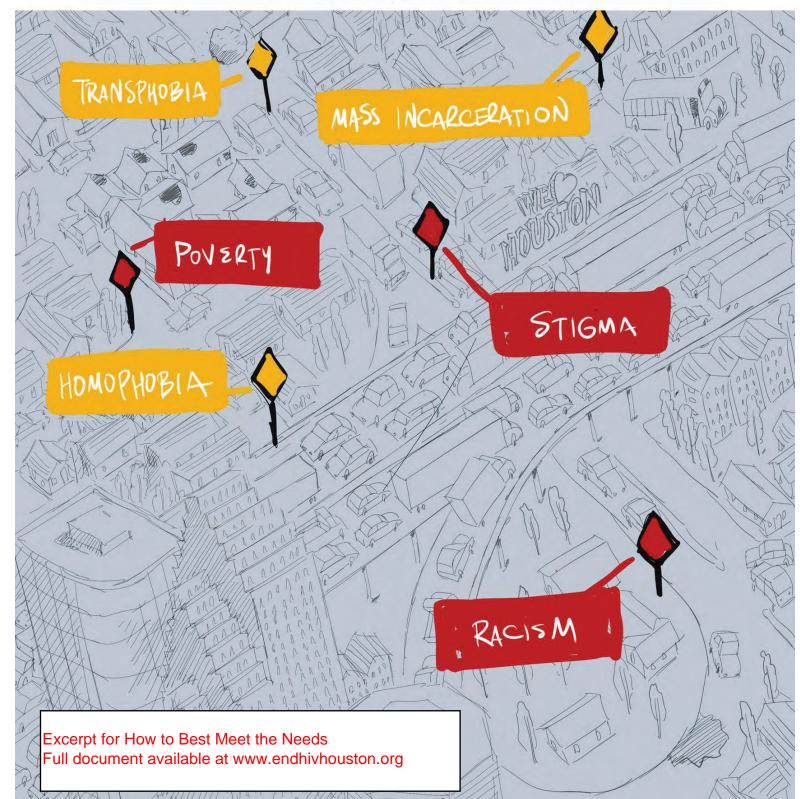
- Although MSM have a higher number of PLWH than the other risk groups, the proportion of diagnosed MSM living with HIV with evidence of met need and retention in care is similar to those observed for other risk groups.
- MSM have a higher proportion of diagnosed PLWH who are virally suppressed but a lower proportion of newly diagnosed PLWH who were successfully linked to care within one month of initial diagnosis. Those with a transmission risk factor of heterosexual contact had the highest proportion of people linked to care within one month of initial diagnosis.
- Overall, PWID as a primary transmission risk factor exhibited the lowest proportions of individuals with met need and viral suppression.

** 2018 data should be used with caution -- it may be underrepresented due to unforeseen data importing issues at Texas DSHS. Updates to 2018 data will occur in the future.

Questions about the Houston EMA HIV Care Continuum can be directed to: <u>Amber Harbolt</u>, Health Planner in the Office of Support.

* ROADMAP * To Ending the hiv Epidemic to Houston

-December 2016-



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ACCESS TO CARE

The vision of the access to care work group is to ensure all residents of the Houston Area receive proactive and timely access to comprehensive and non-discriminatory care to prevent new diagnoses, and for those living with HIV/AIDS to achieve and maintain viral suppression.

Recommendation 1: Enhance the health care system to better respond to the HIV/AIDS epidemic

The ability of the local health care system to appropriately respond to the HIV/AIDS epidemic is a crucial component to ending the epidemic in Houston. FQHCs, in particular, represent a front

line for providing comprehensive and appropriate access to care for people living with HIV/AIDS. While we acknowledge the commitment of many medical providers to provide competent care, ending the epidemic will require a more coordinated and focused response.

Some specific actions include:

- Develop a more coordinated and standard level of HIV prevention services and referrals for treatment, so that patients receive the same type and quality of services no matter where care is accessed.
- Integrate a women-centered care model approach to increase access to sexual and reproductive health services. Women-

centered care meets the unique needs of women



living with HIV and provides care that is non-stigmatizing, holistic, integrated, and gender-sensitive.

- Train more medical providers on the Ryan White care system.
- Explore feasibility of implementing a pilot rapid test and treat model, in which treatment would start immediately upon receipt of a positive HIV test.
- Better equip medical providers and case managers with training on best practices, latest developments in care and treatment, and opportunities for continuing education credits.
- Increase use of METRO Q[®] Fare Cards, telemedicine, mobile units, and other solutions to transportation barriers.
- Develop performance measures to improve community viral load as a means to improve health outcomes and decrease HIV transmission.
- Integrate access to support services such as Women, Infants and Children (WIC), food stamps, Children's Health Insurance Program (CHIP), and health literacy resources in medical settings.



Develop cultural trainings in partnership with members of the community that address the specific cultural and social norms of the community.

Recommendation 2: Improve cultural competency for better access to care

Lack of understanding of the social and cultural norms of the community is one of the most cited barriers to care. These issues include race, culture, ethnicity, religion, language, poverty, sexual orientation and gender identity. Issues related to the lack of cultural competency are more often experienced by members of the very communities most impacted by HIV. Medical providers must improve their cultural understanding of the communities they serve in order to put the "care" back in health care. Individuals will not seek services in facilities they do not feel are designed for them or where they receive insensitive treatment from staff.

Some specific actions include:

- Develop cultural trainings in partnership with members of the community that address the specific cultural and social norms of the community.
- Include training on interventions for trauma-informed care and gender-based violence. This type of care is a treatment framework that involves understanding, recognizing, and responding to the effects of all types of trauma that contribute to mental health issues including substance abuse, domestic violence, and child abuse.
- Establish measures to evaluate effectiveness of training.
- Revise employment applications to include questions regarding an applicant's familiarity with the community being served. New hires with lack of experience working with certain communities should receive training prior to interacting with the community.

Recommendation 3: Increase access to mental health services and substance abuse treatment

Access to behavioral health and substance abuse treatment are two of the most critical unmet needs in the community. Individuals have difficulty staying in care and adhering to medication without access to mental health and substance abuse treatment. Comprehensive HIV/AIDS care must address the prevalence of these conditions.

HOUSTON ROADMAP

Some specific actions include:

- Perform mental health assessments on newly diagnosed persons to determine readiness for treatment, the existence of an untreated mental health disorders, and need for substance abuse treatment.
- Increase the availability of mental health services and substance abuse treatment, including support groups and peer advocacy programs.
- Implement trauma-informed care in health care settings to respond to depression and post-traumatic stress disorders.



Recommendation 4: Improve health outcomes for people living with HIV/AIDS with co-morbidities

Because of recent scientific advances, people living with HIV/AIDS, who have access to antiretroviral therapy, are living long and healthy lives. HIV/AIDS is now treated as a manageable chronic illness and is no longer considered a death sentence. However, these individuals are developing other serious health conditions that may cause more complications than the virus. Some of these other conditions include Hepatitis C, hypertension, diabetes, and certain types of cancer. When coupled with an HIV diagnosis, these additional conditions are known as co-morbidities. HIV treatment must address the impact of co-morbidities on treatment of HIV/AIDS.

Some specific actions include:

- Utilize a multi-disciplinary approach to ensure that treatment for HIV/AIDS is integrated with treatment for other health conditions.
- Develop treatment literacy programs and medication adherence support programs for people living with HIV/AIDS to address co-morbidities.

Recommendation 5: Develop and publicize complete and accurate data for transgender people and those recently released from incarceration

There is insufficient data to accurately measure the prevalence and incidence of HIV among transgender individuals. In addition, there appears to be a lack of data on those recently released from incarceration. We need to develop data collection protocols to improve our ability to define the impact of the epidemic on these communities.

Recommendation 6: Streamline the Ryan White eligibility process for special circumstances

The Ryan White program is an important mechanism for delivering services to individuals living with HIV/AIDS. In order to increase access to this program, we must remove barriers to enrollment for qualified individuals experiencing special situations. We recommend creating a fast track process for Ryan White eligibility determinations for special circumstances, such as when an individual has recently relocated to Houston and/or has fallen out of care.



Recommendation 7: Increase access to care for diverse populations

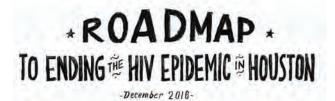
According to the 2016 Kinder Houston Area Survey, the Houston metropolitan area has become "the single most ethnically and culturally diverse urban region in the entire country." Between 1990 and 2010, the Hispanic population grew from 23% to 41%, and Asians and others from 4% to 8%. It is imperative that we meet the needs of an increasingly diverse populace.¹⁰

Some specific actions include:

- Train staff and providers on culturally competent care.
- Hire staff who represent the communities they serve.
- Increase access to interpreter services.
- Develop culturally and linguistically appropriate education materials.
- Market available services directly to immigrant communities.

¹⁰ https://kinder.rice.edu/uploadedFiles/Center_for_the_Study_of_Houston/53067_Rice_HoustonAreaSurvey2016_Lowres.pdf





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2020 Houston HIV Care Services Needs Assessment

A collaboration of: Houston Area HIV Services Ryan White Planning Council Houston HIV Prevention Community Planning Group Harris County Public Health, Ryan White Grant Administration Houston Health Department, Bureau of HIV/STD and Viral Hepatitis Prevention Houston Regional HIV/AIDS Resource Group, Inc. Harris Health System People Living with HIV in the Houston Area and Ryan White HIV/AIDS Program Consumers

Approval: Pending

INTRODUCTION

What is an HIV needs assessment?

An HIV needs assessment is a process of collecting information about the needs of people living with HIV (**PLWH**) in a specific geographic area. The process involves gathering data *from multiple sources* on the number of HIV cases, the number of PLWH who are not in care, the needs and service barriers of PLWH, and current resources available to meet those needs. This information is then analyzed to identify what services are needed, what barriers to services exist, and what service gaps remain.

Special emphasis is placed on gathering information about the need for services funded by the Ryan White HIV/AIDS Program and on the socio-economic and behavioral conditions experienced by PLWH that may influence their need for and access to services both today and in the future.

In the Houston Area, data collected directly from PLWH in the form of a *survey* are the principal source of information for the HIV needs assessment process. Surveys are administered every three years to a representative sample of PLWH residing in the Houston Area.

How are HIV needs assessment data used?

Needs assessment data are integral to the information base for HIV services planning, and they are used in almost every decision-making process of the Ryan White Planning Council (**RWPC**), including setting priorities for the allocation of funds, designing services that fit the needs of local PLWH, developing the comprehensive plan, and crafting the annual implementation plan. The community also uses needs assessment data for a variety of *non*-Council purposes, such as in writing funding applications, evaluation and monitoring, and the improvement of services by individual providers.

In the Houston Area, HIV needs assessment data are used for the following purposes:

- Ensuring the consumer point-of-view is infused into all of the data-driven decision-making activities of the Houston Area RWPC.
- Revising local service definitions for HIV care, treatment, and support services in order to best meet the needs of PLWH in the Houston Area.
- Setting priorities for the allocation of Ryan White HIV/AIDS Program funds to specific services.

- Establishing goals for and then monitoring the impact of the Houston Area's comprehensive plan for improving the HIV prevention and care system.
- Determining if there is a need to target services by analyzing the needs of particular groups of PLWH.
- Determining the need for special studies of service gaps or subpopulations that may be otherwise underrepresented in data sources.
- By the Planning Council, other Planning Bodies, specific Ryan White HIV/AIDS Program Parts, providers, or community partners to assess needs for services.

Needs assessment data are specifically mandated for use during the Planning Council's *How to Best Meet the Need*, Priority & Allocations, and Comprehensive HIV Planning processes.

Because surveys are administered every three years, results are used in RWPC activities for a three year period. Other data sources produced during interim years of the cycle, such as epidemiologic data and estimates of unmet need, are used to provide additional context for and to better understand survey results.

Sources:

- 2020 Houston Area HIV Needs Assessment Group (NAG), Analysis Workgroup, Principles for the 2020 Needs Assessment Analysis. Approved 08-19-19.
- U.S. Department of Health and Human Services, Health Resources and Services Administration, HIV/AIDS Bureau, Ryan White HIV/AIDS Program Part A Manual Revised 2013. Section XI, Ch 3: Needs Assessment.

METHODOLOGY

Needs Assessment Planning

Planning the 2020 Houston Area HIV Care Services Needs Assessment was a collaborative process between HIV prevention and care stakeholders, the Houston Area planning bodies for HIV prevention and care, all Ryan White HIV/AIDS Program Parts, and individual providers and consumers of HIV services. To guide the overall process and provide specific subject matter expertise, a series of Needs Assessmentrelated Workgroups reconvened under the auspices of the Ryan White Planning Council (**RWPC**):

- The Needs Assessment Group (**NAG**) provided overall direction to the needs assessment process. As such, the NAG consisted of voting members from each collaborating partner and from the following workgroups.
- The Epidemiology Workgroup developed the consumer survey sampling plan, which aimed at producing a representative sample of surveys.
- The Survey Workgroup developed the survey instrument and consent language.
- The Analysis Workgroup determined how survey data should be analyzed and reported in order to serve as an effective tool for HIV planning.

In total, 38 individuals in addition to staff participated in the planning process, of which at least 45% were people living with HIV (**PLWH**).

Survey Sampling Plan

Staff calculated the 2020 Houston Area HIV Care Services Needs Assessment sample size based on current total HIV prevalence for the Houston Eligible Metropolitan Area (EMA) (2017), with a 95% confidence interval, at both 3% and 4% margin of Respondent composition goals error. were to demographic proportional and geographic representation in total prevalence. Desired sample sizes for funded-agency representation were proportional to total client share for the most recent complete calendar year (2018). Efforts were also taken to over-sample out-of-care consumers and members of special populations. Regular reports of select respondent characteristics were provided to NAG, the Comprehensive HIV Planning Committee, and RWPC during survey administration to assess real-time progress toward attainment of sampling goals and to make sampling adjustments when necessary.

Survey Tool

Data for the 2020 Houston Area HIV Care Services Needs Assessment were collected using a 54-question paper or electronic survey of open-ended, multiple choice, and scaled questions addressing nine topic areas (in order):

- HIV services, needs, and barriers to care
- · Communication with HIV medical providers
- HIV diagnosis history
- HIV care history including linkage to care
- Non-HIV co-occurring health concerns (incl. mental health)
- Substance use
- · Housing, transportation, and social support
- Financial resources
- Demographics
- HIV prevention activities

The Survey Workgroup determined topics and questions, restructuring and expanding the 45-question 2016 needs assessment survey. Subject matter experts were also engaged to review specific questions. Consistency with the federally-mandated HIV prevention needs assessment for the Houston Area was assured through participation of Houston Health Department staff during the survey development process and alignment of pertinent questions such as those designed to gather demographic information and HIV prevention knowledge and behaviors. A cover sheet explained the purpose of the survey, risks and benefits, planned data uses, and consent. A doublesided tear-sheet of emergency resources and HIV service grievance/complaint process information was also attached, and liability language was integrated within the survey.

Data Collection

Surveys for the 2020 Houston Area HIV Care Services Needs Assessment were administered (1) in prescheduled group sessions at Ryan White HIV/AIDS Program providers, HIV Prevention providers, housing facilities, support groups, Harris County community centers, and specific community locations and organizations serving special populations; and (1) online via word of mouth, print, and social media advertising. Staff contacts at each physical location were responsible for session promotion and participant recruitment. Out-of-care consumers were recruited through flyers, word of mouth, print advertisement, and staff promotion.

Inclusion criteria were an HIV diagnosis and residency in counties in the greater Houston Area. Participants were self-selected and self-identified according to these criteria. Surveys were self-administered in English, Spanish, and large-print formats, with staff and bilingual interpreters available for verbal interviewing. Participation was voluntary, anonymous, and monetarily incentivized; and respondents were advised of these conditions verbally and in writing. Most surveys were completed in 30 to 40 minutes. Surveys were reviewed on-site by trained staff, interns, and interpreters for completion and translation of written comments; completed surveys were also logged in a centralized tracking database.

In total, 589 consumer surveys were collected from April 2019 to February 2020 during 47 survey sessions at 27 survey sites and online.

Data Management

Data entry for the current Houston Area HIV Care Services Needs Assessment was performed by trained staff and contractors at the RWPC Office of Support using simple numerical coding. Skip-logic questions were entered based on first-order responses; and affirmative responses only were entered for "check-all" questions. Additional variables were recoded during data entry and data cleaning. Surveys that could not be accurately entered by staff ere eliminated. Data are periodically reviewed for quality assurance, and a linelist level data cleaning protocol was applied prior to analysis. When data entry and cleaning are complete, a data weighting syntax will be created and applied to the sample for: sex at birth, primary race/ethnicity, and age group based on a three-level stratification of current HIV prevalence for the Houston EMA (2018). Missing or invalid survey entries will be excluded from analysis per variable; therefore, denominators vary across results. Also, proportions will not calculated with a denominator of the total number of completed surveys for every variable due to missing or "check-all" responses. Data entry for the 2020 Houston Area HIV Care Services Needs Assessment was performed by trained staff and contractors at the RWPC Office of Support using simple numerical coding. Skip-logic questions were entered based on first-order responses; and affirmative responses only were entered for "check-all" questions. Additional variables were recoded during data entry and data cleaning. Surveys that could not be accurately entered by staff or that were found to be duplicates were eliminated (n=11). Data were periodically reviewed for quality assurance, and a line-list level data cleaning protocol was applied prior to analysis. In addition, a data weighting syntax was created and applied to the sample for: sex at birth, primary race/ethnicity, and age group based on a threelevel stratification of current HIV prevalence for the Houston EMA (2018), producing a total weighted sample size of 589 (8% in Spanish). Missing or invalid

survey entries are excluded from analysis per variable; therefore, denominators vary across results. Also, proportions are not calculated with a denominator of 589 surveys for every variable due to missing or "check-all" responses. All data management and analysis was performed in IBM© SPSS© Statistics (v. 22) and QSR International© NVivo 10.

Limitations

The 2020 Houston Area HIV Care Services Needs Assessment produced data that are unique because they reflect the first-hand perspectives and lived experiences of PLWH in the Houston Area. However, there are limitations to the generalizability, reliability, and accuracy of the results that should be considered during their interpretation and use. These limitations are summarized below:

- Convenience Sampling. Multiple administrative methods were used to survey a representative sample of PLWH in the Houston Area proportional to geographic, demographic, transmission risk, and other characteristics. Despite extensive efforts, respondents were not randomly selected, and the resulting sample is not proportional to current HIV prevalence. To mitigate this bias, data were statistically weighted for sex at birth, primary race/ethnicity, and age group using current HIV prevalence for the Houston EMA (2018). Results presented from Chapters 2 through the end of this report are proportional for these three demographic categories only. Similarly, the majority of respondents were Ryan White HIV/AIDS Program clients at the time of data collection, but may have received services outside the program that are similar to those currently funded. Therefore, it not possible to determine if results reflect non-Ryan White systems.
- Margin of Error. Staff met the minimum sampling plan goal of at least 588 valid surveys for a margin of error of 4.00%, based on a 95% confidence interval. This indicates that 95% of the time, the quantitative results reported this document are anticipated to be correct by a margin of 4 percentage points. For this reason, results reported in this document are statistically significant, generalizable, and are suitable for planning purposes to draw general conclusions about the overall needs and experiences of people living with HIV in the Houston area.
- *Reporting Bias.* Survey participants were self-selected and self-identified, and the answers they provided to survey questions were self-reported. Since the survey tool was anonymous, data could not be corroborated with medical or other records. Consequently, results

should not be used as empirical evidence of reported health or treatment outcomes. Other data sources should be used if confirmation of results is needed.

- *Instrumentation*. Full data accuracy cannot be assured due to variability in comprehension and completeness of surveys by individual respondents. Though trained staff performed real-time quality reviews of each survey, there were missing data as well as indications of misinterpretation of survey questions. It is possible that literacy and language barriers contributed to this limitation as well.
- *Data management*. The use of both staff and contractors to enter survey data could have produced transcription and transposition errors in the dataset. A line-list level data cleaning protocol was applied to help mitigate errors.

Data presented here represent the most current repository of *primary* data on PLWH in the Houston Area. With these caveats in mind, the results can be used to describe the experiences of PLWH in the Houston Area and to draw conclusions on how to best meet the HIV service needs of this population.

Sources:

- Houston Area HIV Needs Assessment Group (NAG), Epidemiology Workgroup, 2019 Survey Sampling Principles and Plan, Approved 03-18-19.
- Texas Department of State Health Services (DSHS) eHARS data through 12-31-2018, extracted as of spring 2020.
- University of Illinois, Applied Technologies for Learning in the Arts and Sciences (ATLAS), Statistical & GIS Software Documentation & Resources, SPPS Statistics 20, Poststratification weights, 2009.

BACKGROUND

The Houston Area

Houston is the fourth largest city in the U.S., the largest city in the State of Texas, and as well as one of the most racially and ethnically diverse major American metropolitan area. Spanning 600 square miles, Houston is also the least densely populated major metropolitan area. Houston is the seat of Harris County, the most populous county in the State of Texas and the third most populous in the country. The United States Census Bureau estimates that Harris County has almost 4.7 million residents, around half of which live in the city of Houston.

Beyond Houston and Harris County, local HIV service planning extends to four geographic service areas in the greater Houston Area:

- *Houston/Harris County* is the geographic service area defined by the Centers for Disease Control and Prevention (**CDC**) for HIV prevention. It is also the local reporting jurisdiction for HIV surveillance, which mandates all laboratory evidence related to HIV/AIDS performed in Houston/Harris County be reported to the local health authority.
- The Houston Eligible Metropolitan Area (EMA) is the geographic service area defined by the Health Resources and Services Administration (HRSA) for the Ryan White HIV/AIDS Program Part A and Minority AIDS Initiative (MAI). The Houston EMA includes six counties: Chambers, Fort Bend, Harris, Liberty, Montgomery, and Waller.
- The Houston Health Services Delivery Area (HSDA) is the geographic service area defined by the Texas Department of State Health Services (TDSHS) for the Ryan White HIV/AIDS Program Part B and the Houston Area's HIV service funds from the State of Texas. The HSDA includes the six counties in the EMA listed above plus four additional counties: Austin, Colorado, Walker, and Wharton.
- The Houston Eligible Metropolitan Statistical Area (EMSA) is the geographic service area defined by Department of Housing and U.S. Urban Development (HUD) for the Housing Opportunities for People with AIDS (HOPWA) program. The EMSA consists of the six counties in the EMA listed above plus Austin, Brazoria, Galveston, and San Jacinto Counties.

Together, these geographic service areas encompass 13 counties in southeast Texas, spanning from the Gulf of Mexico into the Texas Piney Woods.

HIV in the Houston Area

In keeping with national new HIV diagnosis trends, the number of new cases of HIV in the Houston Area has remained relatively stable; HIV-related mortality has steadily declined, and the number of people living with HIV has steadily increased. According to current disease surveillance data, there are 29,078 diagnosed people living with HIV in the Houston EMA (**Table 1**). The majority are male (75%), over the age of 45 (52%), and have MSM transmission risk (58%), while almost half are Black/African American (48%).

| TABLE 1-Diagnosed People Living with HIV in theHouston EMA, 2018a | | | | | |
|---|--------|--------|--|--|--|
| | # | % | | | |
| Total | 29,078 | 100.0% | | | |
| Sex at Birth | | | | | |
| Male | 21,829 | 75.1% | | | |
| Female | 7,249 | 24.9% | | | |
| Race/Ethnicity | | | | | |
| White | 5,109 | 17.6% | | | |
| Black/African American | 14,044 | 48.3% | | | |
| Hispanic/Latino | 8,493 | 29.2% | | | |
| Other/Multiracial | 1432 | 4.9% | | | |
| Age | | | | | |
| 0 - 12 | 54 | 0.2% | | | |
| 13 - 24 | 1,170 | 4.0% | | | |
| 25 - 34 | 5,986 | 20.6% | | | |
| 35 - 44 | 6,752 | 23.2% | | | |
| 45 - 54 | 7,594 | 26.1% | | | |
| 55 - 64 | 5,580 | 19.2% | | | |
| 65+ | 1,942 | 6.7% | | | |
| Transmission Risk ^b | | | | | |
| Male-male sexual contact (MSM) | 16,818 | 57.8% | | | |
| Person who injects drugs (PWID) | 2,256 | 7.8% | | | |
| MSM/PWID | 1,192 | 4.1% | | | |
| Sex with Male/Sex with Female | 8,455 | 29.1% | | | |
| Perinatal transmission | 340 | 1.2% | | | |
| Adult other | 17 | 0.1% | | | |

 $^{a}\mbox{Source:}$ Texas eHARS, Diagnosed PLWH in the Houston EMA between 1/1/2018 and 12/31/2018

^bCases with unknown risk have been redistributed based on historical patterns of risk ascertainment and reclassification.

The CDC ranks the Houston Area (specifically, the Houston-Baytown-Sugarland, TX statistical area) 10th highest in the nation for new HIV diagnoses and 11th in cases of progressed/Stage 3 HIV (formerly known as AIDS). In February 2019, the U.S. Department of Health and Human Services (HHS) launched the cross-agency initiative Ending the HIV Epidemic: A Plan for America with an overarching goal to reduce new HIV transmission in the U.S. by 90% by 2030. This initiative identified Harris County as a priority county due to the high rate and number of new HIV diagnoses, and plans to introduce additional resources, technology, and technical assistance to support local HIV prevention and treatment activities. Of the 29,078 diagnosed PLWH in the Houston Area, 75% are in medical care for HIV, but only 59% have a suppressed viral load.

HIV Services in the Houston Area

agencies and Both governmental non-profit organizations provide HIV services in the Houston Area through direct HIV services provision and/or function as Administrative Agents which contract to direct service providers. The goal of HIV care in the Houston Area is to create a seamless system that supports people at risk for or living with HIV with a full array of educational, clinical, mental, social, and support services to prevent new infections and support PLWH with high-quality, life-extending care. In addition, two local HIV Planning Bodies provide mechanisms for those living with and affected by HIV to design prevention and care services. Each of the primary sources in the Houston Area HIV service delivery system is described below:

- Comprehensive HIV prevention activities in the Houston Area are provided by the Houston Health Department (**HHD**), a directly-funded CDC grantee, and the Texas Department of State Health Services (**DSHS**). Prevention activities include health education and risk reduction, HIV testing, disease investigation and partner services, linkage to care for newly diagnoses and out of care PLWH. The Houston Area HIV Prevention Community Planning Group provides feedback and to HHD in its design and implementation of HIV prevention activities.
- The Ryan White HIV/AIDS Program Part A and MAI provide core medical and support services for

HIV-diagnosed residents of the Houston EMA. These funds are administered by the Ryan White Grant Administration of Harris County Public Health. The Houston Area Ryan White Planning Council designs Part A and MAI funded services for the Houston EMA.

- The Ryan White HIV/AIDS Program Parts B, C, D, and State Services provide core medical and support services for HIV-diagnosed residents of the Houston HSDA, with special funding provided to meet the needs of women, infants, children, and youth. The Houston Regional HIV/AIDS Resource Group (**TRG**) administers these funds. The Ryan White Planning Council also designs Part B and State Services for the Houston HSDA. Additional programs supported by TRG include reentry housing through HOPWA funds and support of the grassroots END HIV Houston coalition.
- HOPWA provides grants to community organizations to meet the housing needs of lowincome persons living with HIV. HOPWA services include assistance with rent, mortgage, and utility payments, case management, and supportive housing. These funds are administered by the City of Houston Housing and Community Development for the Houston EMSA.

Together, these key agencies, the direct service providers that they fund, and the two local Planning Bodies ensure the greater Houston Area has a seamless system of prevention, care, treatment, and support services that best meets the needs of people at risk for or living with HIV.

Sources:

Centers for Disease Control and Prevention, *Diagnoses of HIV Infection in the United States and Dependent Areas, 2018*; vol. 30. Published November 2015. Accessed 03/06/2020. Available at:

www.cdc.gov/hiv/topics/surveillance/resources/reports/.

- U.S. Census Bureau, American FactFinder. Houston (city), Texas and Harris (county), Texas Accessed: 03/03/2020. Available at: <u>https://factfinder.census.gov/faces/nav/jsf/pages/index.x</u> html
- U.S. Department of Health and Human Services, *Ending the HIV Epidemic: A Plan for America*. February 2019.



Chapter 1: Demographics

PARTICIPANT COMPOSITION

The following summary of the geographic, demographic, socio-economic, and other composition characteristics of individuals who participated in the 2020 Houston HIV Care Services Needs Assessment provides both a "snapshot" of who is living with HIV in the Houston Area today as well as context for other needs assessment results.

(**Table 1**) Overall, 95% of needs assessment participants resided in Harris County at the time of data collection. The majority of participants were male (66%), African American/Black (63%), and heterosexual (57%). Over half (60%) were age 50 or over, with a median age of 50-54.

The average unweighted household income of participants was \$13,493 annually, with the majority living below 100% of federal poverty (**FPL**). A majority of participants (63%) was not working at the time of survey, with 39% collecting disability benefits and 16% unemployed and seeking employment, and 9% retired. Most participants paid for healthcare using Medicaid/Medicare or assistance through Harris Health System (Gold Card).

| TABLE 1-Select Participant Characteristics, Houston Area HIV Needs Assessment, 2020 | | | | | | | | |
|---|-----|-------|--|-----|-------|-------------------------------------|-----|-------|
| | No. | % | | No. | % | | No. | % |
| County of residence | | | Age range (median: 50-54) |) | | Sex at birth | | |
| Harris | 545 | 94.9% | 13 to 17 | 0 | - | Male | 384 | 65.8% |
| Fort Bend | 10 | 41.7% | 18 to 24 | 17 | 2.9% | Female | 200 | 34.2% |
| Liberty | 3 | 0.5% | 25 to 34 | 50 | 8.6% | Intersex | 0 | - |
| Montgomery | 7 | 1.2% | 35 to 49 | 160 | 27.6% | Transgender | 22 | 3.9% |
| Other | 9 | 1.6% | 50 to 54 | 105 | 18.1% | Non-binary / gender fluid | 8 | 1.4% |
| | | | 55 to 64 | 161 | 27.8% | Currently pregnant* | 4 | 2.0% |
| | | | 65 to 74 | 79 | 13.6% | *All currently pregnant respondents | | |
| | | | 75+ | 8 | 1.4% | reported being in care. The | | |
| | | | Youth (13 to 27) | 17 | 2.9% | denominator is all respondents | | |
| | | | Seniors (≥50) | 353 | 59.9% | reporting female sex at birth | | |
| Primary race/ethnicity | | | Sexual orientation | | | Health insurance | | |
| White | 78 | 13.6% | Heterosexual | 329 | 56.8% | Private insurance | 53 | 9.1% |
| African American/Black | 343 | 59.8% | Gay/Lesbian | 176 | 30.4% | Medicaid/Medicare | 388 | 66.7% |
| Hispanic/Latino | 122 | 21.3% | Bisexual/Pansexual | 52 | 9.0% | Harris Health System | 168 | 30.1% |
| Asian American | 4 | 0.7% | Other | 22 | 3.8% | Ryan White Only | 138 | 23.7% |
| Other/Multiracial | 27 | 4.7% | MSM | 238 | 40.5% | None | 11 | 1.9% |
| Residency | | | Yearly income (average: \$13,493) Employment | | | | | |
| Born in the U.S. | 511 | 87.8% | Federal Poverty Level (FF | PL) | | Disabled | 263 | 38.9% |
| Lived in U.S. > 5 years | 58 | 10.0% | Below 100% | 191 | 67.3% | Unemployed and seeking work | 105 | 15.5% |
| Lived in U.S. < 5 years | 8 | 1.4% | 100% | 54 | 19.0% | Employed (PT) | 59 | 8.7% |
| In U.S. on visa | 1 | 0.2% | 150% | 16 | 5.6% | Retired | 59 | 8.7% |
| Prefer not to answer | 4 | 0.7% | 200% | 15 | 5.3% | Employed (FT) | 53 | 7.8% |
| | | | 250% | 2 | 0.7% | Self Employed | 19 | 2.8% |
| | | | ≥300% | 6 | 2.1% | Other | 118 | 17.5% |

(**Table 2**) Certain subgroups of PLWH have been historically underrepresented in HIV data collection, thereby limiting the ability of local communities to address their needs in the data-driven decision-making processes of HIV planning. To help mitigate underrepresentation in Houston Area data collection, efforts were made during the 2020 needs assessment process to *oversample* PLWH who were also members of groups designated as "special populations" due to socio-economic circumstances or other sources of disparity in the HIV service delivery system.

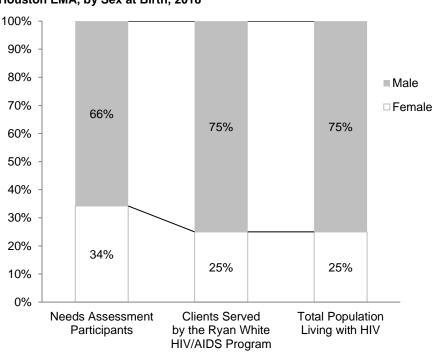
The results of these efforts are summarized in Table 2.

| TABLE 2-Representation of Special Populations,Houston Area HIV Needs Assessment, 2020 | | | | | |
|---|-----|-------|--|--|--|
| | No. | % | | | |
| Young adult (18-24 years) | 17 | 2.9% | | | |
| Adult age 50+ years | 353 | 59.9% | | | |
| Homeless | 65 | 11.1% | | | |
| Unstably Housed | 159 | 29.0% | | | |
| People who inject drugs (PWID)* | 47 | 8.2% | | | |
| Male-male sexual contact (MSM) | 238 | 40.5% | | | |
| Out of care (last 12 months) Recently released from | 24 | 4.3% | | | |
| incarceration | 65 | 11.6% | | | |
| Rural (non-Harris County resident) | 29 | 5.1% | | | |
| Women of color | 194 | 33.2% | | | |
| Transgender | 22 | 3.8% | | | |

*Includes self-administered medications, insulin, steroids, hormones, silicone, or drugs.

COMPARISON OF NEEDS ASSESSMENT PARTICIPANTS TO HIV PREVALENCE

HIV needs assessments generate information about the needs and service barriers of persons living with HIV (PLWH) in a specific geographic area to assist planning bodies and other stakeholders with designing HIV services that best meet those needs. As it is not be feasible to survey every PLWH in the Houston area, multiple administrative and statistical methods are used to generate a sample of PLWH that are reliably representative of all PLWH in the area. The same is true in regards to assessing the needs of clients Ryan White HIV/AIDS of the Program.



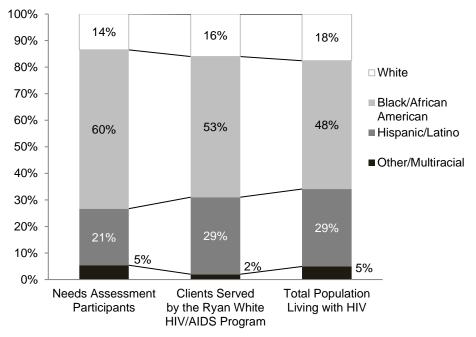
GRAPH 1-Needs Assessment Participants Compared to Ryan White HIV/AIDS Program Clients^a and Total HIV Diagnosed Population^b in the Houston EMA, by Sex at Birth, 2018

^aSource: CPCDMS as of 12/31/18, Total number of clients served by the Ryan White HIV/AIDS Program Part A, the Minority AIDS Initiative (MAI), Part B, and State Services (State of Texas matching funds). Accessed 4/1/19. ^bSource: Texas eHARS. Living HIV cases as of 12/31/18.

As such, awareness of participant representation compared to the composition of both Ryan White HIV/AIDS Program clients and the total HIV diagnosed population is beneficial when reviewing needs assessment results to document actions taken to mitigate any disproportional results. (**Graph 1**) In the 2020 Houston HIV Care Services Needs Assessment males (sex at birth) comprised 66% of participants but 75% of all Ryan White clients, and all PLWH in the Houston Eligible Metropolitan Area (**EMA**). This indicates that male PLWH were underrepresented in the needs assessment sample, while female PLWH were overrepresented.

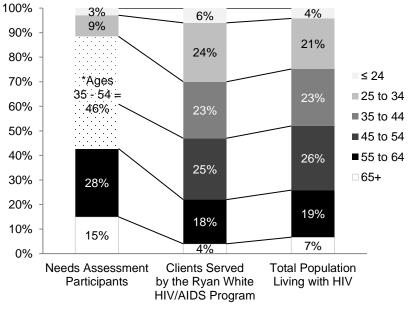
(Graph 2) Analysis of race/ethnicity composition also disproportionate shows representation between participants, all Ryan White clients, and all PLWH in the Houston EMA. Black/African American participants were overrepresented at 60% of participants when compared to the proportions of Black/African American Ryan White clients and PLWH. Conversely, White PLWH and Hispanic/Latino PLWH were slighly underrepresented in the needs assessment.

GRAPH 2- Needs Assessment Participants Compared to Ryan White HIV/AIDS Program Clients^a and Total HIV Diagnosed Population^b in the Houston EMA, by Race/Ethnicity, 2018



^aSource: CPCDMS as of 12/31/18, Total number of clients served by the Ryan White HIV/AIDS Program Part A, the Minority AIDS Initiative (MAI), Part B, and State Services (State of Texas matching funds). Accessed 4/1/19. ^bSource: Texas eHARS. Living HIV cases as of 12/31/18

(Graph 3) As referenced in Table 1, 60% of the total needs assessment sample was comprised of individuals age 50 and over. An analysis of age range shows that more needs assessment participants were older than Ryan White clients and PLWH in the Houston EMA. Among needs assessment participants, 28% were ages 55 to 64 and 15% age 65 years and over. Compared to Ryan White clients, 18% were ages 55 to 64 and 4% were 65 and over. Among all PLWH 19% and 7% were in these respectively. age groups, No adolescents (those age 13 to 17) were surveyed. This suggests that youth and young adult PLWH (those age 13 to 24) are generally underrepresented in the needs assessment, while older adults (those age 55 and above) are overrepresented.



GRAPH 3- Needs Assessment Participants Compared to Ryan White HIV/AIDS Program Clients^a and Total HIV Diagnosed Population^b in the Houston EMA, by Age^c, 2018

^aSource: CPCDMS as of 12/31/18, Total number of clients served by the Ryan White HIV/AIDS Program Part A, the Minority AIDS Initiative (MAI), Part B, and State Services (State of Texas matching funds). Accessed 4/1/19. ^bSource: Texas eHARS. Living HIV cases as of 12/31/18 ^cExcludes aces0-12

*Age ranges 35-44 and 45-54 combined due to differences in question structuring

Weighting the Sample

Needs assessment data were statistically weighted by sex at birth, primary race/ethnicity, and age group using current HIV prevalence for the Houston EMA (2018) prior to the analysis of results related to service needs and barriers. This was done because the demographic composition of 2020 Houston HIV Care Services Needs Assessment participants was not comparable to the composition of all PLWH in the Houston EMA. As such, the results presented in the remaining Chapters of this document are proportional for these three demographic categories only. methods were Appropriate statistical applied throughout the process in order to produce an accurately weighted sample, including a three-level stratification of prevalence data and subsequent data weighting syntax. Voluntary completion on the survey and non-applicable answers comprise the missing or invalid survey entries and are excluded in the statistical analysis; therefore, denominators will further vary across results. All data management and quantitative analysis, including weighting, was performed in IBM© SPSS© Statistics (v. 22). Qualitative analysis was performed in QSR International© NVivo 10.

Sources:

- Texas Department of State Health Services (TDSHS) eHARS data through 12-31-2018.
- University of Illinois, Applied Technologies for Learning in the Arts and Sciences (ATLAS), Statistical & GIS Software Documentation & Resources, SPPS Statistics 20, Poststratification weights, 2009.



Service Needs and Barriers

OVERALL SERVICE NEEDS AND BARRIERS

As payer of last resort, the Ryan White HIV/AIDS Program provides a spectrum of HIV-related services to people living with HIV (PLWH) who may not have sufficient resources for managing HIV. The Houston Area HIV Services Ryan White Planning Council identifies, designs, and allocates funding to locallyprovided HIV care services. Housing services for PLWH are provided through the federal Housing Opportunities for People with AIDS (HOPWA) program through the City of Houston Housing and Community Development Department and for PLWH recently released from incarceration through the Houston Regional HIV/AIDS Resource Group (**TRG**). The primary function of HIV needs assessment activities is to gather information about the need for and barriers to services funded by the local Houston Ryan White HIV/AIDS Program, as well as other HIV-related programs like HOPWA and the Houston Health Department's (HHD) prevention program.

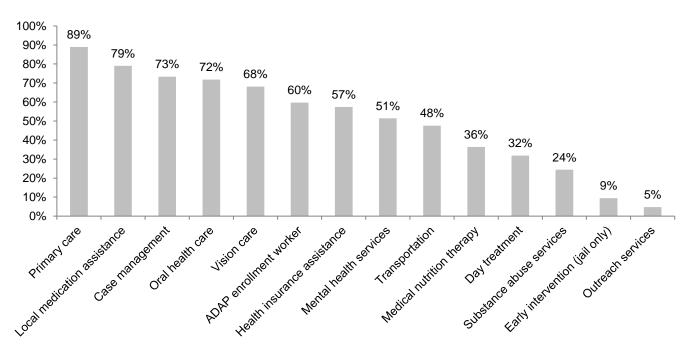
Overall Ranking of Funded Services, by Need

At the time of survey, 17 HIV core medical and support services were funded through the Houston Area Ryan White HIV/AIDS Program. Participants of the 2020 Houston HIV Care Services Needs Assessment were asked to indicate which of these funded services they needed in the past 12 months.

(Graph 1) All funded services except hospice and linguistics were analyzed and received a ranking of need. Emergency financial assistance was merged with local medication assistance, and non-medical case management was merged with medical case management. At 89%, primary care was the most needed funded service in the Houston Area, followed by local medication assistance at 79%, case management at 73%, oral health care at 72%, and vision care at 68%. Primary care had the highest need ranking of any core medical service, while ADAP enrollment worker received the highest need ranking of any support service. Compared to the last Houston Area HIV needs assessment conducted in 2016, need ranking decreased for most services. The percent of needs assessment participants reporting need for a particular service decreased the most for case management and primary care, while the percent of those indicating a need for local medication assistance and early intervention services increased from 2016.

GRAPH 1-Ranking of HIV Services in the Houston Area, By Need, 2020

Definition: Percent of needs assessment participants stating they needed the service in the past 12 months, regardless of service accessibility. Denominator: 569-573 participants, varying between service categories



Overall Ranking of Funded Services, by Accessibility

Participants were asked to indicate if each of the funded Ryan White HIV/AIDS Program services they needed in the past 12 months was easy or difficult for them to access. If difficulty was reported, participants were then asked to provide a brief description on the barrier experienced. Results for both topics are presented below.

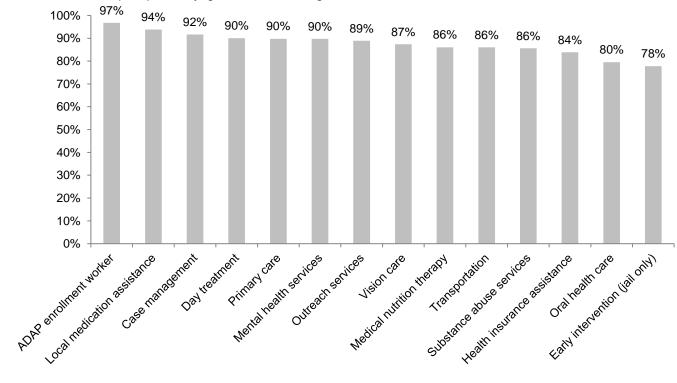
(**Graph 2**) All funded services except hospice and linguistics were analyzed and received a ranking of accessibility. The most accessible service was ADAP enrollment worker at 97% ease of access, followed by

local medication assistance at 94% and case management at 92%. Local medication assistance had the highest accessibility ranking of any core medical service, while ADAP enrollment worker received the highest accessibility ranking of any support service. Compared 2016 needs assessment, reported accessibility on remained stable on average. The greatest increase in percent of participants reporting ease of access was observed in local medication assistance, while the greatest decrease in accessibility was reported for early intervention services.

GRAPH 2-Ranking of HIV Services in the Houston Area, By Accessibility, 2020

Definition: Of needs assessment participants stating they needed the service in the past 12 months, the percent stating it was easy to access the service.

Denominator: 569-573 participants, varying between service categories



Overall Ranking of Barriers Types Experienced by Consumers

Since the 2016 Houston Area HIV Needs Assessment, participants who reported *difficulty* accessing needed services have been asked to provide a brief description of the barrier or barriers encountered, rather than select from a list of pre-selected barriers. In 2016, staff used recursive abstraction to categorize participant descriptions into 39 distinct barriers, then grouped together into 12 nodes, or barrier types. This categorization schema was applied to reported barriers in the 2020 survey.

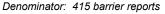
(Graph 3) Overall, fewer barriers were reported in 2020 (415 barrier reports) than in previous 2016 needs assessment (501 barrier reports), despite the increase in sample size in 2020. Across all funded services, the

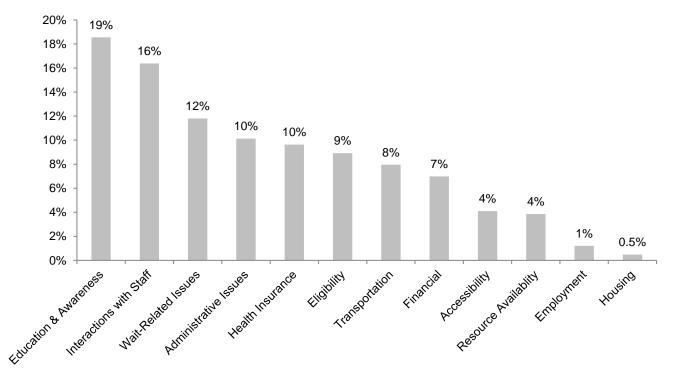
barrier types reported most often related to service education and awareness issues (19% of all reported barriers); interactions with staff (16%), wait-related issues (12%); administrative issues (10%); and issues relating to health insurance coverage (10%). Housing issues (homelessness or intimate partner violence) were reported least often as barriers to funded services (1%). Between the 2016 and 2020 HIV needs assessments, the percentage of barriers relating to interactions with staff increased by 3 percentage points, while waitrelated issues decreased by 3 percentage points.

For more information on barrier types reported most often by service category, please see the Service-Specific Fact Sheets.

GRAPH 3-Ranking of Types of Barriers to HIV Services in the Houston Area, 2018

Definition: Percent of times each barrier type was reported by needs assessment participants, regardless of service, when difficulty accessing needed services was reported.





Descriptions of Barriers Encountered

All funded services were reported to have barriers, with an average of 35 reports of barriers per service. Participants reported the least barriers for Linguistic Services (one barrier) and the most barriers for Oral Health Care (90 barriers). In total, 415 reports of barriers across all services were indicated in the sample.

(**Table 1**) Within education and awareness, knowledge of the availability of the service and where to go to access the service accounted for 81% of barriers reported. Being put on a waitlist accounted for a majority (56%) of wait-related barriers. Poor communication and/or follow up from staff members when contacting participants comprised a majority (53%) of barriers related to staff interactions. Fortyfive percent (45%) of eligibility barriers related to participants being told they did not meet eligibly requirements to receive the service while redundant or complex processes for renewing eligibility accounted for an additional 39% of eligibility barriers. Among administrative issues, long or complex processes required to obtain services sufficient to create a burden to access comprised most (57%) of the barriers reported.

A majority of health insurance-related barriers occurred because the participant was under-insured or experiencing coverage gaps for needed services or medications (55%) or they were uninsured (25%). The largest proportion (91%) of transportation-related barriers occurred when participants had no access to transportation. Inability to afford the service accounted for all barriers relating to participant financial resources. Services being offered at an inaccessible distance accounted for most (76%) of accessibilityrelated barriers, though it is noteworthy that low or no literacy accounted for 12% of accessibility-related barriers. Receiving resources that were insufficient to meet participant needs accounted for most resource availability barriers. Intimate partner violence accounted for both reports of housing-related barriers. Instances in which the participant's employer did not provide sufficient sick/wellness leave for attend appointments comprised most (80%) employmentrelated barriers.

| TABLE 1-Barrier Proportions wit | hin Eac | ch Barrier Type, 2020 | | | |
|---|---------|---|------|--|-----|
| Education & Awareness | % | Wait-Related Issues | % | Interactions with Staff | % |
| Availability (Didn't know the service was available) | 51% | Waitlist (Put on a waitlist) | 56% | Communication (Poor correspondence/ Follow up from staff) | 53% |
| Definition (Didn't know what service entails) | 2% | Unavailable (Waitlist full/not available resulting in client not being placed on waitlist) | 22% | Poor Treatment (Staff insensitive to clients) | 13% |
| Location (Didn't know where to go [location or location w/in agency]) | 30% | Wait at Appointment (Appointment visits take long) | 12% | Resistance (Staff refusal/ resistance to assist clients) | 6% |
| Contact (Didn't know who to contact for service) | 16% | Approval (Long durations between application and approval) | 10% | Staff Knowledge (Staff has no/ limited knowledge of service) | 19% |
| | | | | Referral (Received service referral to provider that did not meet client needs) | 10% |
| Eligibility | % | Administrative Issues | % | Health Insurance | % |
| Ineligible (Did not meet eligibility requirements) | 45% | Staff Changes (Change in staff w/o notice) | 10% | Uninsured (Client has no insurance) | 25% |
| Eligibility Process (Redundant process for renewing eligibility) | 39% | Understaffing (Shortage of staff) | 7% | Coverage Gaps (Certain services/medications not covered) | 55% |
| Documentation (Problems obtaining documentation needed for eligibility) | 16% | Service Change (Change in service w/o notice) | 7% | Locating Provider (Difficulty locating provider that takes insurance) | 18% |
| | | Complex Process (Burden of long complex process for accessing services) | 57% | ACA (Problems with ACA enrollment process) | 3% |
| | | Dismissal (Client dismissal from agency) Hours | 7% | | |
| | | (Problem with agency hours of operation) | 12% | | |
| Transportation | | Financial | % | Accessibility | % |
| No Transportation (No or limited transportation options) | 91% | Financial Resources (Could not afford service) | 100% | Literacy (Cannot read/difficulty reading) | 12% |
| Providers (Problems with special transportation providers such as Metrolift or Medicaid transportation) | 9% | | | Spanish Services (Services not made available in Spanish) | 0% |
| | | | | Released from Incarceration (Restricted from services due to probation, parole, or felon status) Distance | 12% |
| | | | | (Service not offered within accessible distance) | 76% |
| Resource Availability | % | Housing | % | Employment | % |
| Insufficient (Resources offered insufficient for meeting need) | 81% | Homeless (Client is without stable housing) | 0% | Unemployed (Client is unemployed) | 20% |
| Quality (Resource quality was poor) | 19% | IPV (Interpersonal domestic issues make housing situation unsafe) | 100% | Leave (Employer does not provide sick/wellness leave for appointments) | 80% |

NEEDS AND ACCESSIBILITY FOR UNFUNDED SERVICES

The Ryan White HIV/AIDS Program allows funding of 13 core medical services and 15 support services, though only 17 of these services were funded in the Houston area at the time of survey. For this first time, the 2020 Houston Area HIV Needs Assessment collected data on the need for and accessibility to services that are allowable under Ryan White, but not currently funded in the Houston area. While these services are not funded under Ryan White, other funding sources in the community may offer them.

Overall Ranking of Unfunded Services, by Need

Participants of the 2020 Houston HIV Care Services Needs Assessment were asked to indicate which of allowable but currently unfunded services they needed in the past 12 months.

(Graph 4) At 53%, housing was the most needed unfunded service in the Houston Area, followed by

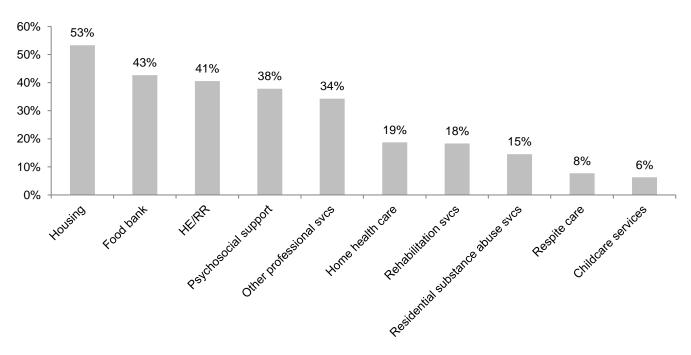
food bank at 43%, health education/risk reduction at 41%, psychosocial support services at 38%, and other professional services at 34%. Of participants indicating a need for food bank, 69% reported needing services from a food bank, 6% reported needing home delivered meals, and 25% indicated need for both types of food bank service. Among participants indicating a need for psychosocial support services, 89% reported needing an in-person support group, 3% reported needing an online support group, and 8% indicated need for both types of psychosocial support.

Home health care had the highest need ranking of any unfunded core medical service, while housing received the highest need ranking of any unfunded support service.

GRAPH 4-Ranking of Unfunded HIV Services in the Houston Area, By Need, 2020

Definition: Percent of needs assessment participants stating they needed the unfunded service in the past 12 months, regardless of service accessibility.

Denominator: 569-572 participants, varying between service categories



Overall Ranking of Unfunded Services, by Accessibility

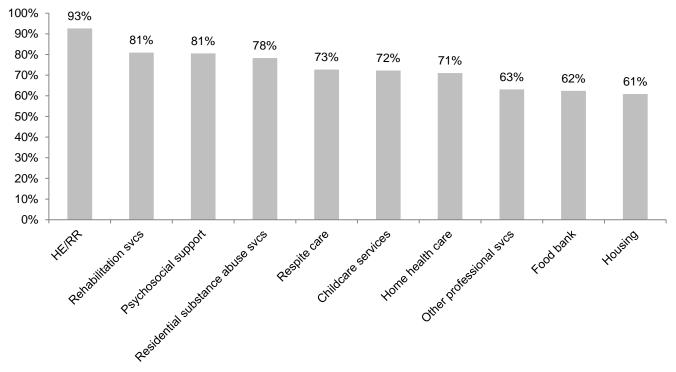
Participants were asked to indicate if each of the unfunded HIV services they needed in the past 12 months was easy or difficult for them to access.

(**Graph 5**) The most accessible unfunded service was health education/risk reduction at 93% ease of access, followed by rehabilitation services at 81%,

psychosocial support services at 81%, residential substance abuse services at 78%, and respite care at 73%. The least accessible needed unfunded services was housing at 61%. Home health care had the highest accessibility ranking of any core medical service, while rehabilitation services received the highest accessibility ranking of any support service.

GRAPH 5-Ranking of Unfunded HIV Services in the Houston Area, By Accessibility, 2020

Definition: Of needs assessment participants stating they needed the unfunded service in the past 12 months, the percent stating it was easy to access the service.



Denominator: 569-572 participants, varying between service categories

Other Identified Needs

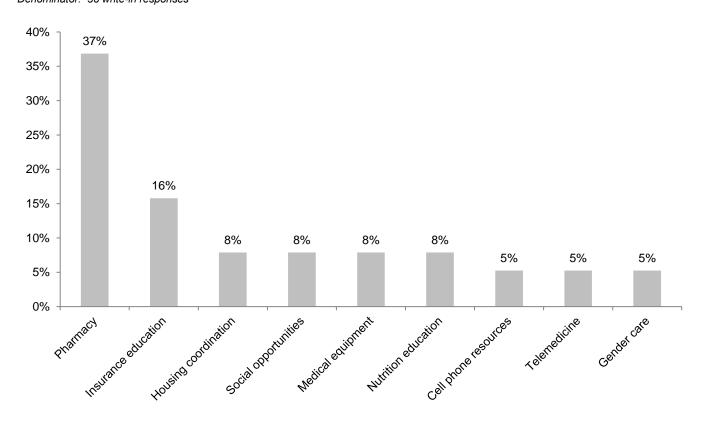
In addition to the allowable HIV services listed above, participants were also encouraged to write-in other types of needed services to gauge any new or emerging service needs in the community.

(Graph 6) Participants identified nine additional needs not otherwise described in funded and unfunded

services above. The most common identified needs related to pharmacy, such as having medications delivered and automatic refills, at 37%. This was followed by insurance education at 16%, and housing coordination, social opportunities, coverage for medical equipment, and nutrition education, each at 8%.

GRAPH 6-Other Needs for HIV Services in the Houston Area, 2020

Definition: Percent of write-in responses by type for the survey question, "What other kinds of services do you need to help you get your HIV medical care?" Denominator: 38 write-in responses





Service-Specific Fact Sheets

DAY TREATMENT

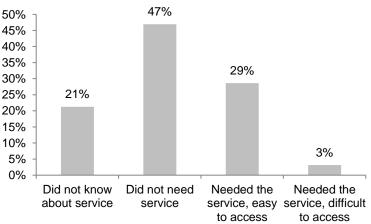
Day treatment, technically referred to as *home and community-based health services*, provides therapeutic nursing, support services, and activities for persons living with HIV (PLWH) at a community-based location. This service does not currently include in-home health care, in-patient hospitalizations, or long-term nursing facilities.

(**Graph 1**) In the 2020 Houston HIV Care Services Needs Assessment, 32% of participants indicated a need for *day treatment* in the past 12 months. 29% reported the service was easy to access, and 3% reported difficulty. 21% stated that they did not know the service was available.

(**Table 1**) When barriers to *day treatment* were reported, the most common barrier type was education and awareness (25%). Education and awareness barriers reported include lack of knowledge about service availability and where to access the service.

| TABLE 1-Top 3 Reported Barrier Types for Day Treatment, 2020 | | | | | | | | | |
|---|------------------------------|---|-----|--|--|--|--|--|--|
| | No. % | | | | | | | | |
| 1. | Education and Awareness (EA) | 3 | 25% | | | | | | |
| 2. | Administrative (AD) | 2 | 17% | | | | | | |
| 3. | Wait (W) | 2 | 17% | | | | | | |

GRAPH 1-Day Treatment, 2020



(Table 2 and Table 3) Need and access to services can be analyzed for needs assessment participants according to demographic and other characteristics, revealing the presence of any potential disparities in access to services For *day treatment*, this analysis shows the following:

- More females than males found the service accessible.
- More other/multiracial PLWH found the service accessible than other race/ethnicities.
- More PLWH age 18 to 24 found the service accessible than other age groups.
- In addition, more transgender and homeless PLWH found the service difficult to access when compared to all participants.

| TABLE 2- Day Treatment, by Demographic Categories, 2020 | | | | | | | | | |
|---|------|--------|-------|-------|----------|-------|-------|-------|-----|
| Sex (at birth) Race/ethnicity Age | | | | | | | | | |
| Experience with the Service | Male | Female | White | Black | Hispanic | Other | 18-24 | 25-49 | 50+ |
| Did not know about service | 22% | 18% | 18% | 24% | 20% | 19% | 14% | 26% | 15% |
| Did not need service | 46% | 50% | 69% | 49% | 40% | 42% | 38% | 45% | 51% |
| Needed, easy to access | 28% | 29% | 12% | 24% | 38% | 31% | 52% | 25% | 32% |
| Needed, difficult to access | 3% | 2% | 1% | 3% | 2% | 4% | 0% | 4% | 1% |

TABLE 3- Day Treatment, by Selected Special Populations, 2020

| Experience with the Service | Homeless ^a | MSM⁵ | Out of Care ^c | Recently Released ^d | Rural ^e | Transgender ^f |
|-----------------------------|-----------------------|------|-----------------------------|-----------------------------------|--------------------|--------------------------|
| Did not know about service | 27% | 24% | 23% | 31% | 26% | 28% |
| Did not need service | 29% | 49% | 52% | 30% | 66% | 36% |
| Needed, easy to access | 35% | 24% | 26% | 38% | 9% | 20% |
| Needed, difficult to access | 8% | 3% | 0% | 2% | 0% | 16% |

^aPersons reporting current homelessness ^bMen who have sex with men ^cPersons with no evidence of HIV care for 12 mo.

^dPersons released from incarceration in the past 12 mo. ^eNon-Houston/Harris County residents ^lPersons with discordant sex assigned at birth and current gender

EARLY INTERVENTION (JAIL ONLY)

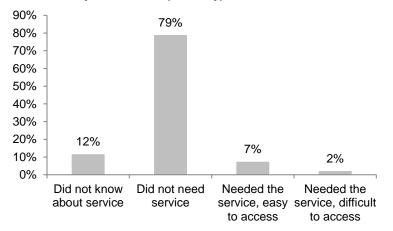
Early intervention services (EIS) refers to the provision of HIV testing, counseling, and referral in the Ryan White HIV/AIDS Program setting. In the Houston Area, the Ryan White HIV/AIDS Program funds EIS to persons living with HIV (PLWH) who are incarcerated in the Harris County Jail. Services focus on post-incarceration care coordination to ensure continuity of primary care and medication adherence post-release.

(Graph 1) In the 2020 Houston Area HIV needs assessment, 9% of participants indicated a need for *early intervention services* in the past 12 months. 7% reported the service was easy to access, and 2% reported difficulty. 12% stated that they did not know the service was available.

(**Table 1**) When barriers to *early intervention services* were reported, the most common barrier type was interactions with staff (67%). Interactions with staff barriers reported include poor correspondence or follow up, poor treatment, and service referral to provider that did not meet client needs.

| TABLE 1-Top 4 Reported Barrier Types for Early Intervention (Jail Only), 2020 | | | | | | | |
|--|------------------------------|-----|-----|--|--|--|--|
| | | No. | % | | | | |
| 1. | Interactions with Staff (S) | 6 | 67% | | | | |
| 2. | Education and Awareness (EA) | 3 | 33% | | | | |

GRAPH 1-Early Intervention (Jail Only), 2020



(**Table 2 and Table 3**) Need and access to services can be analyzed for needs assessment participants according to demographic and other characteristics, revealing the presence of any potential disparities in access to services. For *early intervention services*, this analysis shows the following:

- More females than males found the service accessible.
- More Hispanic/Latino PLWH found the service accessible than other race/ethnicities.
- More PLWH age 18 to 24 found the service accessible than other age groups.
- In addition, more recently released, homeless, transgender, and MSM PLWH found the service difficult to access when compared to all participants.

| TABLE 2-Early Intervention (Jail Only), by Demographic Categories, 2020 | | | | | | | | | |
|---|------|--------|-------|-------|----------|-------|-------|-------|-----|
| Sex (at birth) Race/ethnicity Age | | | | | | | | | |
| Experience with the Service | Male | Female | White | Black | Hispanic | Other | 18-24 | 25-49 | 50+ |
| Did not know about service | 13% | 8% | 5% | 12% | 12% | 12% | 5% | 12% | 11% |
| Did not need service | 77% | 84% | 83% | 78% | 81% | 31% | 86% | 77% | 82% |
| Needed, easy to access | 8% | 7% | 8% | 9% | 5% | 38% | 5% | 9% | 6% |
| Needed, difficult to access | 2% | 1% | 4% | 2% | 1% | 19% | 0% | 3% | 1% |

TABLE 3-Early Intervention (Jail Only), by Selected Special Populations, 2020

| | | | Out of | Recently | | |
|-----------------------------|-----------------------|------------------|--------|----------|--------------------|--------------------------|
| Experience with the Service | Homeless ^a | MSM ^b | Carec | Released | Rural ^e | Transgender ^t |
| Did not know about service | 13% | 14% | 6% | 15% | 14% | 4% |
| Did not need service | 66% | 79% | 87% | 43% | 80% | 83% |
| Needed, easy to access | 16% | 5% | 6% | 31% | 6% | 8% |
| Needed, difficult to access | 5% | 3% | 0% | 11% | 0% | 4% |

^aPersons reporting current homelessness ^bMen who have sex with men ^cPersons with no evidence of HIV care for 12 mo.

^dPersons released from incarceration in the past 12 mo. ^eNon-Houston/Harris County residents ¹Persons with discordant sex assigned at birth and current gender

HOSPICE

Hospice is end-of-life care for persons living with HIV (PLWH) who are in a terminal stage of illness (defined as a life expectancy of 6 months or less). This includes room, board, nursing care, mental health counseling, physician services, and palliative care.

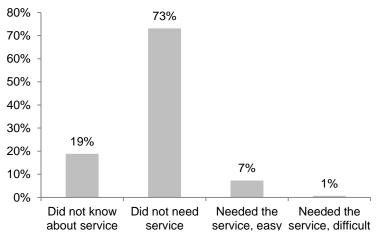
(Graph 1) In the 2020 Houston HIV Care Services Needs Assessment, 8% of participants indicated a need for *hospice* in the past 12 months. 7% reported the service was easy to access, and 1% reported difficulty. 17% stated that they did not know the service was available.

(**Table 1**) Only two barriers were reported for hospice. This number is too small to detect any pattern in service barriers for hospice.

| TABLE 1- Reported Barrier Types for Hospice, 2020 | | | | No. | % |
|--|--|---------|-----------|---------|---|
| | | Barrier | Types for | Hospice | |

| 1. | Health Insurance Coverage (I) | 1 | 50% |
|----|-------------------------------|---|-----|
| 2. | Transportation (T) | 1 | 50% |

GRAPH 1-Hospice, 2020



(Table 2 and Table 3) Need and access to services can be analyzed for needs assessment participants according to demographic and other characteristics, revealing the presence of any potential disparities in access to services. For *hospice*, this analysis shows the following:

- More females than males found the service accessible.
- More White, Hispanic/Latino, and other/multiracial PLWH found the service accessible than Black/African American PLWH.
- More PLWH age 50+ found the service accessible than other PLWH age 25 to 49.
- In addition, more MSM PLWH found the service difficult to access when compared to all participants.

| TABLE 2-Hospice, by Demographic Categories, 2020 | | | | | | | | | |
|--|-------|-----------|-------|-------|-----------|-------|-------|-------|-----|
| | Sex (| at birth) | - | Race/ | ethnicity | | | Age | - |
| Experience with the Service | Male | Female | White | Black | Hispanic | Other | 18-24 | 25-49 | 50+ |
| Did not know about service | 20% | 15% | 10% | 18% | 23% | 23% | 10% | 23% | 13% |
| Did not need service | 72% | 78% | 87% | 76% | 65% | 65% | 95% | 67% | 80% |
| Needed, easy to access | 8% | 5% | 3% | 5% | 11% | 12% | 0% | 9% | 6% |
| Needed, difficult to access | 0% | 1% | 0% | 1% | 0% | 0% | 0% | 1% | 0% |

| TABLE 3- Hospice, by Selected Special Populations, 2020 | | | | | | | | |
|---|-----------------------|------------------|-----------------------------|-----------------------------------|--------------------|--------------------------|--|--|
| Experience with the Service | Homeless ^a | MSM ^b | Out of Care ^c | Recently Released ^d | Rural ^e | Transgender ^f | | |
| Did not know about service | 19% | 8% | 26% | 27% | 11% | 36% | | |
| Did not need service | 68% | 54% | 61% | 63% | 83% | 64% | | |
| Needed, easy to access | 13% | 33% | 13% | 11% | 6% | 0% | | |
| Needed, difficult to access | 0% | 1/% | 0% | 0% | 0% | 0% | | |

^aPersons reporting current homelessness ^bMen who have sex with men ^cPersons with no evidence of HIV care for 12 mo.

^dPersons released from incarceration in the past 12 mo. ^eNon-Houston/Harris County residents ^lPersons with discordant sex assigned at birth and current gender

TRANSPORTATION

Transportation services provides transportation to persons living with HIV (PLWH) to locations where HIV-related care is received, including pharmacies, mental health services, and substance abuse services. The service can be provided in the form of public transportation vouchers (bus passes), gas vouchers (for rural clients), taxi vouchers (for emergency purposes), and van-based services as medically indicated.

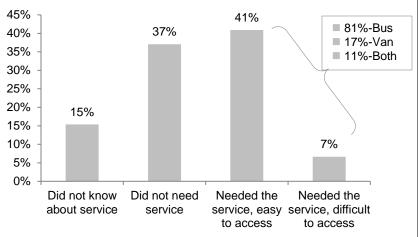
(**Graph 1**) In the 2020 Houston HIV Care Services Needs Assessment, 48% of participants indicated a need for *transportation services* in the past 12 months. 41% reported the service was easy to access, and 7% reported difficulty. 15% stated they did not know the service was available. When analyzed by type transportation assistance sought, 81% of participants needed bus passes, 17% needed van services, and 11% needed both forms of assistance.

(**Table 1**) When barriers to *transportation services* were reported, the most common barrier type was education and awareness (24%). Transportation barriers reported include lack of knowledge about service availability and where to go to access the service.

TABLE 1-Top 5 Reported Barrier Types forTransportation Services, 2020

| | | No. | % |
|----|------------------------------|-----|-----|
| 1. | Education and Awareness (EA) | 7 | 24% |
| 2. | Resource Availability (R) | 5 | 17% |
| 3. | Transportation (T) | 5 | 17% |
| 4. | Eligibility (EL) | 3 | 10% |
| 5. | Financial (F) | 3 | 10% |

GRAPH 1-Transportation Services, 2020



(**Table 2 and Table 3**) Need and access to services can be analyzed for needs assessment participants according to demographic and other characteristics, revealing the presence of any potential disparities in access to services. For *transportation services,* this analysis shows the following:

- More males than females found the service accessible..
- More Hispanic/Latino PLWH found the service accessible than other race/ethnicities.
- More PLWH age 18 to 24 found the service accessible than other age groups.
- In addition, more homeless, out of care, and recently released PLWH found the service difficult to access when compared to all participants.

| TABLE 2-Transportation Services, by Demographic Categories, 2020 | | | | | | | | | |
|--|----------------|--------|----------------|-------|----------|-------|-------|-------|-----|
| | Sex (at birth) | | Race/ethnicity | | | | Age | | |
| Experience with the Service | Male | Female | White | Black | Hispanic | Other | 18-24 | 25-49 | 50+ |
| Did not know about service | 17% | 10% | 5% | 14% | 8% | 12% | 43% | 20% | 7% |
| Did not need service | 38% | 35% | 51% | 32% | 81% | 31% | 14% | 38% | 37% |
| Needed, easy to access | 39% | 47% | 36% | 49% | 9% | 38% | 43% | 35% | 50% |
| Needed, difficult to access | 6% | 8% | 8% | 5% | 1% | 19% | 5% | 7% | 7% |

| TABLE 3-Transportation Services, by Selected Special Populations, 2020 | | | | | | |
|--|-----------------------|------------------|-----------------------------|-----------------------------------|--------------------|--------------------------|
| Experience with the Service | Homeless ^a | MSM ^b | Out of Care ^c | Recently Released ^d | Rural ^e | Transgender ^f |
| Did not know about service | 7% | 19% | 30% | 12% | 14% | 8% |
| Did not need service | 28% | 38% | 17% | 21% | 71% | 32% |
| Needed, easy to access | 51% | 37% | 40% | 59% | 14% | 16% |
| Needed, difficult to access | 15% | 6% | 13% | 8% | 0% | 4% |

^aPersons reporting current homelessness ^bMen who have sex with men ^cPersons with no evidence of HIV care for 12 mo. ^dPersons released from incarceration in the past 12 mo. ^eNon-Houston/Harris County residents ^dPersons with discordant sex assigned at birth and current gende

March 11, 2021

Dear Ryan White Planning Council,

I am writing to secure a commitment from our Council to continue the path our community forged in developing our END HIV Houston plan and use a racial and social justice approach in development of our next Integrated HIV Prevention and Care Plan.

In the June 17, 2020, letter from both Laura Cheever and Eugene MCCray, we were encouraged to *incorporate our community engagement for the EHE plans and integrated planning activities to the extent that is helpful.* In the same letter, and repeated in the February 2021 letter, we are told our Integrated HIV Prevention and Care Plan will be the umbrella plan for all of our HIV-related resources and activities and the EHE plan should work in conjunction as a subset of focused resources and activities. This focused subset of resources and activities should take a racial and social justice approach in their development to strengthen the alignment with the EHE and END HIV Houston plan. The approach is both innovative and disruptive, as we were invited to be in developing our EHE Plan by Dr. Redfield. My evidence for both is two-fold:

- a. According to the HHD, their EHE Plan submission to the CDC was the only one taking a racial and social justice approach, which I take as a testament to our foresight and innovation .
- b. Dr. Fauci stated in an interview with Terry Gross the mistake, or lost opportunity, made years ago was not addressing HIV via a racial lens and that the same mistake has been repeated with our response to COVID. We can correct this mistake by continuing the community's charge to address our HIV epidemic through a racial and social justice lens. Here is a link to that interview: <a href="https://www.npr.org/sections/health-shots/2021/02/04/963943156/fauci-on-vaccinations-and-bidens-refreshing-approach-to-covid-19?sc=18&f="https://www.npr.org/sections/health-shots/2021/02/04/963943156/fauci-on-vaccinations-and-bidens-refreshing-approach-to-covid-19?sc=18&f="https://www.npr.org/sections/health-shots/2021/02/04/963943156/fauci-on-vaccinations-and-bidens-refreshing-approach-to-covid-19?sc=18&f="https://www.npr.org/sections/health-shots/2021/02/04/963943156/fauci-on-vaccinations-and-bidens-refreshing-approach-to-covid-19?sc=18&f="https://www.npr.org/sections/health-shots/2021/02/04/963943156/fauci-on-vaccinations-and-bidens-refreshing-approach-to-covid-19?sc=18&f="https://www.npr.org/sections/health-shots/2021/02/04/963943156/fauci-on-vaccinations-and-bidens-refreshing-approach-to-covid-19?sc=18&f="https://www.npr.org/sections/health-shots/2021/02/04/963943156/fauci-on-vaccinations-and-bidens-refreshing-approach-to-covid-19?sc=18&f="https://www.npr.org/sections/health-shots/2021/02/04/963943156/fauci-on-vaccinations-and-bidens-refreshing-approach-to-covid-19?sc=18&f="https://www.npr.org/sections/health-shots/2021/02/04/963943156/fauci-on-vaccinations-and-bidens-refreshing-approach-to-covid-19?sc=18&f="https://www.npr.org/sections/health-shots/2021/02/04/963943156/fauci-on-vaccinations-and-bidens-refreshing-approach-to-covid-19?sc=18&f="https://www.npr.org/sections/health-shots/2021/02/04/963943156/fauci-on-vaccinations-approach-to-covid-19?sc=18&f="https://www.npr.org/sections/health-shots/2021/02/04/963943156/fauci-on-vaccinations-approach-to-covid-19?sc=18&f="https://www.npr.org/sections/health-shots/108/sc=1

During the first day of the PACHA meeting, several speakers (Dr. Laura Cheever, Harold Phillips, and Dr. Daskalakis) spoke to the need to be intentional about advancing racial equity and support for underserved communities. A racial and social justice approach will help us accomplish this goal and possibly assist with creating opportunities to discover and/or develop a means to further President Biden's Executive Order on Advancing Racial Equity and Support for Underserved Communities Through the Federal Government. We are practically being invited to continue the path our community forged in the development of the END HIV Plan, a document which infused the Houston Health Department's EHE Plan. https://www.whitehouse.gov/briefing-room/presidential-actions/2021/01/20/executive-order-advancing-racial-equity-and-support-for-underserved-communities-through-the-federal-government/

As a reminder, Houston created a combined HIV prevention and care services plan about 5 years prior to the Feds mandating it. Four years later, our community prophetically created a *racial and social justice infused community driven plan to end HIV*, about four years before the Feds aired any idea of ending HIV with funding attached to it. On both counts, we did not wait to be told but took advantage of invitations to create our community vision to end HIV in Houston. We should continue leading and not be afraid to commit to taking a racial and social justice approach. As they have demonstrated, HRSA and the CDC eventually catch up when we act as they have done now.

Thank you,

Steven Vargas, (pronouns: He, Him, His, Él)

BRIEFING ROOM

Executive Order On Advancing Racial Equity and Support for Underserved **Communities Through the Federal** Government

JANUARY 20, 2021 • PRESIDENTIAL ACTIONS

By the authority vested in me as President by the Constitution and the laws of the United States of America, it is hereby ordered:

Section 1. Policy. Equal opportunity is the bedrock of American democracy, and our diversity is one of our country's greatest strengths. But for too many, the American Dream remains out of reach. Entrenched disparities in our laws and public policies, and in our public and private institutions, have often denied that equal opportunity to individuals and communities. Our country faces converging economic, health, and climate crises that have exposed and exacerbated inequities, while a historic movement for justice has highlighted the unbearable human costs of systemic racism. Our Nation deserves an ambitious whole-of-government equity agenda that matches the scale of the opportunities and challenges that we face.

It is therefore the policy of my Administration that the Federal Government should pursue a comprehensive approach to advancing equity for all, including people of

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color and others who have been historically underserved, marginalized, and adversely affected by persistent poverty and inequality. Affirmatively advancing equity, civil rights, racial justice, and equal opportunity is the responsibility of the whole of our Government. Because advancing equity requires a systematic approach to embedding fairness in decision-making processes, executive departments and agencies (agencies) must recognize and work to redress inequities in their policies and programs that serve as barriers to equal opportunity.

By advancing equity across the Federal Government, we can create opportunities for the improvement of communities that have been historically underserved, which benefits everyone. For example, an analysis shows that closing racial gaps in wages, housing credit, lending opportunities, and access to higher education would amount to an additional \$5 trillion in gross domestic product in the American economy over the next 5 years. The Federal Government's goal in advancing equity is to provide everyone with the opportunity to reach their full potential. Consistent with these aims, each agency must assess whether, and to what extent, its programs and policies perpetuate systemic barriers to opportunities and benefits for people of color and other underserved groups. Such assessments will better equip agencies to develop policies and programs that deliver resources and benefits equitably to all.

Sec. 2. Definitions. For purposes of this order: (a) The term "equity" means the consistent and systematic fair, just, and impartial treatment of all individuals, including individuals who belong to underserved communities that have been denied such treatment, such as Black, Latino, and Indigenous and Native American persons, Asian Americans and Pacific Islanders and other persons of color; members

of religious minorities; lesbian, gay, bisexual, transgender, and queer (LGBTQ+) persons; persons with disabilities; persons who live in rural areas; and persons otherwise adversely affected by persistent poverty or inequality.

(b) The term "underserved communities" refers to populations sharing a particular characteristic, as well as geographic communities, that have been systematically denied a full opportunity to participate in aspects of economic, social, and civic life, as exemplified by the list in the preceding definition of "equity."

Sec. 3. Role of the Domestic Policy Council. The role of the White House Domestic Policy Council (DPC) is to coordinate the formulation and implementation of my Administration's domestic policy objectives. Consistent with this role, the DPC will coordinate efforts to embed equity principles, policies, and approaches across the Federal Government. This will include efforts to remove systemic barriers to and provide equal access to opportunities and benefits, identify communities the Federal Government has underserved, and develop policies designed to advance equity for those communities. The DPC-led interagency process will ensure that these efforts are made in coordination with the directors of the National Security Council and the National Economic Council.

Sec. 4. Identifying Methods to Assess Equity. (a) The Director of the Office of Management and Budget (OMB) shall, in partnership with the heads of agencies, study methods for assessing whether agency policies and actions create or exacerbate barriers to full and equal participation by all eligible individuals. The study should aim to identify the best methods, consistent with applicable law, to assist agencies in assessing equity with respect to race, ethnicity,

religion, income, geography, gender identity, sexual orientation, and disability.

(b) As part of this study, the Director of OMB shall consider whether to recommend that agencies employ pilot programs to test model assessment tools and assist agencies in doing so.

(c) Within 6 months of the date of this order, the Director of OMB shall deliver a report to the President describing the best practices identified by the study and, as appropriate, recommending approaches to expand use of those methods across the Federal Government.

Sec. 5. Conducting an Equity Assessment in Federal

Agencies. The head of each agency, or designee, shall, in consultation with the Director of OMB, select certain of the agency's programs and policies for a review that will assess whether underserved communities and their members face systemic barriers in accessing benefits and opportunities available pursuant to those policies and programs. The head of each agency, or designee, shall conduct such review and within 200 days of the date of this order provide a report to the Assistant to the President for Domestic Policy (APDP) reflecting findings on the following:

(a) Potential barriers that underserved communities and individuals may face to enrollment in and access to benefits and services in Federal programs;

(b) Potential barriers that underserved communities and individuals may face in taking advantage of agency procurement and contracting opportunities;

(c) Whether new policies, regulations, or guidance documents may be necessary to advance equity in agency actions and programs; and

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(d) The operational status and level of institutional resources available to offices or divisions within the agency that are responsible for advancing civil rights or whose mandates specifically include serving underrepresented or disadvantaged communities.

Sec. 6. Allocating Federal Resources to Advance Fairness and Opportunity. The Federal Government should, consistent with applicable law, allocate resources to address the historic failure to invest sufficiently, justly, and equally in underserved communities, as well as individuals from those communities. To this end:

(a) The Director of OMB shall identify opportunities to promote equity in the budget that the President submits to the Congress.

(b) The Director of OMB shall, in coordination with the heads of agencies, study strategies, consistent with applicable law, for allocating Federal resources in a manner that increases investment in underserved communities, as well as individuals from those communities. The Director of OMB shall report the findings of this study to the President.

Sec. 7. Promoting Equitable Delivery of Government Benefits and Equitable Opportunities. Government programs are designed to serve all eligible individuals. And Government contracting and procurement opportunities should be available on an equal basis to all eligible providers of goods and services. To meet these objectives and to enhance compliance with existing civil rights laws:

(a) Within 1 year of the date of this order, the head of each

agency shall consult with the APDP and the Director of OMB to produce a plan for addressing:

(i) any barriers to full and equal participation in programs identified pursuant to section 5(a) of this order; and

(ii) any barriers to full and equal participation in agency procurement and contracting opportunities identified pursuant to section 5(b) of this order.

(b) The Administrator of the U.S. Digital Service, the United States Chief Technology Officer, the Chief Information Officer of the United States, and the heads of other agencies, or their designees, shall take necessary actions, consistent with applicable law, to support agencies in developing such plans.

Sec. 8. Engagement with Members of Underserved

Communities. In carrying out this order, agencies shall consult with members of communities that have been historically underrepresented in the Federal Government and underserved by, or subject to discrimination in, Federal policies and programs. The head of each agency shall evaluate opportunities, consistent with applicable law, to increase coordination, communication, and engagement with community-based organizations and civil rights organizations.

Sec. 9. Establishing an Equitable Data Working Group.

Many Federal datasets are not disaggregated by race, ethnicity, gender, disability, income, veteran status, or other key demographic variables. This lack of data has cascading effects and impedes efforts to measure and advance equity. A first step to promoting equity in Government action is to gather the data necessary to inform that effort.

(a) Establishment. There is hereby established an Interagency Working Group on Equitable Data (Data Working Group).

(b) Membership.

The Chief Statistician of the United States and the (i) United States Chief Technology Officer shall serve as Co-Chairs of the Data Working Group and coordinate its work. The Data Working Group shall include representatives of agencies as determined by the Co-Chairs to be necessary to complete the work of the Data Working Group, but at a minimum shall include the following officials, or their designees:

(A) the Director of OMB;

(B) the Secretary of Commerce, through the Director of the U.S. Census Bureau;

(C) the Chair of the Council of Economic Advisers;

(D) the Chief Information Officer of the United States;

(E) the Secretary of the Treasury, through the Assistant Secretary of the Treasury for Tax Policy;

(F) the Chief Data Scientist of the United States; and

(G) the Administrator of the U.S. Digital Service.

(ii) The DPC shall work closely with the Co-Chairs of the Data Working Group and assist in the Data Working Group's interagency coordination functions.

(iii) The Data Working Group shall consult with agencies to

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facilitate the sharing of information and best practices, consistent with applicable law.

(c) Functions. The Data Working Group shall:

(i) through consultation with agencies, study and provide recommendations to the APDP identifying inadequacies in existing Federal data collection programs, policies, and infrastructure across agencies, and strategies for addressing any deficiencies identified; and

(ii) support agencies in implementing actions, consistent with applicable law and privacy interests, that expand and refine the data available to the Federal Government to measure equity and capture the diversity of the American people.

(d) OMB shall provide administrative support for the Data Working Group, consistent with applicable law.

Sec. 10. Revocation. (a) Executive Order 13950 of September 22, 2020 (Combating Race and Sex Stereotyping), is hereby revoked.

(b) The heads of agencies covered by Executive Order 13950 shall review and identify proposed and existing agency actions related to or arising from Executive Order 13950. The head of each agency shall, within 60 days of the date of this order, consider suspending, revising, or rescinding any such actions, including all agency actions to terminate or restrict contracts or grants pursuant to Executive Order 13950, as appropriate and consistent with applicable law.

(c) Executive Order 13958 of November 2, 2020 (Establishing the President's Advisory 1776 Commission), is hereby revoked.

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Sec. 11. General Provisions. (a) Nothing in this order shall be construed to impair or otherwise affect:

(i) the authority granted by law to an executive department or agency, or the head thereof; or

(ii) the functions of the Director of the Office of Management and Budget relating to budgetary, administrative, or legislative proposals.

(b) This order shall be implemented consistent with applicable law and subject to the availability of appropriations.

(c) Independent agencies are strongly encouraged to comply with the provisions of this order.

(d) This order is not intended to, and does not, create any right or benefit, substantive or procedural, enforceable at law or in equity by any party against the United States, its departments, agencies, or entities, its officers, employees, or agents, or any other person.

JOSEPH R. BIDEN JR.

THE WHITE HOUSE, January 20, 2021.

America: Equity and Equality in Health 3

CrossMark

Structural racism and health inequities in the USA: evidence and interventions

Zinzi D Bailey, Nancy Krieger, Madina Agénor, Jasmine Graves, Natalia Linos, Mary T Bassett

Despite growing interest in understanding how social factors drive poor health outcomes, many academics, policy makers, scientists, elected officials, journalists, and others responsible for defining and responding to the public discourse remain reluctant to identify racism as a root cause of racial health inequities. In this conceptual report, the third in a Series on equity and equality in health in the USA, we use a contemporary and historical perspective to discuss research and interventions that grapple with the implications of what is known as structural racism on population health and health inequities. Structural racism refers to the totality of ways in which societies foster racial discrimination through mutually reinforcing systems of housing, education, employment, earnings, benefits, credit, media, health care, and criminal justice. These patterns and practices in turn reinforce discriminatory beliefs, values, and distribution of resources. We argue that a focus on structural racism offers a concrete, feasible, and promising approach towards advancing health equity and improving population health.

Introduction

Racial and ethnic inequalities, including health inequities, are well documented in the USA (table),¹⁻⁵ and have been a part of government statistics since the founding of colonial America.⁶⁻⁸ However, controversies abound over explanations for these inequities.⁶⁻⁸ In this report, we offer a perspective not often found in the medical literature or taught to students of health sciences, by focusing on structural racism (panel 1)⁹⁻¹¹ as a key determinant of population health.^{910,12,13} To explore this determinant of health and health equity, we examine a range of disciplines and sectors, including but not limited to medicine, public health, housing, and human resources. Our focus is the USA.

Although there is growing interest in understanding how social factors drive poor health outcomes,14 and directed investigation in social science and social epidemiology into the interconnected systems of discrimination,^{9,10,12,13} many academics, policy makers, scientists, elected officials, and others responsible for defining and responding to the public discourse remain resistant to identify racism as a root cause of racial health inequities.9,10,13 For example, in a Web of Science search done on Sept 7, 2016, with the term "race" in conjunction with "health", "disease", "medicine", or "public health", 47855 articles were retrieved. However, when "race" was replaced by "racial discrimination", only 2061 articles were located, and only 1996 articles were found when it was replaced by "racism". Furthermore, when "race" was replaced by "structural or systematic racism", only 195 articles were identified (ie, 0.4% of those identified with the search term "race").

To date, the small body of empirical research on racial discrimination and health has focused primarily on the stress of perceived unfair treatment as experienced by individuals (interpersonal racism).^{9,10,12,15–18} Such inequitable suffering matters, but a broad, societal

view—one that identifies and seeks to alter how such racism contributes to poor health—is required to understand, prevent, and address the harms related to structural racism. There is a rich social science literature conceptualising structural racism,^{8-10,19} but this research has not been adequately integrated into medical and scientific literature geared towards clinicians and other health professionals.^{9,10,12,13} In this report, we examine what constitutes structural racism, explore evidence of how it harms health, and provide examples of interventions that can reduce its impact. Our central argument is that a focus on structural racism is essential to advance health equity and improve population health.

Structural racism: a brief introduction

Any account of structural racism within the USA must start with the experiences of black people and the Indigenous people of North America. It was on these two groups that the initial colonisers of North America (the English, French,

Search strategy and selection criteria

An overarching search strategy was not used; instead, we drew on our collective experience and specific searches for different sections to update or amplify the completeness of our review of the published literature. To identify review articles on racism and health, we searched Web of Science, PubMed, and Google Scholar using the search terms "racism AND health" or "racial discrimination AND health" or "structural racism AND health". Only review articles published in English between Jan 1, 2000, and Feb 23, 2016, were considered. We identified additional sources by performing selected searches in the databases listed above and the Google and DuckDuckGo search engines. These searches were further supplemented from our own knowledge of this subject. This is the third in a **Series** of five papers about equity and equality in health in the USA

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Key messages

- Racial/ethnic health inequities in the USA are well documented, but controversies over explanations of these inequities persist.
- To date, in the small body of empirical research on racism and health, most studies have focused on interpersonal racial/ethnic discrimination, with comparatively less emphasis on investigating the health effects of structural racism.
- Structural racism involves interconnected institutions, whose linkages are historically
 rooted and culturally reinforced. It refers to the totality of ways in which societies
 foster racial discrimination, through mutually reinforcing inequitable systems
 (in housing, education, employment, earnings, benefits, credit, media, health care,
 criminal justice, and so on) that in turn reinforce discriminatory beliefs, values, and
 distribution of resources, which together affect the risk of adverse health outcomes.
- One example of structural racism pertains to the ongoing residential segregation of black Americans, which is associated with adverse birth outcomes, increased exposure to air pollutants, decreased longevity, increased risk of chronic disease, and increased rates of homicide and other crime. Residential segregation also systematically shapes health-care access, utilisation, and quality at the neighbourhood, health-care system, provider, and individual levels.
- Several avenues exist for potentially efficacious solutions, including the use of a focused external force that acts on multiple sectors at once (eg, place-based multisector initiatives such as Purpose Built Communities, Promise Neighborhoods, and Choice Neighborhoods), disruption of leverage points within a sector that might have ripple effects in the system (eg, reforming drug policy and reducing excessive incarceration), and divorcing institutions from the racial discrimination system (eg, by training the next generation of health professionals about structural racism).
- A focus on structural racism offers a concrete, feasible, and promising approach towards advancing health equity and improving population health. Without a vision of health equity and the commitment to tackle structural racism, health inequities will persist.

Dutch, and Spanish) first promulgated genocide and enslavement, and created both legal and tacit systems of racial oppression.8,20,21 Our report focuses primarily on the experiences of black Americans, since most research on racism and health has focused on this racialised group. We recognise, however, that Native Americans and other people of colour in the USA-including Latinos, Asian Americans, and Pacific Islanders-have also been the target of healthharming racial discrimination, combined with antiimmigrant and religious (eg, anti-Muslim) discrimination.8 Although issues of immigration and nativism are beyond the scope of this report, our analysis is applicable to the structural discrimination experienced not only by these groups but also by societally defined and racialised groups in other countries with systems of oppression that have led to health inequities.9,14,16,22

Racial ideology and the categorisation of racialised social groups

As with many other race-conscious societies, the USA has a long history as a slaveholding republic and as a colonialsettler nation.^{8,19-21}The modern concept of "race" emerged at the cusp of the country's nationhood, as early European settlers sought to preserve an economy largely on the basis of the labour of enslaved African people and their descendants while upholding the universal rights of

"man".^{6,8,19,23,24} To reconcile this contradiction, the colonists established legal categories based on the premise that black and Native American individuals were different, less than human, and innately, intellectually, and morally inferiorand therefore subordinate—to white individuals. $^{\!\!\!8,19-21,23}$ Buttressing this concept of racial classification has been a long legacy of now discredited scientific theory and inquiry, constructed around the primary assumption that "race" was an innate and fixed characteristic and an inherently hierarchical category.68.9,19,23 This manufactured concept of race used ostensibly visible phenotypic characteristics and ancestry to justify systems of oppression and privilege.68,19 Similar processes in other racialised societies, such as those of South Africa and Brazil, have produced countryspecific racial hierarchies, which ascribe human value on the basis of proximity to whiteness.²² Furthermore, since the 18th century, scientific racism rooted in Aryan or white supremacy became a blueprint for many other manifestations of society-specific scientific racism around the world.^{6,22,25}

The continuing role of ostensibly colour-blind laws and policies

In the USA, since the passage of the 1960s civil rights laws,^{8,20} government complicity in the promotion of racial discrimination is typically viewed as belonging to the past. Examples of such de jure discrimination include the legalisation and enforcement of slavery, the Jim Crow laws enacted in the 1870s (which legalised racial discrimination in reaction to the civil rights and social gains attained by the newly freed black population in the short Reconstruction period after the US Civil War), the forcible removal of Indigenous people from their lands, and the forcible transfer of Indigenous children from their families to punitive so-called boarding schools designed to strip them of their culture.^{8,19-21,26,27}

However, this standard view overlooks the long reach of past practices and the impact of contemporary practices of institutional racism in both the public and private sector; such practices have been and continue to be realised by purportedly colour-blind policies that do not explicitly mention "race" but bear racist intent or consequences, or both.²⁸⁻³⁰ Institutional racism in one sector reinforces it in other sectors, forming a large, interconnected system of structural racism whereby unfair discriminatory practices and inequities in the health and criminal justice systems and in labour and housing markets bolster unfair discriminatory practices and inequities in the educational system, and vice versa.¹⁰ One key example, with ongoing intergenerational effects, is the historic Social Security Act of 1935, which created an important system of employment-based old-age insurance and unemployment compensation.^{8,20} The Act also, however, deliberately excluded agricultural workers and domestic servantsoccupations largely held by black men and women. This accommodation was made to secure the votes of Democrats in the South and thus ensure passage of the

| | Total | White non-Hispanic | Asian* | Hispanic or Latino | Black non- Hispanic† | Native American or Alaska Native |
|--|--------------|--------------------|--------------|-----------------------|-------------------------|-------------------------------------|
| Wealth: median household assets (2011) | \$68 828 | \$110500 | \$89339 | \$7683 | \$6314 | NR |
| Poverty: proportion living below poverty level, all ages (2014); children <18 years (2014) | 14.8%; 21.0% | 10.1%; 12.0% | 12.0%; 12.0% | 23.6%; 32.0% | 26.2%; 38.0% | 28.3%; 35.0% |
| Unemployment rate (2014) | 6.2% | 5.3% | 5.0% | 7.4% | 11.3% | 11.3% |
| Incarceration: male inmates per 100 000 (2008) | 982 | 610 | 185 | 836 | 3611 | 1573 |
| Proportion with no health insurance, age <65 years (2014) | 13.3% | 13.3% | 10.8% | 25.5% | 13.7% | 28.3% |
| Infant mortality per 1000 livebirths (2013) | 6.0 | 5.1 | 4.1 | 5.0 | 10.8 | 7.6 |
| Self-assessed health status (age-adjusted): proportion with fair or poor health (2014) | 8.9% | 8.3% | 7.3% | 12.2% | 13.6% | 14.1% |
| Potential life lost: person-years per 100 000 before the age of 75 years (2014) | 6621.1 | 6659.4 | 2954·4 | 4676.8 | 9490.6 | 6954.0 |
| Proportion reporting serious psychological distress‡ in the past 30 days, age ≥18 years, age-adjusted (2013-14) | 3.4% | 3.4% | 3.5% | 1.9% | 4.5% | 5.4% |
| Life expectancy at birth (2014), years | 78.8 | 79.0 | NR | 81.8 | 75.6 | NR |
| Diabetes-related mortality: age-adjusted mortality per 100 000 (2014) | 20.9 | 19.3 | 15.0 | 25.1 | 37.3 | 31.3 |
| Mortality related to heart disease: age-adjusted mortality per 100 000 (2014) | 167.0 | 165.9 | 86.1 | 116.0 | 206.3 | 119.1 |

NR=not reported. *Economic data and data on self-reported health and psychological distress are for Asians only; all other health data reported combine Asians and Pacific Islanders. †Wealth, poverty, and potential life lost before the age of 75 years are reported for the black population only; all other data are for the black non-Hispanic population. ‡Serious psychological distress in the past 30 days among adults aged 18 years and older is measured using the Kessler 6 scale (range–0-24; serious psychological distress: ±13). Sources: wealth data taken from the US Census;¹ poverty data for adults taken from the National Center for Health Statistics,² and poverty data for children taken from the National Center for Health Statistics;³ data on uninsured individuals taken from the National Center for Health Statistics;³ data on infant mortality, self-assessed health status, potential life lost, serious psychological distress. Jester Family Foundation,⁵ data on uninsured individuals taken from the National Center for Health Statistics;³ in center for Health Statistics;³ in center for Health Statistics;³ data on infant mortality, self-assessed health status, potential life lost, serious psychological distress. Jester Family Foundation,⁵ data on uninsured individuals taken from the National Center for Health Statistics;³ data on infant mortality, self-assessed health status, potential life lost, serious psychological distress. Jife expectancy, diabetes-related mortality, related to heart disease taken from the National Center for Health Statistics.³

Table: Social and health inequities in the USA

Act. This racially motivated exclusion afforded the primarily white recipients additional opportunities to acquire wealth and pass it on to their children, while those excluded were unable to do so and instead often became dependent on their children after retirement, thereby further curtailing the intergenerational accumulation of assets.⁸²⁰ The net result has been an entrenchment of racial economic inequities that persist to this day.^{810,20,29,30}

Another example is the War on Drugs and tough-oncrime policies enacted in the 1970s and 1980s (labelled "The new Jim Crow").²⁸ Without ever referring to "race" by itself, these policies stereotyped black Americans as drug addicts—despite similar prevalence of illicit drug use among white Americans—and disproportionately targeted black people for incarceration.^{28,30} The legacy of these policies is that the annual rate of incarceration of black men is $3 \cdot 8-10 \cdot 5$ times greater than that of white men, across all age groups;³¹ moreover, in 2014, almost 3% of all black men in the USA were serving sentences of at least 1 year in prison.³¹

Structural racism in the private sector

Institutional racism also continues unabated in the private sector, especially in housing and employment, underpinning the structural racism of the ostensibly colour-blind policies in the public sector.^{32–34} In their review of the evidence on discrimination in four domains (employment, housing, credit markets, and consumer markets), Pager and Shepherd³³ argue that discrimination

Panel 1: Definitions of structural racism and institutional racism

Many academics use structural racism and institutional racism interchangeably, but we consider these terms as two separate concepts.

Structural racism refers to "the totality of ways in which societies foster [racial] discrimination, via mutually reinforcing [inequitable] systems...(eg, in housing, education, employment, earnings, benefits, credit, media, health care, criminal justice, etc) that in turn reinforce discriminatory beliefs, values, and distribution of resources", reflected in history, culture, and interconnected institutions.⁹ This definition is similar to the "über discrimination" described by Reskin.¹⁰

Within this comprehensive definition, institutional racism refers specifically to racially adverse "discriminatory policies and practices carried out...[within and between individual] state or non-state institutions" on the basis of racialised group membership.⁹

Some of these institutional policies and practices explicitly name race (eg, de jure Jim Crow laws, which required schools and medical facilities to be racially segregated, and restricted certain neighbourhoods to be white-only), but many do not (eg, employer practices of screening applications on seemingly neutral codes, such as telephone area codes or ZIP codes, because of presumptions about which racial groups live where).¹¹

in the rental and housing markets against black and Latino communities remains pervasive, even though intentional redlining is no longer legal (the term redlining is derived from the legal practice initiated in 1934 by the Federal Housing Administration, which involved marking maps with red lines to delineate neighbourhoods where mortgages were denied to marginalised, racialised groups to steer them away from

Panel 2: Pathways between racism and health^{9,12,13,16-18}

Economic injustice and social deprivation^{8,9,12,32-35}

Examples include residential, educational, and occupational segregation of marginalised, racialised groups to low-quality neighbourhoods, schools, and jobs (both historical de jure discrimination and contemporary de facto discrimination), reduced salary for the same work, and reduced rates of promotion despite similar performance evaluations

Environmental and occupational health inequities9.36-38

Examples include strategic placement of bus garages and toxic waste sites in or close to neighbourhoods where marginalised, racialised groups predominantly reside, selective government failure to prevent lead leaching into drinking water (as in Flint, MI, in 2015–16), and disproportionate exposure of workers of colour to occupational hazards

Psychosocial trauma9,15,16,18

Examples include interpersonal racial discrimination, micro-aggressions (small, often unintentional racial slights and insults, such as a judge asking a black defence attorney "Can you wait outside until your attorney gets here?"), and exposure to racist media coverage, including social media

Targeted marketing of health-harming substances9.30.39

Examples include legal substances such as cigarettes and sugar-sweetened beverages, and illegal substances such as heroin and illicit opioids

Inadequate health care9,17,40-45

Examples include inadequate access to health insurance and health-care facilities, and substandard medical treatment due to implicit or explicit racial bias or discrimination

State-sanctioned violence and alienation from property and traditional lands^{9,21,30,46-48}

Examples include police violence, forced so-called urban renewal (the use of eminent domain to force the relocation of urban communities of colour), and the genocide and forced removal of Native Americans

Political exclusion49,50

Examples include voter restrictions (eg, for former felons and through identification requirements)

Maladaptive coping behaviours9,16,18

Examples include increased tobacco and alcohol consumption on the part of marginalised, racialised groups

Stereotype threats¹⁵⁻¹⁸

Examples include stigma of inferiority, leading to physiological arousal, and an impaired patient-provider relationship

white neighbourhoods). Additionally, strong evidence from experimental audit studies reveals continued racial discrimination in hiring decisions. In one study that used identical résumés, which differed only in the name of the applicant, hiring managers called back those with traditionally white names (eg, Brad or Emily) 50% more often than those with traditionally black names (eg, Jamal or Lakisha).³³ In another study that used mailed résumés, white applicants with criminal records were called back more often than were black applicants without criminal records.³³ Ongoing de facto racial segregation in the workforce is partly why black Americans, on average, have lower wages than those of white Americans.³⁵ As this brief summary suggests, structural racism is an ongoing—and not just historical—concern across multiple systems. We next consider the implications of such systemic racism on population health.

Health consequences of structural racism: evidence and evidence gaps

Contemporary scholarship has established multiple pathways by which racism harms health, involving adverse physical, social, and economic exposures, as well as maladaptive coping behaviours and stereotype threats (panel 2).^{9,12,13,15–18,21,30,32–50} Typically concurrent, these exposures can accumulate over the life course and across generations.

To date, research on racial discrimination and health has focused primarily on interpersonal discrimination as a psychosocial stressor.^{9,16-18} The strongest evidence in the scientific literature is for adverse effects on psychological wellbeing, mental health, and related health practices (eg, sleep disturbance, eating patterns, and the consumption of psychoactive substances, including cigarettes, alcohol, and drugs), as summarised in panel 3.^{9,12,15,16,18,35,51-58} Furthermore, growing research is linking interpersonal racism to various biomarkers of disease and wellbeing, including allostatic load, inflammatory markers, and hormonal dysregulation.^{16,18}

Here, we focus instead on adverse health effects of structural racism through two distinct but related pathways emphasised in the literature: residential segregation and health-care quality and access.^{9,12,13,18} Both of these pathways include actionable leverage points to reduce exposure and promote health equity. A third relevant pathway, discriminatory incarceration,^{28,30,35} is only briefly mentioned since it is discussed elsewhere in this Series by Wildeman and Wang.⁵⁹

Residential segregation

As a reflection and reinforcement of structural and institutional racism, most residents in the USA have grown up in, and continue to live in, racialised and economically segregated neighbourhoods.^{29,33,34,60} Analysis of 2010 US Census data has found that "the average white person in metropolitan America lives in a neighborhood that is 75% white", whereas "a typical African American lives in a neighborhood that is only 35% white (not much different from 1940) and as much as 45% black".61 The literature on racial residential segregation and poor health^{32,34,36,37,62-68} examines several direct and indirect pathways through which structural racism harms health, including the high concentration of dilapidated housing in neighbourhoods that people of colour reside in,^{62,63} the substandard quality of the social⁶⁴ and built65 environment, exposure to pollutants and toxins,^{36,37,65} limited opportunities for high-quality education and decent employment,34,66 and restricted access to quality health care.65 Health outcomes associated with residential segregation documented

Panel 3: Dominant approaches to studying racial discrimination as a psychosocial stressor and associated adverse health outcomes, with counterexamples of research on measures of structural racism

Racism and stress

To date, racism has primarily been conceptualised as a psychosocial stressor in the health science literature, and the strongest and most consistent evidence of its adverse health effects concerns mental health, as detailed in several comprehensive, systematic reviews.^{9,12,15,16,18} In one such review,¹⁶ published in 2015, the authors found that self-reported racism was positively associated with increased levels of negative mental health, including all individual mental health outcomes except for positive affect (eq, depression, anxiety, distress, psychological stress, negative affect, and post-traumatic stress), and negatively associated with positive mental health (eq, self-esteem, life satisfaction, control and mastery, and wellbeing). After adjusting for publication bias, the association between reported racism and mental health remained twice as large as that for physical health, which was driven primarily by obesity outcomes. There is growing evidence that experiences of racism are associated with poor sleep outcomes, which could be linked to both mental and physical health.⁵¹

Stress pathways

Much of the research on interpersonal racism and health has posited that racism is a social stressor that operates through diverse stress pathways, including physiological, psychological, and behavioural pathways. Experiences that are perceived as racist act as social stressors, which can initiate a set of neurobiological and behavioural responses (ie, coping behaviours) that can affect mental and physical health. These experiences can be chronic and include everyday hassles of receiving poor service at restaurants, being followed or not helped in stores, and generally being treated with less respect and consideration than others. Acute experiences of violence, harassment, and other threatening behaviour are also included in this category. However, although such exposures are most likely to garner media attention, the common, chronic experiences of discrimination are more consistently associated with poor health outcomes than are acute experiences,9,15,16,18 probably reflecting how brain chemistry and general

among black Americans include adverse birth outcomes,³² increased exposure to air pollutants,³⁶ decreased longevity,^{34,66} increased risk of chronic disease,^{32,34,64} and increased rates of homicide and other crime.^{66,67} These adverse outcomes far outweigh any benefits deriving from social support or political power that accrue from the clustering of black Americans (or other oppressed racialised groups) in adjoining neighbourhoods.^{63,68} Residential segregation is thus a foundation of structural racism and contributes to racialised health inequities.

Moreover, analysis of residential segregation requires addressing the intertwined occurrences of residential segregation by both racialised group and class.^{60,6970} In the metabolism change in response to chronic stressors.¹⁵ There is burgeoning evidence linking experiences of discrimination to biomarkers of disease and wellbeing, including allostatic load, telomere length, cortisol dysregulation, and inflammatory markers.^{9,16,18}

Reliance on self-reports of exposure to racial discrimination Most of the research on racial discrimination and health has relied on self-reported measures, although some studies have used vignettes or experimental situations. Evidence suggests that because of well known cognitive biases, including social desirability, self-reported data are likely to provide an underestimate of actual exposure, leading to underestimates of the magnitude of the association of racial discrimination with, and its impact on, adverse health outcomes.^{9:18} Some immigrant groups, moreover, might be less likely than others to recognise racist interactions, or less likely to attribute discriminatory behaviour to racism as opposed to language skills, immigration status, or chance.^{9:52}

Counterexamples of research on measures of structural racism Although small in comparison with psychosocial approaches, an emerging body of research has begun to investigate the relationship between health and four domains of state-level structural racism: political participation, employment and job status, educational attainment, and judicial treatment, including incarceration.^{9,12,16,35,53-58} Black people living in states with higher levels of structural racism in these domains were more likely than those living in states with lower levels of structural racism to self-report a myocardial infarction in the previous year; meanwhile, the same association for white people was null or protective.⁵⁷Another study that used the same measures found a positive association between structural racism at the state level and the odds of births that were small for gestational age in both black and white women.⁵⁸ Such measures could be used to build the evidence base regarding the connections between structural and institutional racism and health, and highlight areas for intervention. Priority should be given to expanding this type of research.

USA there has been a shift from macrosegregation to microsegregation, whereby "blacks and whites became more evenly distributed across states and counties during the first two-thirds of the twentieth century, [and]...less evenly distributed at the city and neighborhood levels".⁶⁰ Highlighting the need to think about smaller geographies, researchers have also noted that, as income inequality has increased, people at the top and bottom of the socioeconomic distribution have increasingly become spatially isolated,⁶⁹⁷⁰ such that "middle-class blacks are less able than their white counterparts to translate their higher economic status into desirable residential conditions".³⁴

In recognition of the trend towards microsegregation and increased social polarisation, public health researchers have recently begun to use the Index of Concentration at the Extremes (ICE).70 This measure was introduced into the sociological literature in 200169 and was designed to measure economic polarisation-the extent to which a population is concentrated into the extremes of wealth or impoverishment-by taking the difference between the number of affluent and poor households in an area and dividing it by the total number of households in the area.⁷⁰ Moreover, these areas can be measured at multiple levels (eg, census tract, city neighbourhood, and county). New innovations include the development of an ICE for racialised economic segregation, which uses data on the joint distribution of income and race/ethnicity. Research done in New York City, for example, has shown that ICE measures that captured both income and racialised group yielded larger risk ratios, at both the neighbourhood and census tract levels, for infant mortality, premature mortality, and diabetes mortality than an ICE solely for income or the poverty level.70

Underscoring the need for explicit analysis of the health burden of residential segregation (regardless of how it is measured) and neighbourhood disinvestment, there is evidence to suggest that these structurally driven, place-based exposures harm economic opportunity and, when coupled with inadequate gun control, contribute to the lethal burden of gun violence and crime in predominantly black and Latino neighbourhoods^{71,72} and in impoverished Native American reservations.²¹ In turn, the violence and crime in these neighbourhoods reinforces the intergenerational legacy of racialised punitive policing,^{8,20,21,28,31} perpetuating vicious cycles of further community depletion and adverse health outcomes.^{8,9,28,30,31,35,59}

Discriminatory incarceration

The penal institutions that constitute the US criminal justice system-police departments, court systems, correctional agencies, parole and probation departments, and sentencing boards-have established policies and practices that are ostensibly colour-blind yet they criminalise communities of colour (eg, through day-today practices such as stop and frisk) and disproportionately incarcerate black men, women, and children.30 As reviewed in this Series by Wildeman and Wang,59 each component of the criminal justice continuum-from arrest to re-entry-carries various health consequences, and a growing body of literature has documented severe adverse health outcomes associated with incarceration on the individual, their families, and neighbourhoods. What should not be lost in the explication of these outcomes is their roots in structural racism; the present disproportionate representation of black people in the penal system is reminiscent of the Black Codes and convict leasing practices from the colonial period.8.26 New freedoms afforded to black people following the US Civil War were promptly undone by laws that selectively criminalised unemployment, vagrancy, and loitering.²⁶ The resultant prison population effectively re-established free labour for Southern states to rebuild infrastructure.⁷³ The effects of mass incarceration, as traced by Wildeman and Wang⁵⁹ from the 1970s, are best understood as a continuation of racialised imprisonment^{8,10,20} rather than as an emergent process.²⁸ Moreover, as noted previously, strong feedback mechanisms exist between inequities in incarceration, employment, and health on a population level.^{30,35,59}

Health-care quality and access

Interpersonal racism, bias, and discrimination in healthcare settings can directly affect health through poor health care. Almost 15 years ago, the Institute of Medicine Report titled *Unequal Treatment: Confronting Racial and Ethnic Disparities in Health Care*⁴⁰ documented systematic and pervasive bias in the treatment of people of colour, resulting in substandard care. Evidence continues to support this finding.⁴¹⁻⁴⁴

However, it would be short sighted to view these problems solely as a matter of institutional and interpersonal discrimination within health-care settings.^{17,40-44} Instead, it is essential to understand the broad context within which health-care systems operate, including the potentially disparate settings in which health-care professionals and their patients reside. Specifically, residential segregation systematically shapes health-care access, utilisation, and quality at the neighbourhood, health-care system, provider, and individual levels.45 The socioeconomic disadvantage resulting from systematic disinvestment in public and private sectors renders it difficult to attract primary-care providers and specialists to predominantly black neighbourhoods.^{40,45} Likewise, health-promoting resources are inadequately invested into these neighbourhoods. Health-care infrastructure and services are inequitably distributed, resulting in predominantly black neighbour hoods having lower-quality facilities with fewer clinicians than those in other neighbourhoods. Moreover, most of these clinicians have lower clinical and educational qualifications than those in other neighbourhoods. This inequitable system is likely to disproportionately expose black residents to racially biased services.45

Addressing structural racism to advance health equity

Although efforts to counter institutional racism and residential segregation in the housing market and medical care system require initiatives focused on these institutions, such initiatives are not sufficient. Also needed is intersectoral work, especially that which is guided by transdisciplinary frameworks and action. Analytical insights derived from a systems perspective suggest several avenues for efficacious solutions, including the use of a focused external force that acts on multiple subsystems (ie, sectors) at once, disruption of leverage points (ie, key points of intervention within a sector that could be important for maintenance of the system, both within and outside the particular sector in question), and divorcing institutions from the racial discrimination system.¹⁰ We highlight some promising, concrete, intersectoral examples of each of these types of solutions, which have the potential to reduce, if not remove, the burden of structural racism on population health.

Place-based, multisector, equity-oriented initiatives

Health and health equity are substantially influenced by the places where people live, work, play, and pray.¹⁴ Yet, the USA has high levels of racialised economic segregation.69,70 Within this context, multisector, placebased partnerships focusing on equity can be an effective means of placing pressure on the systems of structural racism operating in a specific geographical region. Place-based initiatives create structures for reinvesting in neighbourhoods that have long been sidelined. Several initiatives have combined public and private partners from multiple sectors to achieve community-specific changes.74 These community-specific, multisector interventions that seek neighbourhood-wide coverage have thus far focused primarily on predominantly black and Latino neighbourhoods, and also on Native American reservations, that have experienced high levels of poverty, health-limiting built environments, and substandard resources for schools and housing as a result of generations of structural racism.

Established in 2009, Purpose Built Communities is exploring the redevelopment of more than 20 high-need neighbourhoods with the use of a model based on their original 1995 development site: the East Lake neighbourhood of Atlanta, GA.74 About 20 years ago, a private philanthropist partnered with the president of the Atlanta Housing Authority, a resident leader, and several community business leaders to revitalise the area by razing a violent, poorly maintained public housing development and rebuilding a new mixed-income development, which involved temporary displacement of residents during construction. Unlike other attempts at rebuilding public housing, this development's planning and rollout was organised and backed by a dedicated non-profit and focused on high-quality construction and on safe walkways and streets. The effort included a cradle-to-college educational curriculum, and combination of facilities, programmes, and services prioritised by community residents to promote healthy behaviours, create jobs, and reduce crime in the short term, and break the cycle of intergenerational poverty concentrated in this community in the long term.⁷⁴

With active involvement of community residents, by 2015, crime had declined by 95% (compared with a 50% overall decline in Atlanta), the employment rate among families in public housing increased from 13% to 70%, capital investments increased from no

investment (over the course of 30 years before the project) to US\$123 million, property values in the surrounding area increased, and new grocery stores, banks, and other businesses opened.⁷⁴ The evidence of changes in the social determinants related to health inequities is striking; to date, no health impact assessment has been done, although it is clearly warranted. Future place-based interventions should build in health equity impact assessments from the start. Two federal initiatives launched in 2010 have followed similar principles: the US Department of Education's Promise Neighborhood initiative and the US Department of Housing and Urban Development's Choice Neighborhood initiative. Results of health impact assessments are eagerly awaited.

Short of full-scale community redevelopment, data suggest that improvements in housing lead to improvements in health. In New York City, individuals and families on a low income are able to enter lotteries for affordable housing units. Data from the New York City Housing and Neighborhood Study,⁷⁵ which assessed the impact of re-housing on those who won the lottery compared with those who did not, showed reductions in depression and asthma exacerbations. Although results among adolescents were mixed, findings from the Moving to Opportunity study,^{76,77} in which vouchers for housing were randomly allocated, suggest that housing mobility policies that enable voluntary movement out of deprived neighbourhoods can result in long-term improvements in health and social outcomes.

Building government and public support for largescale initiatives to counter structural racism is both necessary and possible. In May, 2016, the Government Alliance for Race and Equity (GARE) and the non-profit Living Cities jointly launched Racial Equity Here, a \$3 million initiative to help five cities (Albuquerque, NM, Austin, TX, Grand Rapids, MI, Louisville, KY, and Philadelphia, PA) improve racial equity, building on approaches such as Seattle's Race and Social Justice Initiative, which has explicitly recognised the links between racial equity and health equity.⁷⁸ As the Mayor of Austin, Steve Adler, noted, "Government helped create a lot of the inequities, it institutionalized them. It's important for the government, the city government to address racial inequity, not just because of the conditions, but also because we helped create it."78

Advocating for policy reform

With the recognition that mass incarceration is a system used to subordinate black people,^{10,28,30} efforts to reduce discriminatory criminal sanctions on drug use (a leverage point) are also beginning to gain traction. From the 1980s to 2010, the federal government sentencing guidelines mandated penalties for crimes related to crack cocaine (a cheaper formulation more common in black communities than in other communities) that were 100 times harsher than sentences for crimes involving

For more on **Promise Neighhorhoods** see https://www2.ed.gov/programs/ promiseneighborhoods/index. html

For more on Choice Neighborhoods see https://portal.hud.gov/ hudportal/HUD?src=/program_ offices/public_indian_housing/ programs/ph/cn

For more on **Seattle's Race and Social Justice Initiative** see http://www.seattle.gov/rsji the pharmacologically identical substance in powder form, effectively targeting black people for prolonged prison sentences.³⁰ In the first sentencing breakthrough in decades—the Fair Sentencing Act of 2010—the crackto-powder penalty ratio was reduced to 18:1, shrinking the disparity but not eliminating it.³⁰ Meanwhile, prescription opioids, which are fuelling the current opioid epidemic among white people, have been relatively unregulated. It was not until opioid addicts from white communities started being incarcerated and dying in large numbers that the national narrative shifted from penalisation to treatment—a clear demonstration of the racialised nature of the War on Drugs.⁷⁹

The past decade has also witnessed new bipartisan efforts, across the country, to reduce the number of people who are imprisoned. For example, California has sought to address its unconstitutionally overcrowded prisons through several legislative initiatives, including Proposition 47.80 This ballot initiative, passed in November, 2014, commutes drug possession felonies (and a few minor offenses) to misdemeanours. It also allows people serving a sentence for an eligible felony conviction to petition the court for resentencing. With the disproportionate impact of drug arrests, prosecutions, and convictions on black and Latino men and women, Proposition 47 is likely to reduce racial inequities in sentencing. Since 2014, more than 4000 people have been released under this initiative and California has reduced overcrowding in prisons; however, racial inequities and health effects have not yet been assessed.⁸¹

Training the next generation of health professionals

Structural racism has developed over centuries and is deeply embedded in the thoughts and behaviours of people in the USA and other countries,68,10,22,25 with its influence extending to how health sciences are taught and the routine practices of health agencies and health-care providers.67,13,82-85 An analysis of structural racism is required to recognise these problems and change them. Fortunately, a new wave of public health and medical students, galvanised by protests over police killings and the Black Lives Matter movement, have been advocating to ensure that medical and public health schools incorporate essential pedagogy about racism and health into standard coursework, as one step towards divorcing medical and public health institutions from their supportive roles in the system of structural racism.^{13,82–84,86} Similarly, several public health agencies have begun to reform their institutional structure and organisational culture.

The standard practice for teaching about race and health in medical and public health schools is one in which race is often discussed, but conversations about racism are sidelined, with scant hours (if any) devoted to social epidemiologists, medical anthropologists, social scientists, or historians who focus on racism and health.⁸²⁻⁸⁴ Few scientific and medical textbooks include discussions of how racism affects the conceptualisation of race or an analysis of racial inequality in relation to health and other outcomes.⁸⁵ Although many medical schools now include diversity training and provide instruction on cultural competency, such instruction is often brief (and sometimes delivered online). Moreover, the programmes typically focus on individual responsibility to counteract interpersonal discrimination; the goal is for individuals to increase their sensitivity to, and knowledge about, other racial/ethnic groups.^{87,88} The emphasis is therefore on "others", in a way that could inadvertently contribute to racial stereotyping, as opposed to critical self-reflection about the participants' positions in their societies' race relations.

contrast, approaches based on structural Bv competency,⁸³ cultural humility,⁸⁹ and cultural safety^{46,90,91}---which have been implemented in health professionals' training in several countries such as Canada and New Zealand-encourage a lifelong commitment to selfreflection and mutual exchange in engaging power imbalances along the lines of cultural differences. These approaches emphasise the value of gaining knowledge about structural racism, internalised scripts of racial superiority and inferiority, and the cultural and power contexts of health professionals and their patients or clients. Tying interactions between patients and healthcare providers to population-level inequalities requires skilled instruction and considerable time, far beyond that patched together for short training courses in cultural competency.⁸³ These approaches also require that health professionals be informed by scholarship from diverse disciplines about the origins and perpetuation of-as well as remedies to counter-structural racism. It remains the charge of those committed to exploring and reversing structural racism to connect how these forms of social inequality translate into health and health-care inequities, within and across generations.9,13,82,86

Professional education about structural racism after graduate school also matters, especially for clinical and public health practitioners whose decisions affect peoples' health daily.^{13,92} As Hardeman and colleagues¹³ advocate, health professionals already practising in the field can still "learn, understand, and accept" the contemporary and historical basis of structural racism in the USA. understand how structural racism shapes our overarching narrative around inequities, define and call out racism when it is present, and contribute to the understanding of equity through clinical care and health research from the perspective of marginalised groups and with a healthy dose of cultural humility. Several local health departments have already incorporated anti-racism training into staff professional development, and introduced internal reforms to drive organisational change.92,93 For example, in the mid-1990s the Alameda County Public Health Department began to place neighbourhood offices in areas with poor health outcomes. Over time, these offices drove changes in the department, including additional community involvement, staff trainings on anti-racism, a

new unit and a strategic plan to incorporate equity into their work, and an increased presence of the health department in local activism.⁹² The Boston Public Health Commission has also engaged in organisational change, launching a Racial Justice and Health Equity Initiative that incorporates an anti-racism advisory committee, the development of a health equity framework, anti-racism training and professional development, and a forthcoming evaluation of its activities.93 As institutional reform is closely associated with other models of productive practices-including quality improvement, collective impact, community engagement, and community mobilisation-application of an anti-racism lens should not only be judged on its moral merits but also on its contributions to organisational effectiveness. We anticipate that forthcoming evidence will continue to support the view that removing racism from institutions is essential to protect and promote the health of our increasingly diverse communities.

Conclusion

Since the American colonial period, public and private institutions have reinforced each other, maintaining racial hierarchies that have allowed white Americans, across generations, to earn more and consolidate more wealth than non-white Americans, and maintain political dominance. This structural racism has had a substantial role in shaping the distribution of social determinants of health and the population health profile of the USA, including persistent health inequities. The stark reality is that research investigating the relationship between structural racism and population health outcomes has been scant, and even less work has been done to assess the health impacts of the few interventions and policy changes that could help dismantle structural racism.

We can, however, look to history as a guide. Notably, the handful of studies on the impact of the abolition of Jim Crow laws have consistently shown improvements in mortality in the black community, and converging mortality between black and white communities in the 15 years after the passage of the 1964 Civil Rights Act.³³⁻⁵⁶ We recognise that efforts to implement reforms to dismantle structural racism have repeatedly encountered serious obstacles and backlash from institutions, communities, and individuals seeking to preserve their racial privilege.^{8,20,26,30} However, as Frederick Douglass famously said in his 1857 address on the struggle against slavery in the USA, the West India emancipation, and the backlash that ensued: "Power concedes nothing without a demand."⁹⁴

Without a vision of health equity and the commitment to tackle structural racism, health inequities will persist, thwarting efforts to eliminate disparities and improve the health of all groups—the overarching goals for US health policy as enunciated by the official Healthy People 2020 objectives. The challenge is great, but rising to this challenge lies at the heart of our mission and our commitment, as health professionals, to prevent avoidable suffering, care for those who are unwell, and create conditions in which all can truly thrive.

Contributors

All authors contributed to the conceptualisation of the manuscript, literature search, and writing of this report. ZDB, NK, and MTB took the lead in ensuring coherence of the text, including the selection of appropriate data, and in data interpretation.

Declaration of interests

We declare no competing interests.

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Health Equity, Social Justice, and HIV in Rhode Island: A Contemporary Challenge

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ABSTRACT

From its beginning, HIV has primarily affected marginalized populations, such as injection drug users, gay, bisexual and other men who have sex with men (GBMSM), and minority racial and ethnic groups. HIV is a disease that, from the start, has been strongly influenced by issues related to social justice and health equity due to its intersection with behaviors among at-risk populations. While some of the risks associated with HIV have been successfully mitigated through social justice initiatives related to needle exchange programs and routine HIV testing of pregnant women, Rhode Island remains confronted with the health equity challenges of preventing HIV transmission and ensuring access to HIV care/treatment, especially for Black/African Americans, Hispanics, and GBMSM.

KEYWORDS: HIV, Social Justice, Health Equity, Rhode Island

HIV IN A SOCIAL CONTEXT

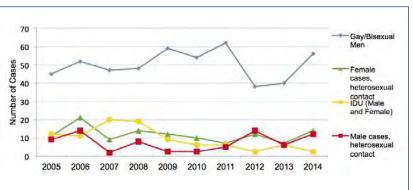
In 1981, human immunodeficiency virus (HIV) was first identified in the United States among non-immunosupressed injection drug users and gay men who were diagnosed with a rare form of pneumonia caused by *Pneumocystis jirovecii*. Prior to this time, *Pneumocystis* infections were only known to occur in people with compromised immune systems. Untreated, HIV leads to acquired immune deficiency syndrome (AIDS) and death. Since its emergence in 1981, the

HIV/AIDS pandemic has had a major impact on morbidity and mortality across the world. Even though HIV/AIDS is an infectious disease, it has had a remarkable impact on politics, education, the media, social movements, the entertainment industry, and professional sports in a manner unlike any other disease in modern history. Its societal impact has evolved in response to its shifting epidemiology, as well as advances in medicine and technology that have made HIV testing more accessible and new medications that are highly effective in managing – and most recently preventing – the disease (pre-exposure prophylaxis or PrEP).

What makes HIV/AIDS historically stand apart from other diseases is its impact on a diverse subset of specific populations, including persons who inject drugs, gay, bisexual, and other men who have sex with men (GBMSM), minority racial and ethnic populations, children born to HIV-positive mothers, incarcerated populations, hemophiliacs, and foreign-born individuals from endemic countries. These groups have historically experienced societal marginalization, discrimination, and isolation that directly contributes to their disproportionate burden of HIV. (See Figure 1.)

Commenting on the HIV epidemic among African Americans, Dr. Jonathan Mermin, director of the CDC's Division on HIV/AIDS Prevention, stated that "there is nothing biological that has caused African Americans to have such a disproportionate rate of HIV infection. It's the social, it's the economic, and it's the epidemiological environment in which people live."¹

As an outgrowth of the HIV epidemic, both nationally and internationally, many groups have mobilized social movements to advocate not only for affordable access to HIV treatment and care, but also for housing, employment, and education to help stem the incidence of HIV within their communities. Such groups include AIDS Coalition to Unleash Power (ACT UP), National Association for the Advancement of Colored People (NAACP), Black Church and HIV, and the Joint United Nations Programme on HIV/ AIDS (UNAIDS). Often framing their advocacy through a social justice lens, these groups frequently include a focus on improving conditions related to social determinants of health for the communities they represent.





HIV AND SOCIAL DETERMINANTS OF HEALTH

Social determinants of health are typically defined as a person's social environment, physical environment, and their access to health services.² These factors cannot be changed with differences in behavior, but can greatly affect the individual's environment, and their health outcomes. Some of the most salient social determinants of health include poverty, homelessness, unequal access to healthcare, incarceration, lack of education, stigma, homophobia, sexism and racism.

Socioeconomic status and HIV are closely linked. Socioeconomic status can affect HIV status, and vice versa. Individuals who have low socioeconomic resources are more likely to practice riskier behaviors, which may make them more susceptible to HIV. Some of these riskier behaviors may include earlier sexual debut and inconsistent condom use. It has been observed that the most substantial social determinants of health in relation to HIV/AIDS are education, employment, housing, income and insurance status. While all of these factors are significant predictors of HIV status, research indicates that education and housing status are the strongest predictors.³ It has been demonstrated that those who experience unstable housing are more likely to have condomless sex, use drugs, and share syringes.

An HIV diagnosis may negatively impact someone's socioeconomic status by diminishing their capacity to work and earn income. The percentage of HIV-positive individuals who are unemployed is high compared to their seronegative counterparts. This is partially due to work responsibilities competing with healthcare needs, as well as difficulty in maintaining typical work hours due to fatigue.⁴

HIV SUCCESSES IN RHODE ISLAND: HIV TESTING OF PREGNANT WOMEN AND NEEDLE EXCHANGE PROGRAMS

While many social determinants of health represent significant barriers toward reducing HIV risk, two public health interventions have proven successful as HIV prevention strategies in Rhode Island and in other jurisdictions across the nation: routine HIV testing of pregnant women and syringe exchange programs for injecting drug users.

In 1994 it was discovered that the administration of zidovudine (AZT) during pregnancy and childbirth reduced the chance of a child being born HIV positive to an infected mother by two-thirds. In 1999, the Institute of Medicine recommended "adoption of a national policy of universal HIV testing, with patient notification, as a routine component of prenatal care."⁵

In accordance with Rhode Island General Laws 23-6.3-3, enacted in 2009, HIV opt-out screening is incorporated into prenatal testing for all pregnant women as early and often as appropriate during each pregnancy.⁶ Newborns are tested as soon as possible after delivery if the mother's HIV status is not documented (the mother's consent is not needed).

In Rhode Island, there has been only one case of motherto-child transmission of HIV in the last five years.

Needle exchange programs, also known as "syringe services" programs, generally provide a full spectrum of services to individuals who inject drugs, including exchange of used syringes for clean ones, naloxone distribution, counseling, condoms, rapid HIV and hepatitis C testing, and referrals to mental health and social services. Since the inception of the needle exchange program in Rhode Island in 1994, there has been a precipitous drop in new cases of HIV identified among injecting drug users, with fewer than six cases reported annually from 2009–2014.

AIDS Care Ocean State (ACOS) operates Rhode Island's needle exchange program, which started as one fixed site in Providence in 1994. In 2002, ACOS expanded their services to include mobile sites in Woonsocket and Newport, then expanded their services to street outreach in 2008, and home delivery in 2012. In total, ACOS provides services through three fixed sites, a mobile/street-based exchange unit, and home delivery in five cities: Providence, Woonsocket, Newport, Pawtucket, and Central Falls.⁷ Clean syringes can also be bought without prescription at retail pharmacies in Rhode Island.

HIV EPIDEMIOLOGY AND RACE/ETHNICITY

In a state with a population that is between 75% and 80% White, the rates of Black/African American males living with an HIV diagnosis is five times that of white males. Further, Black/African American females have rates that are 17 times that of their white counterparts. Black Americans represent only 12% of the United States population, but they account for 44% of individuals living with HIV.⁸ In Rhode Island, the rate of HIV in the Black/African American population is roughly 10 times that of White, non-Hispanic individuals. The rate for Hispanic or Latino individuals is five times that of non-Hispanic Whites. (See Figure 2.)

HIV EPIDEMIOLOGY AND SEXUAL ORIENTATION

According to the Centers for Disease Control and Prevention, GBMSM comprised 83% of new HIV diagnoses among

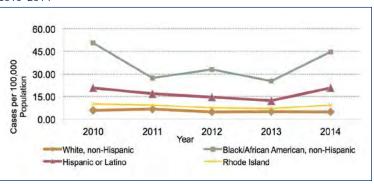


Figure 2. Rates of Newly-Diagnosed Cases of HIV by Race/Ethnicity, Rhode Island, 2010–2014

males age 13 and older in 2014. Furthermore, it is estimated in the United States that 15% of all GBMSM are HIV-infected.⁹ A major barrier to testing and screening globally is that one-third of countries around the world criminalize same-sex conduct, thus restricting the rights of GBMSM and the lesbian/gay/transgender community. In these countries, GBMSM are less likely to access services, fearing prosecution.

The South (Alabama, Arkansas, Delaware, District of Columbia, Florida, Georgia, Kentucky, Louisiana, Maryland, Mississippi, North Carolina, Oklahoma, South Carolina, Tennessee, Texas, Virginia, and West Virginia) is home to 37% of the United States population, but more than 50% of newly-diagnosed HIV cases.¹⁰ There are many contributing factors to this disproportionate epidemic in the South, including poverty, stigma, racism, and homophobia. Further contributing to these factors is "abstinence-only" education in schools, as well as limited Medicaid expansion by these Southern states.11 Social stigma related to the GBMSM population is further exacerbated by race, as African American GBMSM are stigmatized not only because of sexual preference, but also due to race.12

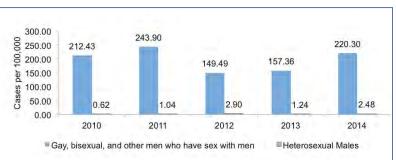
The rate of new HIV infections in 2014 in Rhode Island was 89 times higher in GBMSM than heterosexual men. While most of the cases of HIV among GBMSM in years past have been concentrated in men in ages 30–49, there has been a recent shift toward younger men (in their 20s). The majority of GBMSM diagnosed with HIV reside in Providence County. (See Figure 3.)

A recent advancement in addressing HIV prevention among GBMSM is PrEP. Taken once a day by HIV-negative individuals, PrEP can effectively prevent HIV infection. Studies suggest that PrEP is >90% effective in preventing HIV. Currently, The Miriam Hospital STD Clinic has prescribed PrEP to more than 200 patients and is taking referrals.

ACHIEVING HEALTH EQUITY IN RHODE ISLAND

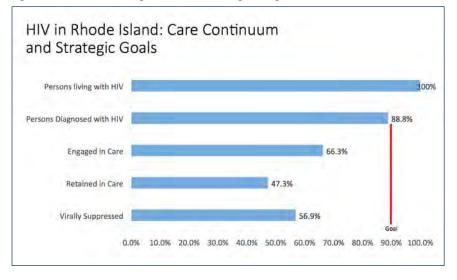
With the goal of scaling up HIV testing and treatment efforts and achieving health equity for individuals at-risk for – and impacted by – HIV, Rhode Island officially adopted the UNAIDS "90-90-90" initiative at the Rhode Island Statehouse *World AIDS Day* event in December 2015. The goals of this global initiative for the year 2020 include: 1) 90% of people living with HIV know their HIV status; 2)90% of people who know their HIV-positive status access treatment; and 3)90% of people in treatment have suppressed viral loads. **Figure 4** illustrates Rhode Island's progress towards these targets.





*Denominator data to estimate the GBMSM population is derived from the Rhode Island Behavioral Risk Factor Surveillance System and Lieb et al., "Statewide Estimation of Racial/Ethnic Population of Men Who Have Sex with Men in the U.S." *Public Health Reports* 126(2011): 60-72

Figure 4. Rhode Island's Progress towards reaching set targets.



The cornerstone of Rhode Island's 90-90-90 initiative is a commitment not only to address the medical needs of individuals at-risk for and living with HIV, but also their social and economic needs, including issues related to discrimination, housing, education, and employment. Accordingly, partnerships and planning groups have been formed that include social service agencies, AIDS service organizations, municipal governments, community-based organizations, and other state agencies.

While medical advances have led to tremendous successes in HIV prevention and care, Rhode Island's current challenge is to combine these advancements with improvements in local environments and communities in which at-risk groups and people living with HIV live, grow, work, and learn. This comprehensive approach is intended to be a foundation on which Rhode Island hopes to be the first state in the nation to "get to zero."

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| Service Category | Proposed Change | | | | |
|---|--|--|--|--|--|
| Early Intervention Services for the Incarcerated (TRG) | Redesigned Standards – Should be considered new. | | | | |
| Community Based Health Services (TRG) | No Proposed Changes | | | | |
| Hospice (TRG) | No Proposed Changes | | | | |
| Linguistic Services (TRG) | No Proposed Changes | | | | |

RYAN WHITE PART B/DSHS STATE SERVICES 21-22 HOUSTON HSDA SERVICE-SPECIFIC STANDARDS OF CARE EARLY INTERVENTION SERVICES FOR THE INCARCERATED

Definition:

Early Intervention Services (EIS) are designed to bring HIV-positive individuals into Outpatient Ambulatory Medical Care through counseling, testing, and referral activities. Support of Early Intervention Services (EIS) that include identification of individuals at points of entry [in this case, the Harris County Jail (HCJ)] and access to services and provision of:

- Targeted HIV testing to help the unaware learn of their HIV status and receive referral to HIV care and treatment services if found to have HIV (provided by other funding at HCJ),
- Referral services to improve HIV care and treatment services at key points of entry (HCJ care coordination),
- Access and linkage to HIV care and treatment services such as HIV Outpatient/Ambulatory Health Services, Medical Case Management, and Substance Abuse Care (HCJ care coordination), and
- Outreach Services and Health Education/Risk Reduction related to HIV diagnosis (HCJ care coordination).

The elements of EIS often overlap with other service category descriptions; however, EIS is the combination of such services rather than a stand-alone service. EIS services are limited to counseling and HIV testing (provided by other funding at HCJ), referral to appropriate services based on HIV status, linkage to care, and education and health literacy training for clients to help them navigate the HIV care system (provided through the funded care coordination services). EIS services require coordination with providers of prevention services and should be provided at specific points of entry (HCJ).

Note: All four components must be present in the EIS program.

Limitations: Funds for HIV testing must be in the budget approved in writing by TRG. Funds will only be approved by TRG for HIV testing only where existing federal, state, and local funds are not adequate and funds will supplement, <u>not supplant</u>, existing funds for testing. Funds cannot be used to purchase at-home testing kits.

Primary Goals of EIS for the Incarcerated:

- 1. The primary goals of early intervention in HIV are to prevent or delay disease progression.¹
- 2. After assessing the stage of the patient, the next goal of early intervention is to minimize the risk of progression.¹

Service Intervention Goals of EIS for the Incarcerated:

- 1. *DSHS Standards of Care*: To bring people living with HIV (PLWH) into Outpatient/Ambulatory Health Services (OAHS).²
- 2. *DSHS Standards of Care*: To decrease the number of underserved PLWH by increasing access to care, educating and motivating clients on the importance and benefits of getting into care, through expanding key points of entry.²

- 3. *DSHS Standards of Care*: To educate and motivate PLWH on the importance and benefits of getting into care.²
- 4. *HRSA Program Guidance*: To help the unaware learn of their HIV status and receive referral to HIV care and treatment services if found to be living with HIV.³
- 5. *HRSA Program Guidance*: To coordinate these testing services with other HIV prevention and testing programs to avoid duplication of efforts.³
- 6. To improve referral services for HIV care and treatment services at key points of entry.³
- 7. To provided Outreach Services and Health Education/Risk Reduction related to HIV diagnosis.³

Intervention-Specific Performance Measures:

- 1. Percentage of newly diagnosed PLWH offered EIS Touch as part of results counseling.
- 2. Percentage of PLWH returning to the community who were linked to outpatient/ambulatory health services in the measurement year.
- 3. Percentage of PLWH returning to the community who attended a routine HIV medical care visit within three (3) months of HIV diagnosis.
- 4. Percentage of PLWH who achieve one or more benchmarks for the applicable tier.

For additional EIS Performance Measures, see 2021 EIS Attachment A: Performance Measures.

Tier-Concept for EIS for the Incarcerated:

EIS for the Incarcerated is provided at Harris County Jail. HCJ's population includes both individuals who are actively progressing through the criminal justice system (toward a determination of guilt or innocence), individuals who are serving that sentence in HCJ, and individuals who are awaiting transfer to Texas Department of Criminal Justice (TDCJ). The complexity of this population has proven a challenge in service delivery. Some individuals in HCJ have a firm release date. Others may attend and be released directly from court.

Therefore, EIS for the Incarcerated has been redesigned to consider the uncertain nature of length of stay in the service delivery. Three tiers of service provision haven been designated. They are:

- **Tier 0:** The individuals in this tier do **not** stay in HCJ long enough to receive a clinical appointment while incarcerated. The use of zero for this tier's designation reinforces the understanding that the interaction with funded staff will be minimal. The length of stay in this tier is traditionally less than 14 days.
- **Tier 1:** The individuals in this tier stay in HCJ long enough to receive a clinical appointment while incarcerated. This clinical appointment triggers the ability of staff to conduct sufficient interactions to assure that certain benchmarks of service provision should be met. The length of stay in this tier is traditionally 15-30 days.
- **Tier 2:** The individuals in this tier remain in HCJ long enough to get additional interactions and potentially multiple clinical appointments. The length of stay in this tier is traditionally 30 or more days.

Service provision builds on the activities of the previous tier if the individual remains in HCJ. Each tier helps the staff to focus interactions to address the highest priority needs of the individual. Each interaction is conducted as if it is the only opportunity to conduct the intervention with the individual.

Tier 0 - Limited Intervention

Tier 0 is delivered in conjunction with HIV testing results in HCJ. It focuses on providing all newlydiagnosed PLWH is HCJ with information and referral to health care in the community. Tier 1 is delivered to individuals who enter the internal HCJ care system. It focuses on the completion of THMP applications and connection to care health and other services in the community. It also provides health education and strategic planning for release.

Tier 2 is delivered to indivdiuals who remain in HCJ care system beyond the *average* thirty (30) day stay. It foucses on providingadditional referrals to community resources as identified and available and provides additional education and strategic planning for release

2 - Enhanced Interventon

Tier

Guiding Principles for EIS Intervention:

- 1. Touch Touch are the face-to-face opportunity for the EIS Team to implement the goals of the intervention. The term was chosen to remind the EIS Team of the intimate nature of the intervention and its goals.
- 2. Starting the Intervention "Where the PLWH Is At" This phrase is often used in the provision of HIV services. It is extremely important for the EIS Team to assess those being served to ensure that EIS interventions are most effective for that PLWH. The intervention is designed with flexibility in mind. If the PLWH is receiving results from the testing team, the EIS Team may need to focus the initial touch assisting the PLWH to process their diagnosis. For PLWH returning to HCJ, the intervention may be focused on assessing follow-through with medical care and medications referrals in the "freeworld" and strategizing to improving compliance/adherence.
- 3. Trauma-Informed Approach A trauma-informed approach to care acknowledges that health care organizations and care teams need to have a complete picture of a patient's life situation past and present in order to provide effective health care services with a healing orientation. Adopting trauma-informed practices can potentially improve patient engagement, treatment adherence, and health outcomes, as well as provider and staff wellness.

| 0.0 | Client EligibilityIn order to be eligible for services, PLWH at any tier must meet the following:• Documentation of HIV Diagnosis• Language(s) spoken and Literacy level (client self-report)Due to client's state of incarceration, this intervention is excluded from the requirement to document income and residency. | Documentation of HIV diagnosis is present in the primary client record. Documentation in compliance with TRG Policies for Client Eligibility for Service. |
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| | TIER 0 – (LESS THAN 14 DAYS) – LIM | IITED INTERVENTION |
|--------------|---|--|
| # | STANDARD | Evidence |
| 0.1 | Inclusion/Exclusion Criteria: Identified PLWH released prior to initial medical appointment (i.e. visit with a provider with prescribing authority) are include in Tier 0. Note: Tier 0 individuals are excluded from the primary health outcomes for the intervention since no visit with a provider with prescribing authority occurred. | • Primary client record documents that PLWH should be included in this tier. |
| 0.2 | <u>Benchmarks</u>: Notification of EIS Team by Prevention Team for "Joint" Session. First EIS Intervention Touch. Referral to community partners Referral Follow-up DIS Referral, if needed. | Primary client record documents each benchmark obtained. |
| 0.3 | <u>Brief Intake</u>: Intake conducted at first EIS "Touch" with the PLWH. Intake will include but is not limited to: CPCDMS Registration/CPCDMS Consents, identify level of knowledge of HIV, provide information about availability of health care, sign consent to refer to community resources, give Mini Blue Book. Brief Intervention to provide targeted information on the importance of engaging in medical care and medical adherence. New Diagnosed PLWH are prioritized in this tier if the number of PLWH to be seen exceeds the availability of staff. PLWH returning to HCJ who have self- disclosed will have their consents verified (if still current) or updated (if expired). | Primary client record documents intake performed. |
| 0.4 | <u>CPCDMS Update/Registration</u> As part of intake into service, staff will register new clients into the CPCDMS data system (to the extent possible) and update CPCDMS registration for existing clients. | • Current registration of client is present in CPCDMS. |
| 0.5 EISED | Education/Counseling (Newly Diagnosed) The EIS Team will reinforces prevention messaging/intervention received as part of HIV testing program. Additionally, the Team will target the following topics: | Primary client record documents education/counseling provided. |

| | T'' 1 1/1 ''1 TTTT7 | |
|-------|---|---|
| | • Living healthy with HIV | |
| | • Reinforcing Living with HIV not Dying from | |
| | HIV | |
| | • Role of medications in healthy living, | |
| | • Resources available for medications and | |
| | treatments based on PLWH's situation (i.e. | |
| | Ryan White, third party payers, health | |
| | insurance assistance, etc.) | |
| 0.6 | Education/Counseling (All) | Primary client record documents |
| EISED | When PLWH returned to HCJ, the EIS Team will | education/counseling provided. |
| | target the following topics: | |
| | • Living healthy with HIV | |
| | • Reinforcing Living with HIV not Dying from | |
| | HIV | |
| | • Role of medications in healthy living, | |
| | • Provide education based on assessments of | |
| | the PLWH's compliance with medical care | |
| | and medication adherence. | |
| 0.7 | Health Literacy | • Primary client record documents Health |
| EISED | The EIS Team will briefly assess the PLWH to | Literacy messaging provided. |
| | determine level of health literacy so that the | |
| | messaging can be tailored to "where the PLWH is | |
| | at." Health literacy education will be limited | |
| | during the Tier 0 intervention to increasing the | |
| | potential for linkage to care. | |
| 0.8 | Referrals | • Primary client record contains signed |
| EISRC | The EIS Team will provide PLWH with the | consents. |
| | following: | • Primary client record contains referral. |
| | • A copy of the mini blue book that contains | 2 |
| | medical and supportive services, and | |
| | • Obtain consent to refer the PLWH to a | |
| | community partners for follow-up, if possible. | |
| 0.9 | Referral Tracking | • Primary client record documents at |
| EISFU | When consent has been obtained, the EIS Team | least two (2) attempts at referral |
| | will process and track the referral to community | follow-up. |
| | partners. | Primary client record documents |
| | 1 | referral outcome when follow-up is |
| | All referrals made will have documentation of | successful. |
| | follow-up to the referral in the primary client | |
| | record. Follow-up documentation should include | |
| | the result of the referral made (successful or | |
| | otherwise) and any additional assistance the EIS | |
| | Team offered to the PLWH. | |
| 0.10 | Lost To Care/Connection with DIS | Primary client record documents DIS |
| | When no consent is obtained or referral follow-up | referral for case were no consent was |
| | indicates PLWH is lost to care, EIS Team will | obtained, referral follow-up indicates |
| | · · · · · · · · · · · · · · · · · · · | i i i i i i i i i i i i i i i i i i i |

| 0.11 | notify their local Disease Intervention Specialist (DIS) workers so that public health follow-up can occur. EIS Team should notify their DIS workers when a newly diagnosed PLWH is released from HCJ prior to initial medical appointment. <u>Case Closure</u> PLWH who are released from HCJ must have their cases closed with a case closure summary narrative documenting the components of EIS intervention completed with the PLWH and the reason for closure (i.e. transferring care, release, PLWH chooses to discontinue services), linkage to care (OAHS, MCM), referral to DIS (if applicable), and referral outcome summary (if applicable). <u>Progress Notes</u> The EIS Team will maintain progress notes in each primary client record with thorough and accurate | lost to care or when a newly diagnosed PLWH releases from HCJ prior to initial medical appointment. Primary client record contains a closure summary that includes narrative outlining the components of the EIS intervention completed with the PLWH and the reason for closure. Primary client record contains supervisor signature/approval on closure summary (electronic review is acceptable). Primary client record contains thorough and accurate progress notes showing component of the intervention provided |
|------|---|--|
| | documentation of the assistance the EIS Team provided to the PLWH to help achieve applicable goals, including successful linkage to OAHS services. | to and the benchmarks achieved with the PLWH. |
| | TIER 1 – (14 TO 30 DAYS) – PRIMA | RY INTERVENTION |
| # | STANDARD | EVIDENCE |
| 1.1 | Inclusion Criteria: Identified PLWH who attend initial medical appointment (i.e. visit with a provider with prescribing authority). If EIS Team could not complete Tier 0 intervention, the remaining elements will be added to the Tier 1 intervention. | • Primary client record documents that PLWH should be included in this tier. |
| 1.2 | <u>Benchmarks</u>:Initial Medical Appointment | • Primary client record documents each benchmark obtained. |
| 1.3 | Completion of THMP Application Second and Third EIS Touch (at a minimum) Referral to Community Medical Care Connection with Community Resource Comprehensive Intake | |

| | • Intake form, | | healthcare) |
|-----|---|---|--|
| | | | incarticare) |
| | Signed Consents, and | | |
| 1 4 | Comprehensive Assessment. | | |
| 1.4 | Comprehensive Assessment | • | Primary Client Record contains |
| | The EIS Team will complete comprehensive | | completed comprehensive assessment. |
| | assessment for PLWH who receive a medical | | |
| | provider visit. The assessment will include: | | |
| | Medication/Treatment Readiness, | | |
| | • History of treatment & compliance, | | |
| | Healthcare assessment should include | | |
| | location/accessibility | | |
| | • Insurance | | |
| | • Life Event Checklist (Trauma Assessment) | | |
| | • Disease Understanding/Health literacy, | | |
| | • Self-Care, | | |
| | • Mental health and substance use issues, | | |
| | • Housing/living situation, | | |
| | • Support system, | | |
| | • Desired community medical providers, | | |
| | • Assessment of challenges and roadblocks, | | |
| | • Assessment of resources (SSI, Food Stamp, | | |
| | etc.), | | |
| | • Free-world contact information, | | |
| | • Free-world support system, and | | |
| | • Other identified needs upon release. | | |
| 1.5 | Reassessment Criteria | • | Primary client record documents |
| | The EIS Team will reassess PLWH based on the | | reassessments completed per the |
| | following criteria: | | established criteria. |
| | • If the client returns to HCJ within three (3) | | |
| | months of release, EIS Team assesses PLWH | | |
| | for any changes. If minimal changes are | | |
| | identified, the results should be documented | | |
| | in the progress notes. If significant changes | | |
| | are identified, the EIS assessment form should | | |
| | be updated. | | |
| | • If the EIS Team does not find evidence of | | |
| | medical care in the client-level data systems, | | |
| | then EIS Team will complete new | | |
| | comprehensive assessment. | | |
| 1.6 | CPCDMS Update/Registration | • | Current registration of client is present in |
| | As part of intake into service, staff will register new | | CPCDMS. |
| | clients into the CPCDMS data system (to the extent | | |
| | possible) and update CPCDMS registration for | | |
| | existing clients. | | |
| 1.7 | Internal Linkage to Care | • | Primary Client Record documents |
| | PLWH identified through preliminary testing | | access to medical appointments with a |

| | will be linked to and assisted in scheduling an appointment with a medical provider in HCJ. Successful linkage to outpatient/ambulatory health services is measured as attendance to the actual medical appointment with a prescribing provider while in HCJ. | clinical provider while in the correctional facility. Primary Client Record documents access to medication while in the correctional facility. |
|--------------|---|--|
| 1.8 EISAP | Texas HIV Medication Program Applications All PLWH in HCJ who have seen a medical provider will have a current application on file with the Texas HIV Medication Program (THMP). For newly diagnosed PLWH, the EIS Team will complete the THMP application as part of the first medication appointment and have the provider complete the medical certification form. When PLWH return to HCJ, the EIS Team will verify the THMP application is still current in ARIES (using birth month and half-birth month criteria). If not, an updated THMP application/attestation will be completed. | ARIES documents upload of THMP application for newly diagnosed PLWH who have received a medical provider visit. Primary client record documents whether returning PLWH has a current THMP application in ARIES. ARIES documents upload of THMP application/attestation for returning PLWH based on birth month and half- birth month criteria. |
| 1.9 EISAP | ARIES Document Upload Process ARIES Document Upload is the uniform practice for submission and approval of ADAP applications (with supportive documentation). This process ensures accurate submission and timely approvals, thereby expediting the ADAP application process. Completed ADAP Applications (with supportive documentation) must be uploaded into ARIES for THMP consideration. All uploaded applications must be reviewed and certified as "complete" prior to upload. ADAP applications should be uploaded according to the THMP established guidelines and applicable guidelines as given by AA. To ensure timely access to medications, all completed ADAP applications must be uploaded into ARIES within one (1) business day of completion To ensure receipt of the completed ADAP application by THMP, notification must be sent according to THMP guidelines within three (3) business days of the completed upload to ARIES. Upload option is only available for ADAP | THMP application documents secondary review via appropriate signature. THMP application is present within ARIES. Primary client record documents receipt by THMP within (3) business days of application completion. |

| | applications; other benefits applications | |
|---------------|--|--|
| | should be maintained separately and | |
| | submitted according to instruction. | |
| 1.10 EISED | submitted according to instruction. <u>Education/Counseling (Newly Diagnosed)</u> The EIS Team will reinforces prevention messaging/intervention received as part of HIV testing program. Additionally, the Team will target the following topics: Living healthy with HIV Treatment As Prevention Early Intervention as a strategy to reduce disease progression Role of medications in healthy living Maintenance of immune system Disclosure to partners and support systems Messages/interventions outlined in Standard 1.? below. Additional messages/interventions as determined by assessment. Education/Counseling should be provided in manageable messages. The EIS Team should not attempt to cover all the necessary topics in one Touch. Instead, prioritization should be delivered first. | Primary Client Record documents the delivery of education/counseling consistent with the information need for newly-diagnosed PLWH. |
| | Additionally, the PLWH's lab values and readiness assessment should be used to guide the intervention | |
| 1.11 EISED | <u>Education/Counseling (All)</u> Based on the comprehensive assessment, the EIS Team will target the following topics for all PLWH served by the intervention: Living healthy with HIV Treatment As Prevention Early Intervention as a strategy to reduce disease progression Role of medications in healthy living Maintenance of immune system Medication Adherence THMP Process Provision of the Mini Blue Book Disclosure to partners and support systems | Primary Client Record documents the delivery of education/counseling consistent with the information need for PLWH's identified need. |

| | manageable messages. The EIS Team should not attempt to cover all the necessary topics in one | | |
|-------|--|-----|--|
| | Touch. Instead, prioritization should be used to | | |
| | guide which messages should be delivered first. | | |
| | guide which messages should be derivered first. | | |
| | Additionally, the PLWH's lab values and | | |
| | readiness assessment should be used to guide the | | |
| | intervention. | | |
| 1.12 | Health Literacy: | •] | Primary client record documents Health |
| EISED | The EIS Team will provide the PLWH with | I | Literacy messaging provided. |
| | health literacy messaging that is tailored to | | |
| | "where the PLWH is at" as determined by the | | |
| | comprehensive assessment. Examples of health | | |
| | literacy messaging include: | | |
| | • For newly diagnosed (i.e. treatment naïve), | | |
| | discussion about the importance of medical | | |
| | care, access third party payor options, and | | |
| | Ryan White care services. | | |
| | Discussion of navigating care system Discussion of modical home concert | | |
| | Discussion of medical home concept Monting out host action for community compared | | |
| | Mapping out best option for community care based on future residence/work | | |
| | | | |
| | Discussion of community support (EXCLAIM i.e. MAI Project) | | |
| | Discussion about relationships (including | | |
| | U=U, viral suppression, and self-care) | | |
| | Discussion about Hope (decreasing stigma | | |
| | and misinformation about living with HIV) | | |
| 1.13 | <u>Coordination of Community Care:</u> | • 1 | Primary Client Record documents the |
| | The EIS Team will make a referral to community | | establishment of an appointment. |
| | care based on the PLWH's selection of a medical | | Where appointment scheduling is not |
| | home. This referral will include the arrange | | possible, Primary Client Record |
| | appointment for client prior to release to | - | locuments referral to community |
| | community partners. The referral process with | | support agency (MAI, case |
| | comply with the preferred method of scheduling | | nanagement, etc.) for follow-up with |
| | appointments established with the community | l | PLWH upon release. |
| | partner. | | |
| 1.14 | <u>Medication Regimen Establishment/Maintenance</u> : | | Medication discussions are documented |
| | The EIS Team will meet with the PLWH to | 1 | n the primary client record. |
| | assess readiness for the medication regimen. The | | |
| | Team will provide information about the readiness assessment as part of the MDT review. | | |
| 1.15 | Transitional Multidisciplinary Team: | • 1 | MDT reviews will be documented in |
| 1.15 | The EIS Team will be part for the | | he primary client record. |
| | multidisciplinary care team (MDT) within HCJ. | | Communication with community |
| | The Team meet and review each PLWH's | | partners documented in primary client |
| | | | barmers documented in primary chefit |

| | information with the medical team to improve the | | record. |
|-------|--|---|--|
| | quality of care provided while in HCJ. | | |
| | Additionally, the Team will act as the conduit to | | |
| | deliver the information from the internal MDT to | | |
| | community partners, as appropriate. | | |
| 1.16 | Discharge Planning | • | Primary client record documents the |
| | EIS Team conducts discharge planning into | | discharge planning activities |
| | Houston HIV Care Continuum. Discharge planning | | conducted. |
| | should include but is not limited to: | | |
| | • Review of core medical and other supportive | | |
| | services available upon release, and | | |
| | • Needs identified through the assessment should | | |
| | document referral (as applicable) either through | | |
| | resources within the incarceration program or | | |
| | upon discharge | | |
| | • Creation of a strategy plan. | | |
| | Discharge/Care plan should clearly identify | | |
| | individuals responsible for the activity (i.e. EIS | | |
| | Staff, MAI, MHMR, DSHS Prevention) | | |
| 1.17 | PLWH Strategy Plan: | • | Primary client record documents the |
| | The EIS Team and the PLWH should discuss | | strategies developed for obtaining |
| | honestly the challenges with obtaining resources | | services in the freeworld. |
| | in the freeworld/community and develop | | services in the free world. |
| | strategies to minimizing those challenges. The | | |
| | Team should focus the PLWH on strengths that | | |
| | they have that con contribute to successes in the | | |
| | freeworld/community. | | |
| 1.18 | Consent to Release/Exchange Information | | Signed consent will be documented in |
| 1.10 | The EIS Team will obtain signed consent to | | the primary client record. |
| | release and exchange information from the | | the primary chefit record. |
| | PLWH to assist in the process of making referrals | | |
| | to community resources. | | |
| 1 10 | | - | Drimony alignst appendial |
| 1.19 | Internal Referrals: | • | Primary client record documents |
| | Internal referrals: HIV care; substance use; | | connection to internal care services, as |
| | mental health; referral to other clinic for | | applicable. |
| | comorbidities | | |
| | | | |
| | Referrals will be documented in the client's | | |
| | primary record and, at a minimum, should | | |
| | include referrals for services such as: | | |
| | • Mental Health, as applicable | | |
| | Substance Use Treatment, as applicable | | |
| 1.20 | External Referrals | • | Primary Client Record documents |
| EISRC | Referrals will be documented in the primary | | referral to community medical care. |
| | client record and, at a minimum, should include | • | Primary Client Record documents |
| | referrals for services such as: | | referral to support services. |
| | | | |

| | OAHS MCM Medical transportation, as applicable Mental Health, as applicable Substance Use Treatment, as applicable Any additional services necessary to help maintain PLWH in medical care in the freeworld. The Team will schedule an appointment for PLWH who will be returning to the community with a medical provider of the PLWH's choosing. | • | Primary Client Record documents any additional referrals made on behalf of the PLWH. Primary Client record documents if the PLWH is awaiting transfer to TDCJ in place of required external referrals. |
|---------------|--|---|---|
| | For PLWH who will be transferring to TDCJ, no appointments will be scheduled. If PLWH is awaiting transfer to TDCJ, EIS Team will ensure a note is placed in primary client record and external referrals will not occur. | | |
| 1.21 EISRC | Referral PacketStaff makes referrals to agencies for all clients to be released from Harris County Jail. The referral packet will include:a. A copy of the Harris County Jail Intake/Assessment Form,b. Copy of Medication Certification Form (whenever possible) or otherwise i. Proof of HIV diagnosis, ii. A list of current medications, andc. Copy of ID card or "known to me as" letter on HCSO letterhead to facilitate access of HIV/AIDS services in the community. | • | Primary Client record documents the provision of a referral packet to support external referrals |
| 1.22 EISFU | Referral Tracking/Follow-Up All referrals made will have documentation of follow-up to the referral in the primary client record. Follow-up documentation should include the result of the referral made (successful or otherwise) and any additional assistance the EIS Team offered to the PLWH. Successful linkage to care is measured as attendance to the actual medical appointment with a provider with prescribing privileges. | • | Primary client record documents the follow-up activities conducted to ensure that the external referrals were completed and the outcome of the referral. |
| 1.23 | Lost To Care/Connection with DIS After three unsuccessful attempts are made to contact and re-engage the client, EIS Team | • | Referral to DIS is documented in the primary client record. |

| | should work with their local Disease Intervention | |
|------|--|---|
| 1.24 | Specialist (DIS) workers. <u>Case Closure</u> PLWH who are released from HCJ must have their cases closed with a case closure summary narrative documenting the components of EIS intervention completed with the PLWH and the reason for closure (i.e. transferring care, release, PLWH chooses to discontinue services), linkage to care (OAHS, MCM), referral to DIS (if applicable), and referral outcome summary (if applicable). | Primary client record contains a closure summary that includes narrative outlining the components of the EIS intervention completed with the PLWH and the reason for closure. Primary client record contains supervisor signature/approval on closure summary (electronic review is acceptable). |
| 1.25 | <u>Progress Notes</u> The EIS Team will maintain progress notes in each primary client record with thorough and accurate documentation of the assistance the EIS Team provided to the PLWH to help achieve applicable goals, including successful linkage to OAHS services. | • Primary client record contains thorough and accurate progress notes showing component of the intervention provided to and the benchmarks achieved with the PLWH. |
| | TIER 2 – (MORE THAN 30 DAYS) – ENH | IANCED INTERVENTION |
| # | STANDARD | Evidence |
| 2.1 | <u>Inclusion Criteria</u> Identified PLWH who remain in HCJ beyond 30 days (i.e. potentially seeing a provider with prescribing authority multiple times) | • Primary client record documents that PLWH should be included in this tier. |
| 2.2 | Benchmarks: Additional Touches as Length of Stay Permits to reinforce Messaging Coordination of Additional Medical Appointments Coordination of Referrals to Community Care and Resources. Increased provision of health literacy, treatment adherence, and other education. | Primary client record documents each benchmark obtained. |
| 2.3 | Reassessment: EIS Team will conduct reassessments at six (6) months and annually thereafter if individuals remain in HCJ long-term. These assessments can be conducted at the time of clinic appointments. If minimal changes are identified, the results should be documented in the progress notes. If significant changes are identified, the EIS assessment form should be updated. | • Primary client Record documents the reassessment of PLWH who meet the criteria. |
| | Education/Counseling (All) | Primary Client Record documents the |

| | Team will target the following topics for all PLWH served by the intervention: Living healthy with HIV Treatment As Prevention Early Intervention as a strategy to reduce disease progression Role of medications in healthy living Maintenance of immune system Medication Adherence THMP Process (revisit the need for updated application/attestation) Provision of the Mini Blue Book Disclosure to partners and support systems Education/Counseling should be provided in | consistent with the information need for PLWH's identified need. |
|--------------|---|--|
| | manageable messages. The EIS Team should not attempt to cover all the necessary topics in one Touch. Instead, prioritization should be used to guide which messages should be delivered first. Additionally, the PLWH's lab values and readiness assessment should be used to guide the intervention. | |
| 2.5 EISED | Health Literacy: The EIS Team will provide the PLWH with health literacy messaging that is tailored to "where the PLWH is at" as determined by the comprehensive assessment. Examples of health literacy messaging include: Enhanced knowledge- accessing care; navigating care system Discussion about the Patient/Provider relationship and the importance of developing self-efficacy for quality care Co-morbidities and other health concerns Continued discussion of medical home concept Continued discussion about relationships (including U=U, viral suppression, and self- care) Continued discussion about Hope (decreasing stigma and misinformation about living with HIV) Discussion about navigating care system. | Health literacy discussions documented in the primary client record. |
| 2.6 | Medication Regimen Establishment/Maintenance: | Primary Client record documents |

| | The EIS Team will meet with the PLWH to | discussions to reinforcement of |
|------|--|--|
| | reinforce adherence with the established | medication adherence. |
| | medication regimen, discuss any side effects, and | |
| | help strategize for taking medications in the | |
| | freeworld/community. The Team will provide | |
| | challenges or issues identified with the | |
| | medication regimen to the MDT. | |
| 2.7 | Transitional Multidisciplinary Team: | • MDT reviews will be documented in |
| 2.1 | . | |
| | The EIS Team will be part for the | the primary client record. |
| | multidisciplinary care team (MDT) within HCJ. | Communication with community |
| | The Team meet and review each PLWH's | partners documented in primary client |
| | information with the medical team to improve the | record. |
| | quality of care provided while in HCJ. | |
| | Additionally, the Team will act as the conduit to | |
| | deliver the information from the internal MDT to | |
| | community partners, as appropriate. | |
| 2.8 | Discharge/Care Planning | • |
| 2.0 | EIS Team conducts discharge planning into | • |
| | Houston HIV Care Continuum. Discharge planning | |
| | should include but is not limited to: | |
| | | |
| | • Review of core medical and other supportive | |
| | services available upon release, and | |
| | • Needs identified through the assessment should | |
| | document referral (as applicable) either through | |
| | resources within the incarceration program or | |
| | upon discharge | |
| | • Creation of a strategy plan. | |
| | Discharge/Care plan should clearly identify | |
| | individuals responsible for the activity (i.e. EIS | |
| | Staff, MAI, MHMR, DSHS Prevention) | |
| 2.9 | PLWH Strategy Plan: | • Primary client record documents review |
| 2.7 | The EIS Team and the PLWH should discuss | of the strategies developed for |
| | | 0 1 |
| | honestly the challenges with obtaining resources | obtaining services in the freeworld with |
| | in the freeworld/community and develop | PLWH. |
| | strategies to minimizing those challenges. The | Primary Client record documents |
| | Team should focus the PLWH on strengths that | strategies a |
| | they have that con contribute to successes in the | |
| | freeworld/community. | |
| 2.10 | Internal Referrals: | • |
| | Internal referrals: HIV care; substance use; | |
| | mental health; referral to other clinic for | |
| | comorbidities | |
| | comordiantes | |
| | | |
| | Referrals will be documented in the client's | |
| | primary record and, at a minimum, should | |
| | include referrals for services such as: | |
| | • OAHS | |
| | | |

| | • MCM | |
|-------|---|---|
| | Medical transportation, as applicable | |
| | | |
| | • Mental Health, as applicable | |
| | Substance Use Treatment, as applicable | |
| 2.11 | External Referrals | • |
| EISRC | NOTE: If PLWH is awaiting transfer to TDCJ, | |
| | EIS Team will ensure a note is placed in primary | |
| | client record and external referrals will not occur. | |
| | | |
| | Referrals will be documented in the client's | |
| | primary record and, at a minimum, should | |
| | include referrals for services such as: | |
| | • OAHS | |
| | • MCM | |
| | • Medical transportation, as applicable | |
| | • Mental Health, as applicable | |
| | • Substance Use Treatment, as applicable | |
| | Any additional services necessary to help clients | |
| | engage in their medical care. | |
| | | |
| | The EIS Team will link PLWH to medical care | |
| | in the community. The Team will schedule an | |
| | appointment for PLWH who will be returning | |
| | to the community with a medical provider of | |
| | the PLWH's choosing. For PLWH who will be | |
| | transferring to TDCJ, no appointments will be | |
| | scheduled. | |
| 2.12 | Referral Packet | • |
| EISRC | Staff makes referrals to agencies for all clients to | |
| | be released from Harris County Jail. The referral | |
| | packet will include: | |
| | d. A copy of the Harris County Jail | |
| | Intake/Assessment Form, | |
| | e. Copy of Medication Certification Form | |
| | (whenever possible) or otherwise | |
| | i. Proof of HIV diagnosis, | |
| | ii. A list of current medications, and | |
| | a. Copy of ID card or "known to me as" letter on | |
| | HCSO letterhead to facilitate access of | |
| | HIV/AIDS services in the community. | |
| 2.13 | Referral Tracking/Follow-Up | • |
| EISFU | All referrals made will have documentation of | |
| | follow-up to the referral in the primary client | |
| | record. Follow-up documentation should include | |
| | the result of the referral made (successful or | |
| | otherwise) and any additional assistance the EIS | |

| | Team offered to the PLWH. | |
|------|--|---|
| 2.14 | Successful linkage to care is measured as attendance to the actual medical appointment with a provider with prescribing privileges. Lost To Care/Connection with DIS After three unsuccessful attempts are made to contact and re-engage the client, EIS Team should work with their local Disease Intervention | • Referral to DIS is doumented in the primary client record. |
| 2.15 | Specialist (DIS) workers. <u>Case Closure</u> PLWH who are released from HCJ must have their cases closed with a case closure summary narrative documenting the components of EIS intervention completed with the PLWH and the reason for closure (i.e. transferring care, release, PLWH chooses to discontinue services), linkage to care (OAHS, MCM), referral to DIS (if applicable), and referral outcome summary (if applicable). | Primary client record contains a closure summary that includes narrative outlining the components of the EIS intervention completed with the PLWH and the reason for closure. Primary client record contains supervisor signature/approval on closure summary (electronic review is acceptable). |
| 2.16 | Progress Notes The EIS Team will maintain progress notes in each primary client record with thorough and accurate documentation of the assistance the EIS Team provided to the PLWH to help achieve applicable goals, including successful linkage to OAHS services. | • Primary client record contains thorough and accurate progress notes showing component of the intervention provided to and the benchmarks achieved with the PLWH. |
| | Administrative Requi | REMENTS |
| # | STANDARD | Evidence |
| 3.1 | <u>Agency License</u> The agency's facility(s) shall be appropriately licensed or certified as required by Texas Department of State Health Services, for the provision of HIV Early Intervention Services, including phlebotomy services. | Review of agency |
| 3.2 | <u>Program Policies and Procedures</u> Agency will have a policy that: Defines and describes EIS services (funded through Ryan White or other sources) that include and are limited to counseling and HIV testing, referral to appropriate services based on HIV status, linkage to care, and education and health literacy training for clients to help them navigate the HIV care system Specifies that services shall be provided at specific points of entry | • Program's Policies and Procedures indicate compliance with expectations. |

| | Specifies required coordination with HIV | | |
|-----|---|---|---|
| | prevention efforts and programs | | |
| | • Requires coordination with providers of | | |
| | prevention services | | |
| | • Requires monitoring and reporting on the | | |
| | number of HIV tests conducted and the number | | |
| | of positives found | | |
| | • Requires monitoring of referrals into care and | | |
| | treatment | | |
| | Additionally, the EIS Program will have policies | | |
| | and procedures that comply with applicable DSHS | | |
| | Universal Standards. | | |
| 3.3 | Staff Qualifications | • | Review of personnel files indicates |
| | All agency staff that provide direct-care services | | compliance |
| | shall possess: | | |
| | • Advanced training/experience in the area of | | |
| | HIV/infectious disease | | |
| | • HIV early intervention skills and abilities as | | |
| | evidenced by training, certification, and/or | | |
| | licensure, and documented competency | | |
| | assessment | | |
| | • Skills necessary to work with a variety of health | | |
| | care professionals, medical case managers, and | | |
| | interdisciplinary personnel. | | |
| | Supervisors must possess a degree in a health/social | | |
| | service field or equivalent experience. | | |
| 3.4 | Continuing Education | • | Evidence of training will be documented |
| | Each staff will complete a minimum of (12) hours | | in the staff personnel records. |
| | of training annually to remain current on HIV care. | | |
| 3.5 | Supervision | • | Program's Policies and Procedures |
| | Agency must have and implement a written plan for | | indicate compliance with expectations. |
| | supervision of EIS Team. Supervisors must review | • | Review of documentation indicates |
| | a 10 percent sample of each team member's client | | compliance. |
| | records each month for completeness, compliance | | • |
| | with these standards, and quality and timeliness of | | |
| | service delivery. Each supervisor must maintain a | | |
| | file on each staff supervised and hold supervisory | | |
| | sessions on at least a monthly basis. The file must | | |
| | include, at a minimum: | | |
| | Date, time, and content of the supervisory sessions | | |
| | Results of the supervisory case review addressing at | | |
| | a minimum completeness and accuracy of records, | | |
| | compliance with standards, and effectiveness of | | |
| | service. | | |
| 3.9 | MOUs with Core Medical Services | ٠ | Review of MOUs at annual quality |

| The Agency must maintain MOUs with a continuum of core medical service providers. | compliance reviews.Documentation of communication and |
|---|--|
| MOUs should be targeted at increasing | referrals with agencies covered by |
| communication, simplifying referrals, and | MOUs is present in primary client |
| decreasing other barriers to successfully connecting | record. |
| PLWHs into ongoing care. | |

Citations:

DSHS Early Intervention Services Service Standard (<u>https://dshs.texas.gov/hivstd/taxonomy/eis.shtm</u>)
 Intervention In Early HIV Infection

Santangelo J., Todays OR Nurse. 1992 Jul;14(7):17-21. PMID: 1636202

References:

DSHS HIV/STD Policy #2013.02, "*The Use of Testing Technology to Detect HIV Infection*" http://www.dshs.texas.gov/hivstd/policy/policies/2013-02.shtml

HRSA/HAB Division of Metropolitan HIV/AIDS Programs Program Monitoring Standards – Part A April 2013. p. 10-11

HRSA/HAB Division of State HIV/AIDS Programs National Monitoring Standards – Program Part B April 2013. P. 10-11. Accessed February 14, 2018 at:

https://hab.hrsa.gov/sites/default/files/hab/Global/programmonitoringpartb.pdf

HRSA/HAB Ryan White & Global HIV/AIDS Programs, Program & Grants Management, Policy Notices and Program Letters, Policy Clarification Notice 16-02, <u>https://hab.hrsa.gov/program-grants-management/policy-notices-and-program-letters</u>

RYAN WHITE PART B/DSHS STATE SERVICES 21-22 HOUSTON HSDA SERVICE-SPECIFIC STANDARDS OF CARE COMMUNITY-BASED HEALTH SERVICES

Definition:

Home and Community-Based Health Services are therapeutic, nursing, supportive and/or compensatory health services provided by a licensed/certified home health agency in a home or community-based setting in accordance with a written, individualized plan of care established by a licensed physician.

| # | STANDARD | | MEASURE |
|--------|---|---|---|
| 9.0 Se | rvice-Specific Requirements | | |
| 9.1 | Scope of Services Community-Based Health Services are designed to support the increased functioning and the return to self-sufficiency of clients through the provision of treatment and activities of daily living. Services must include: Skilled Nursing including medication administration, medication supervision, medication ordering, filling pill box, wound dressing changes, straight catheter insertion, education of family/significant others in patient care techniques, ongoing monitoring of patients' physical condition and communication with attending physician(s), personal care, and diagnostics testing; Other Therapeutic Services including recreational activities (fine/gross motor skills and cognitive development), replacement of durable medical equipment, information referral, peer support, and transportation; Nutrition including evaluation and counseling, supplemental nutrition, and daily nutritious meals; and Education including instructional workshops of HIV related topics and life skills. Services will be available at least Monday through Friday for a minimum of 10 hours/day. | • | Program's Policies and Procedures indicate compliance with expected Scope of Services. Documentation of provision of services compliant with Scope of Services present in client's primary record. |
| 9.2 | <u>Licensure</u> Agency must be licensed by the Texas Department of Aging and Disability Services (DADS) as an Adult Day Care provider. Agency maintains other certification for facilities and personnel, if applicable. Services are provided in accordance with Texas State regulations. | • | Documentation of license and/or certification posted in a highly visible place at the site where services are provided to clients. |

| # | STANDARD | | MEASURE |
|--------|---|---|---|
| 9.0 Se | rvice-Specific Requirements | | |
| 9.3 | Services Requiring Licensed Personnel All services requiring licensed personnel shall be provided by Registered Nurses/Licensed Vocational Nurses or appropriate licensed personnel in accordance with State of Texas regulations. Other Therapeutic Services are provided by paraprofessionals, such as an activities coordinator, and counselors (LPC, LMSW, LMFTA). Nutritional Services are provided by a Registered Dietician and food managers. Education Services are provided by a health educator. | | Documentation of qualification in personnel file |
| 9.4 | <u>Staff Qualifications</u> All personnel providing care shall have (or receive training) in the following minimum qualifications: Ability to work with diverse populations in a non-judgmental way Working knowledge of: HIV and its diverse manifestations HIV transmission and effective methods of reducing transmission current treatment modalities for HIV and co-morbidities HIV/AIDS continuum of care diverse learning and teaching styles the impacts of mental illness and substance use on behaviors and adherence to treatment crisis intervention skills the use of individualized plans of care in the provision of services and achievement of goals Effective crisis management skills Effective assessment skills | • | Personnel Qualification on file Documentation of orientation of file |
| 9.5 | <u>Doctor's Order</u> Community-based Health Services must be provided in accordance with doctor's orders. As part of the intake process, doctor's orders must be obtained to guide service provision to the client. | • | Review of client's primary record indicates compliance. |
| 9.6 | Billing Requirement Home and Community Based Home Health agency must be able to bill Medicare, Medicaid, private insurance and/or other third-party payers. | • | Provider will provide evidence of third-party billing. |

| # | STANDARD | MEASURE |
|------|---|---|
| 9.7 | Initial Client AssessmentA preliminary assessment will be conducted that includes services needed, perceived barriers to accessing services and/or medical care.Client will be contacted within one (1) business day of the referral, and services should be initiated at the time specified by the primary medical care provider, or within two (2) business days, whichever is earlier.Comprehensive Client Assessment A comprehensive client assessment, including nursing, therapeutic, and educational is completed for each client within seven (7) days of intake and every six (6) months thereafter. A measure of client acuity will be incorporated into the assessment tool to track client's increased functioning.A comprehensive evaluation of the client's health, psychosocial status, functional status, and home environment should be completed to include:• Assessment of client's access to primary care, adherence to therapies, disease progression, symptom management and prevention, and need for skilled nursing or rehabilitation services.• Information to determine client's ability to perform activities of daily living and the level of attendant care assistance the client needs to maintain | Documentation of needs assessment completed in the client's primary record Documented evidence of a comprehensive evaluation completed in the client's primary record. Review of client's primary record indicates compliance. Acuity levels documented as part of assessment. |
| 9.9 | living independently. Nutritional Evaluation | • Documentation is completed and maintained in the |
| | Each client shall receive a nutritional evaluation within 15 days of initiation of care. | client's primary record. |
| 9.10 | Meal Plan Staff will maintain signed and approved meal plans. | • Written documentation of plans is on file and posted in serving area. |
| 9.11 | <u>Plan of Care</u> A written plan of care is completed for each client within seven (7) days of intake and updated at least every sixty (60) calendar days thereafter. Development of plan of care incorporates a multidisciplinary team approach. | Review of client's primary record indicates compliance |

| # STANDARD | MEASURE |
|---|--|
| 9.12 Implementation of Care Plan In coordination with the medical care coordination team, professional staff will: Provide nursing and rehabilitation therapy care under the supervision and orders of the client's primary medical care provider. Monitor the progress of the care plan by reviewing it regularly with the client and revising it as necessary based on any changes in the client's situation. Advocate for the client when necessary (e.g., advocating for the client with a service agency to assist the client in receiving necessary services). Monitor changes in client's physical and mental health, and level of functionality. Work closely with client's other health care providers and other members of the care team in order to effectively communicate and address client service-related needs, challenges and barriers. Participate in the development of individualized care plan with members of the care team. Participate in regularly scheduled case conferences that involve the multidisciplinary team and other service providers as appropriate. Provide attendant care services which include taking vital signs if medically indicated Assist with client's self-administration of medication. Promptly report any problems or questions regarding the client's adherence to medication. Report any changes in the client's condition and needs. Current assessment and needs of the client, including activities of daily living needs (personal hygiene care, basic assistance with cleaning, and cooking activities) Need for home and community-based health services Types, quantity and length of time services are to be provided | Documentation in the client's primary record indicates services provided were consistent with the care plan. Documentation in the client's primary record indicates services provided were consistent with the care plan. Percentage of clients with documented evidence of a care plan completed based on the primary medical care provider's order as indicated in the client's primary record. Percentage of clients with documented evidence of care plans reviewed and/or updated as necessary based on changes in the client's situation at least every sixty (60) calendar days as evidenced in the client's primary record. |

| 9.13 | Provision of Services/ Progress Notes | • | Documented evidence of completed progress notes in |
|------------------|--|---|---|
| <i><i>yc</i></i> | Provides assurance that the services are provided in accordance with allowable | - | the client's primary record |
| | modalities and locations under the definition of home and community-based | • | Documentation of on-going communication with |
| | health services. | - | primary medical care provider and care coordination |
| | Progress notes will be kept in the client's primary record and must be written the day services are rendered. | | team as indicated in the client' primary record |
| | • Progress notes will then be entered into the client record within (14) working days. | | |
| | • The agency will maintain ongoing communication with the multidisciplinary medical care team in compliance with Texas Medicaid and Medicare Guidelines. | | |
| | • The Home and Community-Based Provider will document in the client's | | |
| | primary record progress notes throughout the course of the treatment, | | |
| | including evidence that the client is not in need of acute care. | | |
| 9.14 | Coordination of Services/Referrals | • | Documentation of referrals (as applicable) to other |
| | If referrals are appropriate or deemed necessary, the agency will: | | services as indicated, with follow-up in the client's |
| | • Ensure that service for clients will be provided in cooperation and in | | primary record. |
| | collaboration with other agency services and other community HIV service | | |
| | providers to avoid duplication of efforts and encouraging client access to | | |
| | integrated health care. | | |
| | Consistently report referral and coordination updates to the | | |
| | multidisciplinary medical care team. | | |
| | • Assist clients in making informed decisions on choices of available service | | |
| | providers and resources. | | |
| 9.15 | Refusal of referral | | Documentation in the client's primary record will |
| | The home or community-based health service agency may refuse a referral for | | indicate the reason for refusal |
| | the following reasons only: | | |
| | • Based on the agency's perception of the client's condition, the client | | |
| | requires a higher level of care than would be considered reasonable in a | | |
| | home/community setting. | | |
| | The agency must document the situation in writing and immediately contact | | |
| | the client's primary medical care provider. | | |

| # | STANDARD | MEASURE |
|------|---|---|
| 9.16 | <u>Completion of Services/Discharge</u> Services will end when one or more of the following takes place: Client acuity indicates self-sufficiency and care plan goals completed; Client expresses desire to discontinue/transfer services; Client is not seen for ninety (90) days or more; and Client has been referred on to a higher level of care (such as assisted living or skilled nursing facility) Client is unable or unwilling to adhere to agency policies. Client relocates out of the service delivery area When applicable, an employee of the agency has experienced a real or perceived threat to his/her safety during a visit to a client's home, in the company of an escort or not. The agency may discontinue services or refuse the client for as long as the threat is ongoing. Any assaults, verbal or physical, must be reported to the monitoring entity within one (1) business day and followed by a written report. A copy of the police report is sufficient, if applicable. All services discontinued under above circumstances (if applicable) must be accompanied by a referral to an appropriate service provider agency. | Documentation of a discharge/transfer plan developed with client, as applicable, as indicated in the client's primary record. |

References

HRSA/HAB Division of Metropolitan HIV/AIDS Programs Program Monitoring Standards – Part A April 2013, p. 14-16. HRSA/HAB Division of State HIV/AIDS Programs National Monitoring Standards – Program Part B April 2013, p. 13-15. Massachusetts Department of Public Health Bureau of Infectious Disease Office of HIV/AIDS Standards of Care for HIV/AIDS Services 2009. San Francisco EMA Home-Based Home Health Care Standards of Care February 2004. Texas Administrative Code, Title 40, Part 1, Chapter 97, Subchapter B, Rule 97.211. HRSA/HAB Ryan White & Global HIV/AIDS Programs, Program & Grants Management, Policy Notices and Program Letters, Policy Change Notice 16-02

RYAN WHITE PART B/DSHS STATE SERVICES 21-22 HOUSTON HSDA SERVICE-SPECIFIC STANDARDS OF CARE HOSPICE SERVICES

Definition:

Provision of Hospice Care provided by licensed hospice care providers to clients in the terminal stages of an HIV-related illness, in a home or other residential setting, including a non-acute-care section of a hospital that has been designated and staffed to provide hospice care for terminal patients.

| # | STANDARD | | MEASURE | | |
|-------|--|---|---|--|--|
| 9.0 S | ervice-Specific Requirements | | | | |
| 9.1 | <u>Scope of Service</u> Hospice services encompass palliative care for terminally ill clients and support services for clients and their families. Services are provided by a licensed nurse and/or physical therapist. Additionally, unlicensed personnel may deliver services under the delegation of a licensed nurse or physical therapist, to a client or a client's family as part of a coordinated program. A physician must certify that a patient is terminal, defined under Medicaid hospice regulations as having a life expectancy of 6 months or less. Services must include but are not limited to medical and nursing care, palliative care, and psychosocial support for the patient, as well as a mechanism for bereavement referral for surviving family members. Counseling services provided in the context of hospice care must be consistent with the (Ryan White) definition of mental health counseling. Palliative therapies must be consistent with those covered under respective State Medicaid Program. Allowable Ryan White/State Services funded services are: Room Board Nursing care Mental health counseling, to include bereavement counseling Physician services Palliative therapeutics | • | Program's Policies and Procedures indicate compliance with expected Scope of Services. Documentation of provision of services compliant with Scope of Services present in client's primary record. | | |

| # | STANDARD | MEASURE |
|--------|---|---|
| 9.0 Se | ervice-Specific Requirements | |
| 9.2 | <u>Scope of Service (Cont'd)</u> Services NOT allowed under this category: HIV medications under hospice care unless paid for by the client. Medical care for acute conditions or acute exacerbations of chronic conditions other than HIV for potentially Medicaid eligible residents. Funeral, burial, cremation, or related expenses. Nutritional services, Durable medical equipment and medical supplies. Case management services Although Texas Medicaid can pay for bereavement counseling for family members for up to a year after the patient's death and can be offered in a skilled nursing facility or nursing home, Ryan White funding CANNOT pay for these services per legislation. <u>Client Eligibility</u> In addition to general eligibility criteria, individuals must meet the following criteria in order to be eligible for services. The client's eligibility must be recertified for the program every six (6) months. Referred by a licensed physician Certified by his or her physician that the individual's prognosis is for a life expectancy of six (6) months or less if the terminal illness runs its normal course Must first seek care from other facilities and denial must be documented in the resident's chart. | Documentation of HIV+ status, residence, identification and income in the client's primary record. Documentation in client's chart that an attempt has been made to place Medicaid/Medicare eligible clients in another facility prior to admission. |
| 9.4 | <u>Clients Referral and Tracking</u> Agency receives referrals from a broad range of HIV/AIDS service providers and makes appropriate referrals out when necessary. | Documentation of referrals received. Documentation of referrals out Staff reports indicate compliance |
| 9.5 | <u>Staff Education</u> Agency shall employ staff who are trained and experienced in their area of practice and remain current in end of life issues as it relates to HIV/AIDS. Staff shall maintain knowledge of psychosocial and end of life issues that may impact the needs of persons living with HIV/AIDS. | Staff will attend and has continued access to training activities: Staff has access to updated HIV/AIDS information Agency maintains system for dissemination of HIV/AIDS information relevant to the needs of PLWH to paid staff and volunteers. Agency will document provision of in-service education to staff regarding current treatment methodologies and promising practices. |

| # | STANDARD | MEASURE |
|-------|---|---|
| 9.0 S | ervice-Specific Requirements | |
| 9.6 | <u>Ongoing Staff Training</u> Eight (8) hours of training in HIV/AIDS and clinically-related issues is required annually for licensed staff (in addition to training required in General Standards). One (1) hour of training in HIV/AIDS is required annually for all other staff (in addition to training required in General Standards). | Materials for staff training and continuing education are on file Documentation of training in personnel file |
| 9.7 | Staff Credentials & Experience All hospice care staff who provide direct-care services and who require licensure or certification, must be properly licensed or certified by the State of Texas. A minimum of one year documented hospice and/or HIV/AIDS work experience is preferred. | Personnel files reflect requisite licensure or certification. Documentation of work experience in personnel file |
| 9.8 | Staff Requirements Hospice services must be provided under the delegation of an attending physician and/or registered nurse. | Review of personnel file indicates complianceStaff interviews indicate compliance. |
| 9.9 | Volunteer Assistance Volunteers cannot be used to substitute for required personnel. They may however provide companionship and emotional/spiritual support to patients in hospice care. Volunteers providing patient care will: • Be provided with clearly defined roles and written job descriptions • Conform to policies and procedures | Review of agency's Policies & Procedures Manual indicates compliance Documentation of all training in volunteer files Signed compliance by volunteer |
| 9.10 | Volunteer Training Volunteer Training Volunteers may be recruited, screened, and trained in accordance with all applicable laws and guidelines. Unlicensed volunteers must have the appropriate State of Texas required training and orientation prior to providing direct patient care. Volunteer training must also address program-specific elements of hospice care and HIV/AIDS. For volunteers who are licensed practitioners, training addresses documentation practices. | Review of training curriculum indicates compliance Documentation of all training in volunteer files |
| 9.11 | Staff Supervision Staff Supervision Staff services are supervised by a paid coordinator or manager. Professional supervision shall be provided by a practitioner with at least two years experience in hospice care of persons with HIV. All licensed personnel shall receive supervision consistent with the State of Texas licensure requirements. Supervisory, provider or advanced practice registered nurses will document supervision over other staff members | Review of personnel files indicates compliance. Review of agency's Policies & Procedures Manual indicates compliance. Review of documentation that supervisory provider or advanced practice registered nurse provided supervision over other staff members |

| # | STANDARD | MEASURE |
|--------------|---|---|
| 9.0 Se | ervice-Specific Requirements | |
| 9.12 9.13 | Facility LicensureAgency/provider is a licensed hospital/facility and maintains a valid State license with a residential AIDS Hospice designation, or is certified as a Special Care Facility with Hospice designation.Denial of Service | License and/or certification will be posted in a conspicuous place at the site where services are provided to patients. Documentation of license and/or certification is available at the site where services are provided to clients Review of agency's Policies & Procedures Manual indicates |
| | The hospice provider may elect to refuse a referral for reasons which include, but are not limited to, the following: There are no beds available Level of patient's acuity and staffing limitations Patient is aggressive and a danger to the staff Patient is a "no show" Agency must develop and maintain s system to inform Administrative Agency regarding issue of long term care facilities denying admission for HIV positive clients based on inability to provide appropriate level of skilled nursing care. | Review of agency's Folicies & Frocedures Manual Indicates compliance Documentation of notification is available for review. |
| 9.14 | <u>Multidisciplinary Team Care</u> Agency must use a multidisciplinary team approach to ensure that patient and the family receive needed emotional, spiritual, physical and social support. The multidisciplinary team may include physician, nurse, social worker, nutritionist, chaplain, patient, physical therapist, occupational therapist, care giver and others as needed. Team members must establish a system of communication to share information on a regular basis and must work together and with the patient and the family to develop goals for patient care. | Review of agency's Policies & Procedures Manual indicates compliance Documentation in client's primary records |
| 9.15 | <u>Medication Administration Record</u> Agency documents each patient's scheduled medications. Documentation includes patient's name, date, time, medication name, dose, route, reason, result, and signature and title of staff. HIV medications may be prescribed if discontinuance would result in adverse physical or psychological effects. | Documentation in client's primary record |
| 9.16 | PRN Medication Record Agency documents each patient's PRN medications. Documentation includes patient's name, date, time, medication name, dose, route, reason, outcome, and signature and title of staff. | Documentation in client's primary record |

| # | STANDARD | MEASURE | |
|--------|---|---|--|
| 9.0 Se | ervice-Specific Requirements | | |
| 9.17 | <u>Physician Certification</u> The attending physician must certify that a client is terminal, defined under Texas Medicaid hospice regulations as having a life expectancy of six (6) months or less if the terminal illness runs its normal course. The certification must specify that the individual's prognosis is for a life expectancy of six (6) months or less if the terminal illness runs its normal course. The certification statement must be based on record review or consultation with the referring physician. The referring provider must provide orders verbally and in writing to the Hospice provider prior to the initiation of care and act as that patient's primary care physician. Provider orders are transcribed and noted by attending nurse. | Documentation of attending physician certification of client's terminal illness documented in the client's primary record. Documentation in the primary record of all physician orders for initiation of care. | |
| 9.18 | Intake and Service EligibilityAgency will receive referrals from a broad range of HIV/AIDS service providers.Information will be obtained from the referral source and will include:Contact and identifying information (name, address, phone, birth date, etc.)Language(s) spokenLiteracy level (client self-report)DemographicsEmergency contactHousehold membersPertinent releases of informationDocumentation of insurance statusDocumentation of state residencyDocumentation of proof of HIV positivityPhoto ID or two other forms of identificationAcknowledgement of client's rights | Review of agency's Policies & Procedures Manual indicates compliance Documentation in client's primary records | |

| # | STANDARD | ſ | MEASURE |
|--------|--|---|---|
| 9.0 Se | rvice-Specific Requirements | - | |
| 9.19 | <u>Comprehensive Health Assessment</u> A comprehensive health assessment, including medical history, a psychosocial assessment and physical examination, is completed for each patient within 48 hours of admission and once every six months thereafter. Symptoms assessment (utilizing standardize tools), risk assessment for falls and pressure ulcers must be part of initial assessment and should be ongoing. Medical history should include the following components: History of HIV infection and other co morbidities Current symptoms Systems review Past history of other medical, surgical or psychiatric problems Medication history Family history Social history Identifies the patient's need for hospice services in the areas of medical, nursing, social, emotional, and spiritual care. A review of current goals of care Clinical examination should include all body systems, neurologic and mental state examination, evaluation of radiologic and laboratory test and needed specialist assessment. | | Documentation of comprehensive health assessment completed within 48 hours of admission in the client's primary record. |
| 9.20 | Plan of CareFollowing history and clinical examination, the provider should develop a problem list that reflects clinical priorities and patient's priorities.A written Plan of Care is completed for each patient within seven (7) calendar days of admission and reviewed monthly. Care Plans will be updated once every six months thereafter or more frequently as clinically indicated. Hospice care should be based on the USPHS guidelines for supportive and palliative care for people living with HIV/AIDS (http://hab.hrsa.gov/tools/palliative/contents.html) and professional guidelines. Hospice provider will maintain a consistent plan of care and communicate | • | Documentation in client's primary record Written care plan based on physician's orders completed within seven calendar days of admission documented in the client's primary record. Documented evidence of monthly care plan reviews completed in the client's primary record. |

| # | STANDARD | | MEASURE |
|--------|---|---|---|
| 9.0 Se | rvice-Specific Requirements | | |
| 9.21 | <u>Counseling Services</u> The need for counseling services for family members must be assessed and a referral made if requested. The need for bereavement and counseling services for family members must be consistent with definition of mental health counseling. | • | Documentation in client's primary record |
| 9.22 | Bereavement Counseling Bereavement counseling must be provided. Bereavement counseling means emotional, psychosocial, and spiritual support and services provided before and after the death of the patient to assist with issues related to grief, loss, and adjustment. A hospice must have an organized program for the provision of bereavement services furnished under the supervision of a qualified professional with experience or education in grief or loss counseling. A hospice must: Develop a bereavement plan of care that notes the kind of bereavement services to be offered to the patient's family and other persons and the frequency of service delivery; Make bereavement services available to a patient's family and other persons in the bereavement plan of care for up to one year following the death of the patient; Extend bereavement counseling to residents of a skilled nursing facility, a nursing facility, or an intermediate care facility for individuals with an intellectual disability or related conditions when appropriate and as identified in the bereavement plan of care; Ensure that bereavement services reflect the needs of the bereaved. | • | Referral and/or service provision documented. Documented evidence of bereavement counseling offered to family members upon admission to Hospice services in the client's primary record. |
| 9.23 | <u>Dietary Counseling</u> <u>Dietary counseling must be provided</u>. Dietary counseling means education and interventions provided to a patient and family regarding appropriate nutritional intake as a hospice patient's condition progresses. Dietary counseling, when identified in the plan of care, must be performed by a qualified person. A qualified person includes a dietitian, nutritionist, or registered nurse. A person that provides dietary counseling must be appropriately trained and qualified to address and assure that the specific dietary needs of a client are met. | • | Referral and/or service provision documented. Documented evidence of dietary counseling provided, when identified in the written care plan, in the client's primary record. |

| # | STANDARD | | MEASURE |
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| 9.0 Se | ervice-Specific Requirements | _ | |
| 9.24 | Mental Health Counseling Mental health counseling must be provided. Mental health counseling should be solution focused; outcomes oriented and time limited set of activities for the purpose of achieving goals identified in the patient's individual treatment plan. Mental Health Counseling is to be provided by a licensed Mental Health professional (see Mental Health Service Standard and Universal Standards for | • | Referral and/or service provision documented. Documented evidence of mental health counseling offered, as medically indicated, in the client's primary record. |
| | qualifications): The patient's needs as identified in the patient's psychosocial assessment The patient's acceptance of these services | | |
| 9.25 | <u>Spiritual Counseling</u> A hospice must provide spiritual counseling that meets the patient's and the family's spiritual needs in accordance with their acceptance of this service and in a manner consistent with their beliefs and desires. A hospice must: Provide an assessment of the client's and family's spiritual needs; Make all reasonable efforts to the best of the hospice's ability to facilitate visits by local clergy, a pastoral counselor, or other persons who can support a client's spiritual needs; and Advise the client and family of the availability of spiritual counseling services. | • | Referral and/or service provision documented. Spiritual counseling, as appropriate, documented in the written care plan in the client's primary record. |
| 9.26 | <u>Palliative Therapy</u> Palliative therapy is care designed to relieve or reduce intensity of uncomfortable symptoms but not to produce a cure. Palliative therapy must be documented in the written plan of care with changes communicated to the referring provider. | • | Written care plan that documents palliative therapy as ordered by the referring provider documented in the client's primary record. |
| 9.27 | <u>Medical Social Services</u> Medical social services must be provided by a qualified social worker. and is based on: The patient's and family's needs as identified in the patient's psychosocial assessment The patient's and family's acceptance of these services. | • | Assessment present in the client's primary record. Documentation in client's primary records. |

| 9.28 | <u>Discharge</u> An individual is deemed no longer to be in need of hospice services if one or more of these criteria is met: Patient expires. Patient's medical condition improves, and hospice care is no longer necessary, based on attending physician's plan of care and a referral to Medical Case Management or OAHS must be documented Patient elects to be discharged. Patient is discharged for cause. Patient is transferred out of provider's facility. | • | Review of agency's Policies & Procedures Manual indicates compliance Documentation in client's primary records. Percentage of clients in Hospice care with documented evidence of discharge status in the client's primary record. |
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References

HRSA/HAB Division of Metropolitan HIV/AIDS Programs Program Monitoring Standards – Part A April 2013, p. 16-18. HRSA/HAB Division of State HIV/AIDS Programs National Monitoring Standards – Program Part B April 2013, p. 15-17. <u>Texas Administrative code Title 40; Part 1; Chapter 97, Subchapter H Standards Specific to Agencies Licensed to Provide Hospice Services</u> <u>Texas Department of Aging and Disability Services Texas Medicaid Hospice Program Standards Handbook</u> HRSA Policy Notice 16-02: Eligible Individuals & Allowable Uses of Funds, June 2017

RYAN WHITE PART B/DSHS STATE SERVICES 21-22 HOUSTON HSDA STANDARDS OF CARE LINGUISTIC INTERPRETIVE SERVICES

Definition:

Support for Linguistic Interpretive Services includes interpretation (oral) and translation (written) services, provided by qualified individuals as a component of HIV service delivery between the provider and the client, when such services are necessary to facilitate communication between the provider and client and/or support delivery of Ryan White-eligible services.

| # | STANDARD | MEASURE |
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| 9.1 | <u>Scope of Service</u> The agency will provide interpreter services including, but not limited to, sign language for deaf and/or hard of hearing and native language interpretation for monolingual HIV positive clients. Services exclude Spanish Translation Services. Services are intended to be inclusive of all cultures and sub-cultures and not limited to any particular population group or sets of groups. They are especially designed to assure that the needs of racial, ethnic, and linguistic populations severely impacted by the HIV epidemic receive quality, unbiased services | Program's Policies and Procedures indicate compliance with expected Scope of Services. Documentation of provision of services compliant with Scope of Services present in client files. |
| 9.2 | Staff Qualifications and Training Oral and written translators will be certified by the Certification Commission for Healthcare Interpreters (CCHI) or the National Board of Certification for Medical Interpreters (NBCMI). Where CCHI and NBCMI certification for a specific language do not exist, an equivalent certification (MasterWord, etc.) may be substituted for the CCHI and NBCMI certification. Staff and volunteers who provide American Sign Language services must hold a certification from the Board of Evaluation of Interpreters (BEI), the Registry of Interpreters for the Deaf (RID), the National Interpreter Certification (NIC), or the State of Texas at a level recommended by the Texas Department of Assistive and Rehabilitative Services (DARS) Office for Deaf and Hard of Hearing Services. Interpreter staff/agency will be trained and experienced in the health care setting. | Program Policies and Procedures will ensure the contracted agency complies with Legislation and Regulations: (Americans with Disabilities Act (ADA), Section 504 of the Rehabilitation Act, Title VI of Civil Rights Act, Health Information Portability and Accountability Act (HIPAA), Health Information Technology for Economic and Clinical Health Act Agency contracts with companies that maintain certified ASL interpreters on staff. Agency requests denote appropriate levels of interpreters are requested. |

| # | STANDARD | MEASURE | | | | | |
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| 9.0 | Services are part of the coordinated continuum of HIV/AIDS and social services | | | | | | |
| 9.3 | <u>Program Policies</u> Agency will develop policies and procedures regarding the scheduling of interpreters and process of utilizing the service. Agency will disseminate policies and procedures to providers seeking to utilize the service. | Review of Program Policies. | | | | | |
| 9.4 | Provision of Services Agencies shall provide translation/interpretation services for the date of scheduled appointment per request submitted and will document the type of linguistic service provided in the client's primary record. Agency/providers will offer services to the client only in connection with other HRSA approved services (such as clinic visits). Providers will deliver services to the client only to the extent that similar services are not available from another source (such as a translator employed by the clinic). This excludes use of family members of friends of the client Based on provider need, agency shall provide the following types of linguistic services in the client's preferred language: Oral interpretation Written translation Sign language Agency/providers should have the ability to provide (or make arrangements for the provision of) translation services regardless of the language of the client seeking assistance Agency will be able to provide interpretation/ translation in the languages needed based on the needs assessment for the area. | Review of Program's Policies and Procedures indicate compliance. Documentation that linguistic services are being provided as a component of HIV service delivery between the provider and the client, to facilitate communication between the client and provider and the delivery of RW-eligible services in both group and individual settings. Documented evidence of need of linguistic services as indicated in the client's assessment. Percentage of client files with documented evidence of interpretive/translation services provided for the date of service requested. | | | | | |
| 9.5 | <u>Timeliness of Scheduling</u> Agency will schedule service within one (1) business day of the request. | Review of client files indicates compliance. | | | | | |
| 9.6 | Subcontractor Exclusion: Due to the nature of subcontracts under this service category, the staff training outlined in the General Standards are excluded from being required for interpreters. | No Measure | | | | | |

References

HRSA/HAB Division of Metropolitan HIV/AIDS Programs Program Monitoring Standards – Part A April 2013, p. 37-38. HRSA/HAB Division of State HIV/AIDS Programs National Monitoring Standards – Program Part B April 2013, p. 37-38. <u>Title VI of the Civil Rights Act of 1964 with respect to individuals with limited English proficiency (LEP).</u> <u>HRSA/HAB Ryan White & Global HIV/AIDS Programs, Program & Grants Management, Policy Notices and Program Letters, Policy Change Notice 16-02</u>



2021-2022 HOUSTON ELIGIBLE METROPOLITAN AREA: RYAN WHITE CARE ACT PART A STANDARDS OF CARE FOR HIV SERVICES RYAN WHITE GRANT ADMINISTRATION SECTION HARRIS COUNTY PUBLIC HEALTH (HCPH)

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Introduction

According to the Joint Commission (2008)¹, a standard is a "statement that defines performance expectations, structures, or processes that must be in place for an organization to provide safe, highquality care, treatment, and services". Standards are developed by subject experts and are usually the minimal acceptable level of quality in service delivery. The Houston EMA Ryan White Grant Administration (RWGA) Standards of Care (SOCs) are based on multiple sources including RWGA onsite program monitoring results, consumer input, the US Public Health Services guidelines, Centers for Medicare and Medicaid Conditions of Participation (COP) for health care facilities, Joint Commission accreditation standards, the Texas Administrative Code, Center for Substance Abuse and Treatment (CSAT) guidelines and other federal, state and local regulations.

Purpose

The purpose of the Ryan White Part A SOCs is to determine the minimal acceptable levels of quality in service delivery and to provide a measurement of the effectiveness of services.

Scope

The Houston EMA SOCs apply to Part A funded HRSA defined core and support services including the following services in FY 2021-2022:

- Primary Medical Care
- Vision Care
- Medical Case Management
- Clinical Case Management
- Local AIDS Pharmaceutical Assistance Program (LPAP)
- Oral Health
- Health Insurance Assistance
- Hospice Care
- Mental Health Services
- Substance Abuse services
- Home & Community Based Services (Facility-Based)
- Early Intervention Services
- Medical Nutrition Supplement
- Outreach
- Non-Medical Case Management (Service Linkage)
- Transportation
- Linguistic Services
- Emergency Financial Assistance
- Emergency Financial Assistance (Other)
- Referral for Healthcare & Support Services
- Part A funded services Combination of Parts A, B, and/or Services funding

Standards Development

The first group of standards was developed in 1999 following HRSA requirements for sub grantees to implement monitoring systems to ensure subcontractors complied with contract requirements. Subsequently, the RWGA facilitates annual work group meetings to review the standards and to make

¹ The Joint Commission (formerly known as Joint Commission on Accreditation of Healthcare Organization (2008)). Comprehensive accreditation manual for ambulatory care; Glossary

applicable changes. Workgroup participants include physicians, nurses, case managers and executive staff from subcontractor agencies as well as consumers.

Organization of the SOCs

The standards cover all aspect of service delivery for all funded service categories. Some standards are consistent across all service categories and therefore are classified under general standards. These include:

- Staff requirements, training and supervision
- Client rights and confidentiality
- Agency and staff licensure
- Emergency Management

The RWGA funds three case management models. Unique requirements for all three case management service categories have been classified under Service Specific SOCs "Case Management (All Service Categories)". Specific service requirements have been discussed under each service category. All new and/or revised standards are effective at the beginning of the fiscal year.

GENERAL STANDARDS

| | Standard | Measure |
|-----|---|--|
| 1.0 | Staff Requirements | |
| 1.1 | Staff Screening (Pre-Employment)Staff providing services to clients shall be screened for appropriateness by provider agency as follows:• Personal/Professional references• Personal interview• Written applicationCriminal background checks, if required by Agency Policy, must be conducted prior to employment and thereafter for all staff and/or volunteers per Agency policy. | Review of Agency's Policies and Procedures Manual indicates compliance Review of personnel and/or volunteer files indicates compliance |
| 1.2 | Initial Training: Staff/Volunteers Initial Training includes eight (8) hours of: HIV basics, safety issues (fire & emergency preparedness, hazard communication, infection control, universal precautions), confidentiality issues, role of staff/volunteers (e.g. job description), agency-specific information (e.g. Drug Free Workplace policy) and customer service training must be completed within 60 days of hire. https://www.sba.gov/course/customer-service/ | Documentation of all training in personnel file. Specific training requirements are specified in Agency Policy and Procedure Materials for staff training and continuing education are on file Staff interviews indicate compliance |
| 1.3 | <u>Staff Performance Evaluation</u> Agency will perform annual staff performance evaluation. | Completed annual performance evaluation kept in employee's file Signed and dated by employee and supervisor (includes electronic signature) |
| 1.4 | Cultural and HIV Mental Health Co-morbidity Competence Training/Staff and VolunteersVolunteersAll staff tenured 0 – 5 year with their current employer must receive four (4) hours of cultural competency training to include information on working with people of all races, ethnicities, nationalities, gender identities, and sexual orientations and an | • Documentation of training is maintained by the agency in the personnel file |

| | additional one (1) hour of HIV/Mental Health co-morbidity sensitivity training annually. All new employees must complete these within ninety (90) days of hire. | |
|-----|---|---|
| | All staff with greater than 5 years with their current employer must receive two (2) hours of cultural competency training and an additional one (1) hour of HIV/Mental Health co-morbidity sensitivity training annually. | |
| 1.5 | Required trainings offered through RWGA For required trainings that RWGA offers (IPV, Cultural Competency, and Field Safety), Agency must request a waiver for agency-based training alternative that meets or exceeds the RWGA requirements. | RWGA Waiver is approved prior to Agency utilizing agency-based training curriculum |
| 1.6 | Staff education on eligibility determination and fee scheduleAgency must provide training on agency's policies and procedures for eligibilitydetermination and sliding fee schedule for, but not limited to, case managers, andeligibility & intake staff annually.All new employees must complete within ninety (90) days of hire. | • Documentation of training in employee's record |
| 2.0 | Services utilize effective management practices such as cost effectiveness, hum | an resources and quality improvement. |
| 2.1 | Service Evaluation Agency has a process in place for the evaluation of client services. | Review of Agency's Policies and Procedures Manual indicates compliance Staff interviews indicate compliance. |
| 2.2 | Subcontractor Monitoring Agency that utilizes a subcontractor in delivery of service, must have established policies and procedures on subcontractor monitoring that include: • Fiscal monitoring • Program • Quality of care | Documentation of subcontractor monitoring Review of Agency's Policies and Procedures Manual indicates compliance |
| | Compliance with guidelines and standards Reviewed Annually | |

| | termination process, and position descriptions); client confidentiality; health and safety requirements; complaint and grievance procedures; emergency procedures; and statement of client rights; staff must review these guidelines annually | employee understands agency policies and procedures |
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| 2.4 | Work Conditions Staff/volunteers have the necessary tools, supplies, equipment and space to accomplish their work. | Inspection of tools and/or equipment indicates that these are in good working order and in sufficient supply Staff interviews indicate compliance |
| 2.5 | Staff Supervision Staff services are supervised by a paid coordinator or manager. | Review of personnel files indicates compliance |
| | | Review of Agency's Policies and Procedures Manual indicates compliance |
| 2.6 | <u>Professional Behavior</u> Staff must comply with written standards of professional behavior. | • Staff guidelines include standards of professional behavior |
| | | Review of Agency's Policies and Procedures Manual indicates compliance |
| | | Review of personnel files indicates compliance |
| | | • Review of agency's complaint and grievance files |
| 2.7 | <u>Communication</u> There are procedures in place regarding regular communication with staff about the program and general agency issues. | Review of Agency's Policies and Procedures Manual indicates compliance |
| | | Documentation of regular staff meetings Staff interviews indicate compliance |
| 2.8 | Accountability There is a system in place to document staff work time. | • Staff time sheets or other documentation indicate compliance |

| 2.9 | Staff Availability Staff are present to answer incoming calls during agency's normal operating hours. | Published documentation of agency operating hours Staff time sheets or other documentation indicate compliance |
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| 3.0 | Clients Rights and Responsibilities | |
| 3.1 | Clients Rights and Responsibilities Agency reviews Client Rights and Responsibilities Statement with each client in a language and format the client understands. Agency provides client with written copy of client rights and responsibilities, including: • Informed consent • Confidentiality • Grievance procedures • Duty to warn or report certain behaviors • Scope of service • Criteria for end of services | Documentation in client's record |
| 3.2 | <u>Confidentiality</u> Agency maintains Policy and Procedure regarding client confidentiality in accordance with RWGA site visit guidelines, local, state and federal laws. Providers must implement mechanisms to ensure protection of clients' confidentiality in all processes throughout the agency. There is a written policy statement regarding client confidentiality form signed by each employee and included in the personnel file. | Review of Agency's Policies and Procedures Manual indicates compliance Clients interview indicates compliance Agency's structural layout and information management indicates compliance Signed confidentiality statement in each employee's personnel file |
| 3.3 | <u>Consents</u> All consent forms comply with state and federal laws, are signed by an individual legally able to give consent and must include the Consent for Services form and a consent for release/exchange of information for every individual/agency to whom client identifying information is disclosed, regardless of whether or not HIV status is revealed. | Agency Policy and Procedure and signed and dated consent forms in client record |

| 3.4 | Up to date Release of Information | • Current Release of Information form |
|-----|--|--|
| | Agency obtains an informed written consent of the client or legally responsible person prior to the disclosure or exchange of certain information about client's case to another party (including family members) in accordance with the RWGA Site Visit Guidelines, local, state and federal laws. The release/exchange consent form must contain: Name of the person or entity permitted to make the disclosure Name of the client The purpose of the disclosure Entities to disclose to Date on which the consent is signed The expiration date of client authorization (or expiration event) no longer than two years Signature of the client/or parent, guardian or person authorized to sign in lieu of the client. | with all the required elements signed by client or authorized person in client's record |
| | Release/exchange of information forms must be completed entirely in the presence of the client. Any unused lines must have a line crossed through the space. | |
| 3.5 | Grievance Procedure Agency has Policy and Procedure regarding client grievances that is reviewed with each client in a language and format the client can understand and a written copy of which is provided to each client. Grievance procedure includes but is not limited to: • to whom complaints can be made • steps necessary to complain • form of grievance, if any • time lines and steps taken by the agency to resolve the grievance • documentation by the agency of the process, including a standardized grievance/complaint form available in a language and format understandable to the client • all complaints or grievances initiated by clients are documented on the Agency's standardized form | Signed receipt of agency Grievance Procedure, filed in client chart Review of Agency's Policies and Procedures Manual indicates compliance Review of Agency's Grievance file indicates compliance, Source Citation: HAB Monitoring Standards; Part I: Universal Standards; Section A: Access to Care #2 |

| | resolution of each grievance/complaint is documented on the Standardized form and shared with client confidentiality of grievance addresses and phone numbers of licensing authorities and funding sources language outlining that clients cannot be retaliated against for filing grievances | |
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| 3.6 | <u>Conditions Under Which Discharge/Closure May Occur</u> A client may be discharged from Ryan White funded services for the following reasons. Death of the client At the client's or legal guardian request Changes in client's need which indicates services from another agency Fraudulent claims or documentation about HIV diagnosis by the client Client actions put the agency, case manager or other clients at risk. Documented supervisory review is required when a client is terminated or suspended from services due to behavioral issues. Client moves out of service area, enters jail or cannot be contacted for sixty (60) days. Agency must document three (3) attempts to contact clients by more than one method (e.g. phone, mail, email, text message, in person via home visit). Client service plan is completed and no additional needs are identified. Client must be provided a written notice prior to involuntary termination of services (e.g. due to dangerous behavior, fraudulent claims or documentation, etc.). | Documentation in client record and in the Centralized Patient Care Data Management System A copy of written notice and a certified mail receipt for involuntary termination |
| 3.7 | Client Closure A summary progress note is completed in accordance with Site Visit Guidelines within three (3) working days of closure, including: • Date and reason for discharge/closure • Summary of all services received by the client and the client's response to services • Referrals made and/or • Instructions given to the individual at discharge (when applicable) | Documentation in client record and in the Centralized Patient Care Data Management System |

| 3.8 | <u>Client Feedback</u> In addition to the RWGA standardized client satisfaction survey conducted on an ongoing basis (no less than annually), Agency must have structured and ongoing efforts to obtain input from clients (or client caregivers, in cases where clients are unable to give feedback) in the design and delivery of services. Such efforts may include client satisfaction surveys, focus groups and public meetings conducted at least annually. Agency may also maintain a visible suggestion box for clients' inputs. Analysis and use of results must be documented. Agency must maintain a file of materials documenting Consumer Advisory Board (CAB) membership and meeting materials (applicable only if agency has a CAB). Agencies that serve an average of 100 or more unduplicated clients monthly under combined RW/A, MAI, RW/B and SS funding must implement a CAB. The CAB must meet regularly (at least 4 times per year) at a time and location conducive to consumer participation to gather, support and encourage client feedback, address issues which impact client satisfaction with services and provide Agency with recommendations to improve service delivery, including accessibility and retention in care. | Documentation of clients' evaluation of services is maintained Documentation of CAB and public meeting minutes Documentation of existence and appropriateness of a suggestion box or other client input mechanism Documentation of content, use, and confidentiality of a client satisfaction survey or focus groups conducted annually Source Citation: HAB Monitoring Standards; Part I: Universal Standards; Section A: Access to Care #1 |
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| 3.9 | Patient Safety (Core Services Only) Agency shall establish mechanisms to implement National Patient Safety Goals (NPSG) modeled after the current Joint Commission accreditation for Ambulatory Care (www.jointcommission.org) to ensure patients' safety. The NPSG to be addressed include the following as applicable: "Improve the accuracy of patient identification Improve the safety of using medications Reduce the risk of healthcare-associated infections Accurately and completely reconcile medications across the continuum of care Universal Protocol for preventing Wrong Site, Wrong Procedure and Wrong Person Surgery" (www.jointcommission.org) | Review of Agency's Policies and Procedures Manual indicates compliance |
| 3.10 | <u>Client Records</u> Provider shall maintain all client records. | • Review of agency's policy and procedure for records administration indicates compliance |

| 4.0 | Accessibility | |
|-----|---|---|
| 4.1 | Cultural Competence Agency demonstrates a commitment to provision of services that are culturally sensitive and language competent for Limited English Proficient (LEP) individuals and people of all gender identities and sexual orientations | Agency has procedures for obtaining translation services Client satisfaction survey indicates compliance Policies and procedures demonstrate commitment to the community and culture of the clients Availability of interpretive services, bilingual staff, and staff trained in cultural competence Agency has vital documents including, but not limited to applications, consents, complaint forms, and notices of rights translated in client record Agency has facilities available for consumers of all gender identities, including gender-neutral restrooms. |
| 4.2 | <u>Client Education</u> Agency demonstrates capacity for client education and provision of information on community resources | Availability of the blue book and other educational materials Documentation of educational needs assessment and client education in clients' records |
| 4.3 | Special Service Needs Agency demonstrates a commitment to assisting individuals with special needs | Agency compliance with the Americans with Disabilities Act (ADA). Review of Policies and Procedures indicates compliance Environmental Review shows a facility that is handicapped accessible |
| 4.4 | Provision of Services for low-Income IndividualsAgency must ensure that facility is handicap accessible and is also accessible by public transportation (if in area served by METRO). Agency must have policies and procedures in place that ensures access to transportation services if facility is not accessible by public transportation. Agency should not have policies that dictate a dress code or conduct that may act as barrier to care for low income individuals. | Facility is accessible by public transportation Review of Agency's Policies and Procedures Manual indicates compliance |

| | | Source Citation: HAB Monitoring Standards; Part I: Universal Standards; Section A: Access to Care #4 |
|-----|---|--|
| 4.5 | Proof of HIV DiagnosisDocumentation of the client's HIV status is obtained at or prior to the initiation of services or registration services.An anonymous test result may be used to document HIV status temporarily (up to sixty [60] days). It must contain enough information to ensure the identity of the subject with a reasonable amount of certainty. | Documentation in client record as per RWGA site visit guidelines or TRG Policy SG-03 Source Citation: HAB Monitoring Standards; Part I: Universal Standards; Section A: Access to Care #3 |
| 4.6 | Provision of Services Regardless of Current or Past Health Condition Agency must have Policies and Procedures in place to ensure that clients living with HIV are not denied services due to current or pre-existing health condition or non- HIV related condition. A file must be maintained on all clients who are refused services and the reason for refusal. | Review of Policies and Procedures indicates compliance A file containing information on clients who have been refused services and the reasons for refusal Source Citation: HAB Program Standards; Section D: #1 |
| 4.7 | <u>Client Eligibility</u> In order to be eligible for services, individuals must meet the following: HIV+ Residence in the Houston EMA/ HSDA (With prior approval, clients can be served if they reside outside of the Houston EMA/HSDA.) Income no greater than 300% of the Federal Poverty level (unless otherwise indicated) Proof of identification Ineligibility for third party reimbursement | Documentation of HIV+ status, residence, identification and income in the client record Documentation of ineligibility for third party reimbursement Documentation of screening for Third Party Payers in accordance with RWGA site visit guidelines Source Citation: HAB Monitoring Standards; Part I: Universal Standards; Section B:Eligibility Determination/Screening #1 |
| 4.8 | Re-certification of Client Eligibility Agency conducts six (6) month re-certification of eligibility for all clients. At a minimum, agency confirms an individual's income, residency and re-screens, as | • Client record contains documentation of re-certification of client residence, |

| | appropriate, for third-party payers. Third party payers include State Children's Health Insurance Programs (SCHIP), Medicare (including Part D prescription drug benefit) and private insurance. At one of the two required re-certifications during a year, agency may accept client self-attestation for verifying that an individual's income, residency, and insurance status complies with the RWGA eligibility requirements. Appropriate documentation is required for changes in status and at least once a year (defined as a 12-month period) with renewed eligibility with the CPCDMS. Agency must ensure that Ryan White is the Payer of last resort and must have policies and procedures addressing strategies to enroll all eligible uninsured clients into Medicare, Medicaid, private health insurance and other programs. Agency policy must also address coordination of benefits, billing and collection. Clients eligible for Department of Veterans Affairs (VA) benefits are duly eligible for Ryan White services and therefore exempted from the payer of last resort requirement. Agency must verify 3rd party payment coverage for eligible services at every visit or monthly (whichever is less frequent) | income and rescreening for third party payers at least every six (6) months Review of Policies and Procedures indicates compliance Information in client's files that includes proof of screening for insurance coverage (i.e. hard/scanned copy of results) Source Citation: HAB Monitoring Standards; Part I: Universal Standards; Section B:Eligibility Determination/Screening #1 and #2 Source Citation: HIV/AIDS Bureau (HAB) Policy Clarification Notice #13- 02 |
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| 4.9 | Charges for Services Agency must institute Policies and Procedures for cost sharing including enrollment fees, premiums, deductibles, co-payments, co-insurance, sliding fee discount, etc. and an annual cap on these charges. Agency should not charge any of the above fees regardless of terminology to any Ryan White eligible patient whose gross income level (GIL)is ≤ 100% of the Federal Poverty Level (FPL) as documented in the CPCDMS for any services provided. Clients whose gross income is between 101-300% may be charged annual aggregate fees in accordance with the legislative mandate outlined below: • 101%-200% of FPL5% or less of GIL • 201%-300% of FPL7% or less of GIL • >300% of FPL10% or less of GIL • >300% of FPL | Review of Policies and Procedures indicates compliance Review of system for tracking patient charges and payments indicate compliance Review of charges and payments in client records indicate compliance with annual cap Sliding fee application forms on client record is consistent with Federal guidelines |

| 4.10 | Information on Program and Eligibility/Sliding Fee ScheduleAgency must provide broad-based dissemination of information regarding the availability of services. All clients accessing services must be provided with a clear description of their sliding fee charges in a simple understandable format at intake and annually at registration update. Agency should maintain a file documenting promotion activities including copies of HIV program materials and information on eligibility requirements. Agency must proactively inform/educate clients when changes occur in the program design or process, client eligibility rules, fee schedule, facility layout or access to program or agency. | Agency has a written substantiated annual plan to targeted populations Zip code data show provider is reaching clients throughout service area (as applicable to specific service category). Agency file containing informational materials about agency services and eligibility requirements including the following: Brochures Newsletters Posters Community bulletins any other types of promotional materials Signed receipt for client education/ information regarding eligibility and sliding fees on client record Source Citation: HAB Monitoring Standards; Part I: Universal Standards; Section A: Access to Care #5 |
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| 4.11 | <u>Linkage Into Core Services</u> Agency staff will provide out-of-care clients with individualized information and referral to connect them into ambulatory outpatient medical care and other core medical services. | Documentation of client referral is present in client record Review of agency's policies & procedures' manual indicates compliance |
| 4.12 | Wait ListsIt is the expectation that clients will not be put on a Wait List nor will services be postponed or denied. Agency must notify the Administrative agency when funds for service are either low or exhausted for appropriate measures to be taken to ensure adequate funding is available. Should a wait list become required, the agency must, at a minimum, develop a policy that addresses how they will handle situations where service(s) cannot be immediately provided and a process by which client information will be obtained and maintained to ensure that all clients | Review of Agency's Policies and Procedures Manual indicates compliance Documentation that agency notified their Administrative Agency when funds for services were either low or exhausted |

| 4.13 | that requested service(s) are contacted after service provision resumes. A wait list is defined as a roster developed and maintained by providers of patients awaiting a particular service when a demand for a service exceeds available appointments used on a first come next serviced method. The Agency will notify RWGA of the following information when a wait list must be created: An explanation for the cessation of service; and A plan for resumption of service. The Agency's plan must address: Action steps to be taken Agency to resolve the service shortfall; and Projected date that services will resume. The Agency will report to RWGA in writing on a monthly basis while a client wait list is required with the following information: | Documentation in client record Review of Agency's Policies and Procedures Manual indicates compliance |
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| 5.0 | Quality Management | |
| 5.1 | Continuous Quality Improvement (CQI) Agency demonstrates capacity for an organized CQI program and has a CQI Committee in place to review procedures and to initiate Performance Improvement activities. The Agency shall maintain an up-to-date Quality Management (QM) Manual. The QM Manual will contain at a minimum: • The Agency's QM Plan | Review of Agency's Policies and Procedures Manual indicates compliance Up to date QM Manual Source Citation: HAB Universal Standards; Section F: #2 |

| ient level data including l into service delivery. d reviews as part of quality | Review of Agency's Policies and Procedures Manual indicates compliance Up to date QM Manual Supervisors log on record reviews |
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| 5.2 | Data collection methods and analysis Work products QM program evaluation Materials necessary for QM activities Data Collection and Analysis Agency demonstrates capacity to collect and analyze client level data including client satisfaction surveys and findings are incorporated into service delivery. Supervisors shall conduct and document ongoing record reviews as part of quality improvement activity. | Review of Agency's Policies and Procedures Manual indicates compliance Up to date QM Manual Supervisors log on record reviews signed and dated Source Citation: HAB Monitoring |
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| | | Standards; Part I: Universal Standards; Section A: Access to Care #2 |
| 6.0 | Point Of Entry Agreements | |
| 6.1 | Points of Entry (Core Services Only) Agency accepts referrals from sources considered to be points of entry into the continuum of care, in accordance with HIV Services policy approved by HRSA for the Houston EMA. | Review of Agency's Policies and Procedures Manual indicates compliance Documentation of formal agreements |
| | | with appropriate Points of EntryDocumentation of referrals and their follow-up |
| 7.0 | Emergency Management | |
| 7.1 | Emergency Preparedness Agency leadership including medical staff must develop an Emergency Preparedness Plan modeled after the Joint Commission's regulations and/or Centers for Medicare and Medicaid guidelines for Emergency Management. The plan should, at a minimum utilize "all hazard approach" (hurricanes, floods, earthquakes, tornadoes, wide-spread fires, infectious disease outbreak and other public health threats, terrorist attacks, civil disturbances and collapse of buildings and bridges) to ensure a level of preparedness sufficient to support a range of | Emergency Preparedness Plan Review of Agency's Policies and Procedures Manual indicates compliance |

• Meeting agendas and/or notes (if applicable)

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Project specific CQI Plans Root Cause Analysis & Improvement Plans Data collection methods and analysis

| | emergencies. Agencies shall conduct an annual Hazard Vulnerability Analysis (HVA) to identify potential hazards, threats, and adverse events and assess their impact on care, treatment, and services they must sustain during an emergency. The agency shall communicate hazards identified with its community emergency response agencies and together shall identify the capability of its community in meeting their needs. The HVA shall be reviewed annually. | |
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| 7.2 | Emergency Management Training In accordance with the Department of Human Services recommendations, all applicable agency staff (such as, executive level, direct client services, supervisory staff) must complete the following National Incident Management System (NIMS) courses developed by the Department of Homeland Security: IS -100.HC – Introduction to the Incident command system for healthcare/hospitals IS-200.HC- Applying ICS to Healthcare organization | Agency criteria used to determine appropriate staff for training requirement Documentation of all training including certificate of completion in personnel file |
| 7.3 | Emergency Preparedness Plan The emergency preparedness plan shall address the six critical areas for emergency management including • Communication pathways (for both clients and staff) • Essential resources and assets • patients' safety and security • staff responsibilities • Supply of key utilities such as portable water and electricity • Patient clinical and support activities during emergency situations. (www.jointcommission.org) | Emergency Preparedness Plan |
| 7.4 | Emergency Management Drills Agency shall implement emergency management drills twice a year either in response to actual emergency or in a planned exercise. Completed exercise should be evaluated by a multidisciplinary team including administration, clinical and | Emergency Management Plan Review of Agency's Policies and Procedures Manual indicates compliance |

| | support staff. The emergency plan should be modified based on the evaluation results and retested. | |
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| 8.0 | Building Safety | |
| 8.1 | Required Permits All agencies will maintain Occupancy and Fire Marshal's permits for the facilities. | Current required permits on file |

Emergency Financial Assistance Program (OTHER)

Emergency Financial Assistance (EFA) is to provide one-time or short-term payments to assist the RWHAP client with an emergent need for paying for essential utilities, food (including groceries, and food vouchers), and transportation. Emergency financial assistance can occur as a direct payment to an agency or through a voucher program.

| 1.0 | Services are offered in such a way as to overcome barriers to access and utilization. Service is easily accessible to persons with HIV. | |
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| 1.1 | <u>EFA funds may be used on the following essential items or services:</u> Utilities (may include household utilities including gas, electricity, propane, water, and all required fees). Telephone Food (groceries or food vouchers) Other RWHAP allowable costs needed to improve health outcomes. | Review of agency's Policies & Procedures Manual indicates compliance Review of billing history indicates compliance Documentation in the client chart |
| 1.2 | Client Eligibility Applicants must demonstrate an urgent need resulting in their inability to pay their applicable bills without financial assistance for essential items or services necessary to improve health outcomes. Demonstrated need is made by the following: A significant increase in bills A recent decrease in income High unexpected expenses on essential items The cost of their shelter is more than 30% of the household income The cost of their utility consumption is more than 10% of the household income They are unable to obtain credit necessary to provide for basic needs and shelter A failure to provide emergency financial assistance will result in danger to the physical health of client or dependent children | Documentation of client assessment Copy of invoice/bill paid. Copy of check for payment |

| | • Other emergency needs as deemed appropriate by the agency | |
|-----|---|---|
| | The invoice/bill which is to be paid with emergency financial assistance funds must be in the client's name. An exception may be made only in instances where it is documented that, although the service (e.g. utility) is in another person's name, it directly benefits the client. | |
| 1.3 | <u>Client Confidentiality</u> Payment for assistance made to service providers will protect client confidentiality through use of checks and envelopes that de-identify agency as an HIV/AIDS provider to protect client confidentiality. | Agency financial records indicate compliance Documentation in the client chart |
| 1.4 | <u>Assessment</u> An assessment must demonstrate an urgent need resulting in their inability to pay their applicable bills without financial assistance for essential items or services necessary to improve health outcomes. Client will be assessed for ongoing status and outcome of the emergency assistance. Referrals for services, as applicable, will be documented in the client file. Emergent need must be documented each time funds are used. | • Documentation in the client chart |
| 1.5 | <u>Documentation</u> Plans are developed jointly with the client and must include an approach to mitigate the need in the future. Client's chart contains documented plan for EFA that indicates emergent need, other resources pursued, and outcome of EFA provided. | • Documentation in the client chart |
| 1.6 | <u>Timeliness of Service Provision</u> All completed requests for assistance shall be approved or denied within three (3) business days following the completed request. | Documentation in the client chart |
| 2.0 | Agency requirements | |

| 2.1 | <u>Budget Requirements or Restrictions</u> Direct cash payments to clients are not permitted. RWHAP funds will be the payer of last resort, and for limited amounts, uses, and periods of time. Continuous provision of an allowable service to a client must not be funded through EFA. At least 75% of the total amount of the budget must be solely allocated to the actual cost of disbursements. The agency must set priorities, delineate and monitor what part of the overall allocation for emergency assistance is obligated for each subcategory. Careful monitoring of expenditures within a subcategory of "emergency assistance" is necessary to assure that planned amounts for specific services are being implemented, and to determine when reallocations may be necessary. | Documentation includes copies of checks paid and vouchers purchased Review of agency's Policies & Procedures Manual indicates compliance Documentation that at least 75% of the total amount of the budget must be solely allocated to the actual cost of disbursements. |
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| 2.2 | Agency providing emergency financial assistance shall have procedures in place to ensure that funds are distributed fairly and consistently. | Agency written procedure |
| 2.3 | Agency must be dually awarded as HOWPA sub-recipient and work closely with other service providers to minimize duplication of services and ensure that assistance is given only when no reasonable alternatives are available. Agency must document procedures. | Agency written procedure |

Transportation Services

The 2006 Care Act classifies Medical Transportation as a support service that provides conveyance services "directly or through voucher to a client so that he or she may access health care services". The Ryan White Part A transportation services include transportation to public and private outpatient medical care and physician services, substance abuse and mental health services, pharmacies and other services where eligible clients receive Ryan White-defined Core Services and/or medical and health-related care services, including clinical trials, essential to their well-being. All drivers utilized by the program must have a valid Texas Driver's license and must complete a "Safe Driving" course. The contractor must ensure that each vehicle has automobile liability insurance as required by the State and all vehicles have current Texas State Inspection.

| 1.0 | Transportation services are offered to eligible clients to ensure individuals r | nost in need have access to services. |
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| 1.1 | <u>Client Eligibility</u> In order to be eligible for services, individuals must meet the following: HIV+ Residence in the Houston EMA/HSDA Part A Urban Transportation limited to Harris County Part A Rural/Part B Transportation are limited to Houston EMA/HSDA, as applicable Income no greater than 300% of the Federal Poverty level Proof of identification Documentation of ineligibility for Third Party Reimbursement | • Documentation of HIV+ status, identification, residence and income in the client record |
| 1.2 | <u>Voucher Guidelines (Distribution Sites)</u> Bus Card Voucher (Renewal): Eligible clients who reside in the Metro service area will be issued a Metro bus card voucher by the client's record-owning agency for an annual bus card upon new registration and annually thereafter, within 15 days of bus pass expiration Bus Card Voucher (Value-Based): Otherwise eligible clients who are not eligible for a renewal bus card voucher may be issued a value-based bus card voucher per RWGA business rules ▶ In order for an existing bus card client to renew their bus card (i.e. obtain another bus card voucher for all voucher types) there must be documentation that the client is engaged in ongoing primary medical care for treatment of HIV, or ▶ Documentation that the bus voucher is needed to ensure an out-of-care client is re-engaged in primary medical care | Client record indicates guidelines were followed; if not, an explanation is documented Documentation of the type of voucher(s) issued Emergency necessitating taxi voucher is documented Ongoing current (within the last 180 days) medical care is documented in the CPCDMS OR A current (within the last 180 days) copy of client's Viral Load and/or CD4 lab work (preferred) or proof client is on ART (HIV medications) for clients in medical care |

| | Gas Card: Eligible clients in the rural area will receive gas cards from their Ryan White Part A/B rural case management provider or their rural primary care provider, if the client is not case managed, per RWGA business rules Taxi Voucher: for emergencies, to access emergency shelter vouchers and to attend Social Security disability hearings only | with Ryan White or non-Ryan White funded providers in client record OR Engagement/re-engagement in medical care is documented in client's case management assessment and service plan. |
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| 1.3 | <u>Eligibility for Van-Based Transportation (Urban Transportation Only)</u> Written certification from the client's principal medical provider (e.g. medical care coordinator) is required to access van-based transportation and must be renewed every 180 days. All clients may receive a maximum of 4 non-certified round trips per year (includes taxi vouchers). | Client record indicates compliance |
| 2.0 | ACCESSIBILITY | |
| | Transportation services are offered in such a way as to overcome barriers to | |
| 2.1 | Notification of Service Availability Prospective and current clients are informed of service availability, prioritization and eligibility requirements. | Program information is clearly publicized Availability of services, prioritization policy and eligibility requirements are defined in the information publicized |
| 2.2 | AccessClients must be able to initiate and coordinate their own services with the transportation providers in accordance with transportation system guidelines. This does not mean an advocate (e.g. social worker) for the client cannot assist the client in accessing transportation services.Agency must obtain a signed statement from clients regarding agreement on proper conduct of client in the vehicle.This statement should include the consequences of violating the agreement. | Agency's policies and procedures for transportation services describe how the client can access the service Review of agency's complaint and grievances log Signed agreement in client's records |
| 2.3 | Handicap Accessibility Transportation services are handicap accessible. Agency/Driver may refuse service to client with open sores/wounds or real exposure risk. | Agency compliance with the Americans with Disabilities Act (ADA) Agency documentation of reason for refusal of service Documentation of training in personnel records |

| | Agency must have a policy in place regarding training for drivers on the proper boarding/unloading assistance of passengers with wheelchairs and other durable health devices. | |
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| 2.4 | EMA Accessibility Services are available throughout the Houston EMA as contractually defined in the RFP. | Review of agency's Transportation Log and Monthly Activity Reports for compliance |
| 2.5 | Service Availability The Contractor must ensure that general transportation service hours are from 7:00 AM to 10:00 PM on weekdays (non-holidays), and coverage must be available for medical and health-related appointments on Saturdays. | Review of Transportation Logs Transportation services shall be available on Saturdays, by pre-scheduled appointment for core services Review of agency policy and procedure |
| 2.6 | Service CapacityAgency will notify RWGA and other Ryan White providers when transportation resources are close to being maximized*. Agency will maintain documentation of clients who were refused services.* Maximized means the agency will not be able to provide service to client within the next 72 hours. | RWGA will be contacted by phone/fax no later than twenty-four (24) working hours after services are maximized Agency will document all clients who were denied transportation or a voucher |
| 3.0 | Timeliness and Delays: Transportation services are provided in a timely ma | nner |
| 3.1 | <u>Timeliness</u> There is minimal waiting time for vehicles and vans; appointments are kept • Waiting times longer than 2 hours will also be documented in the client record • If a cumulative incident of clients kept waiting for more than 2 hours reaches 75 clients in the contract year, this must be reported in writing within one business day to the administrative agent • Review of agency's complaint and grievance logs Client interviews and client satisfaction survey | Waiting times longer than 60 minutes will be documented in Delay Incident Log. Review of Delay incident log Review of client's record |
| 3.2 | Immediate Service Problems Clients are made aware of problems immediately (e.g. vehicle breakdown) and notification documented. | Review of Delay Incident Log, Transportation Refusal Log and client record indicates compliance Review of agency's complaint and grievance logs |

| | | Client interviews and client satisfaction survey |
|-----|--|---|
| 3.3 | <u>Future Service Delays</u> Clients and Ryan White providers are notified of future service delays, changes in appointment or schedules as they occur. | Review of Delay Incident Log, Transportation Refusal Log and client record indicates compliance Review of agency's complaint and grievance logs Client interviews and client satisfaction survey Documentation exists in the client record |
| 3.4 | Confirmation of Appointments Agency must allow clients to confirm appointments at least 48 hours in advance. | Review of agency's transportation policies and procedures indicates compliance Review of agency's complaint and grievance logs Client interviews and client satisfaction survey. |
| 3.5 | <u>"No Shows"</u> "No Shows" are documented in Transportation Log and client record. Passengers who do not cancel scheduled rides for two (2) consecutive times or who "no show" for two (2) consecutive times or three times within the contract year <i>may be</i> removed from the van/vehicle roster for 30 days. If client is removed from the roster, he or she must be referred to other transportation services. One additional no show and the client can be suspended from service for one (1) year. | Review of agency's transportation policies and procedures indicates compliance Documentation on Transportation Log Documentation in client record |
| 3.6 | System AbuseIf an agency has verified that a client has falsified the existence of an appointment in order to access transportation, the client can be removed from the agency roster.If a client cancels van/vehicle transportation appointments in excess of three (3) times per month, the client may be removed from the van/vehicle roster for 30 days. Agency must have published rules regarding the consequences to the client in situations of system abuse. | Documentation in the client record of verification that an appointment did not exist Documentation in the client record of client cancellation of van/vehicle appointments Availability of agency's published rules Written documentation in the client record of specific instances of system abuse |

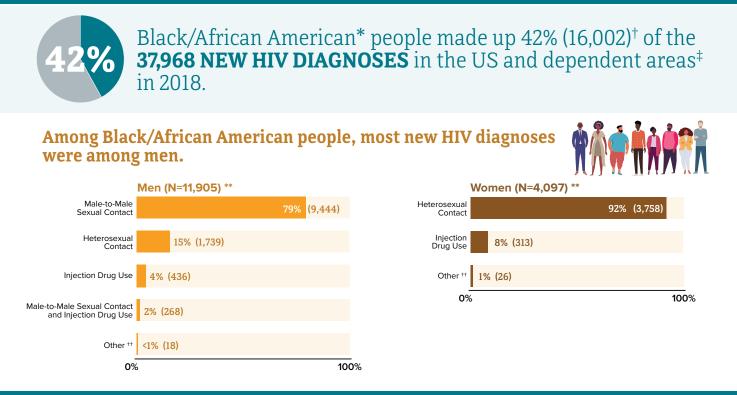
| 3.7 | <u>Documentation of Service Utilization</u> Transportation Provider must ensure: Follow-up verification between transportation provider and destination service program confirming use of eligible service(s) or Client provides proof of service documenting use of eligible services at destination agency on the date of transportation or Scheduling of transportation services by receiving agency's case manager or transportation coordinator In order to mitigate Agency exposure to clients who may fail to follow through with obtaining the required proof of service, Agency is allowed to provide one (1) one-way trip per client per year without proof of service documentation. | Documentation of confirmation from destination agency in agency/client record Client's original receipt from destination agency in agency/client record Documentation in Case Manager's progress notes Documentation in agency/client record of the one (1) allowable one-way trip per year without proof of service documentation |
|-----|--|---|
| | The content of the proof of service will include: • Agency's letter head • Date/Time • CPCDMS client code • Name and signature of Agency's staff who attended to client • Agency's stamp Safety/Vehicle Maintenance: Transportation services are safe | |
| 4.1 | Safety/venice Maintenance: Transportation services are safe Vehicle Maintenance and Insurance Vehicles are in good repair and equipped for adverse weather conditions. All vehicles will be equipped with both a fire extinguisher and first aid and CPR kits. A file will be maintained on each vehicle and shall include but not be limited to: description of vehicle including year, make, model, mileage, as well as general condition and integrity and service records. Inspections of vehicle should be routine and documented not less than quarterly. Seat belts/restraint systems must be operational. When in place, child car seats must be operational and installed according to specifications. All lights and turn signals must be in good condition and air conditioning/heating system must be fully operational. | Inspection of First Aid/CPR kits indicates compliance Review of vehicle file Current vehicle State Inspection sticker. Fire extinguisher inspection date must be current Proof of current automobile liability and personal injury insurance in the amount of at least \$300,000.00 |

| | Driver must have radio or cell phone capability. | | | | | | | |
|-----|---|--|--|--|--|--|--|--|
| 4.2 | Emergency ProceduresTransportation emergency procedures are in place (e.g. breakdown of agency vehicle). Written procedures are developed and implemented to handle emergencies. Each driver will be instructed in how to handle emergencies before commencing service and will be in-serviced annually. | • A copy of each in-service and sign-in roster with names both printed and signed and maintained in the driver's personnel file | | | | | | |
| 4.3 | Transportation of ChildrenChildren must be transported safely. When transporting children, the agency will adhere to the Texas Transportation code 545.412 child Passenger Safety Seat Systems. Information regarding this code can be obtained at http://www.statutes.legis.state.tx.us/docs/tn/htm/tn.545.htm. Necessity of a car seat should be documented on the Transportation Log by staff when appointment is scheduled. Children 15 years old or younger must be accompanied by an adult caregiver in order to be transported. | Review of Transportation Log indicates compliance Review of client records indicates compliance Review of agency policies and procedures | | | | | | |
| 4.4 | Staff RequirementsPicture identification of each driver must be posted in the vehicle utilized to transport clients.Criminal background checks must be performed on all direct service transportation personnel prior to transporting clientsDrivers must have annual proof of a safe driving record, including history of tickets, DWI/DUI, or other traffic violationsConviction on more than three (3) moving violations within the past year will disqualify the driverConviction of one (1) DWI/DUI within the past three (3) years will disqualify the driver. | Documentation in vehicle Documentation in personnel file | | | | | | |
| 5.0 | Records Administration: Transportation services are documented consistently and appropriately | | | | | | | |
| 5.1 | Transportation ConsentPrior to receiving transportation services, clients must read and sign the Transportation Consent. | Review of client records indicates compliance | | | | | | |
| 5.2 | Van/Vehicle Transportation Agency must document daily transportation services on the Transportation Log. | Review of agency files indicates compliance | | | | | | |

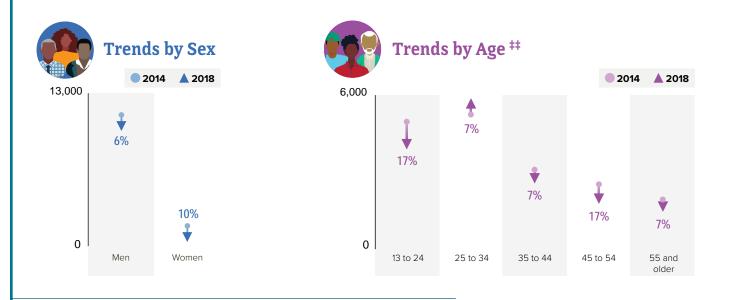
| | | • Log must contain driver's name, client's name or identification number, date, destinations, time of arrival, and type of appointment. |
|-----|--|---|
| 5.3 | Mileage DocumentationAgency must document the mileage between Trip Origin and Trip Destination(e.g. where client is transported to access eligible service) per a standardInternet-based mapping program (e.g. Yahoo Maps, Map Quest, Google Maps)for all clients receiving Van-based transportation services. | • Map is printed out and filed in client chart |

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HIV and African American People



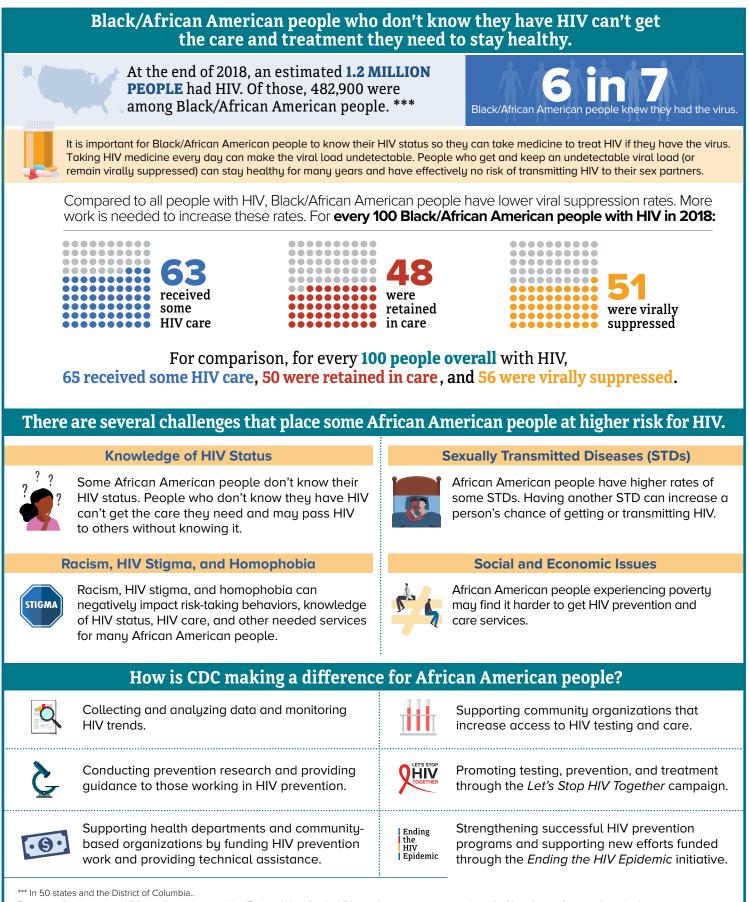
Good progress has been made with reducing HIV diagnoses among most age groups, with HIV diagnoses decreasing 7% among Black/African American people overall from 2014 to 2018.



- * Black refers to people having origins in any of the Black racial groups of Africa. African American is a term often used for people of African descent with ancestry in North America. This fact sheet uses African American, unless referencing surveillance data.
- ⁺ Adult and adolescent Black/African American people aged 13 and older.
- [‡] American Samoa, Guam, the Northern Mariana Islands, Puerto Rico, the Republic of Palau, and the US Virgin Islands.
- ** Based on sex assigned at birth and includes transgender people.
- ⁺⁺ Includes perinatal exposure, blood transfusion, hemophilia, and risk factors not reported or not identified.
- Does not include *perinatal* and *other* transmission categories.



Centers for Disease Control and Prevention National Center for HIV/AIDS, Viral Hepatitis, STD, and TB Prevention



For more information about HIV surveillance data, read the "Technical Notes" in the HIV surveillance reports at www.cdc.gov/hiv/library/reports/hiv-surveillance.html.

For more information visit www.cdc.gov/hiv



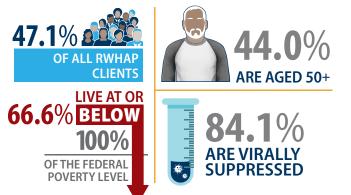
HRSA's Ryan White HIV/AIDS Program Black/African American Clients: Ryan White HIV/AIDS Program, 2018

Population Fact Sheet | January 2020

The Health Resources and Services Administration's Ryan White HIV/AIDS Program (RWHAP) provides a comprehensive system of HIV primary medical care, medications, and essential support services for low-income people with HIV. More than half the people with diagnosed HIV in the United States—approximately 519,000 people in 2018—receive services through RWHAP each year. The RWHAP funds grants to states, cities/counties, and local community-based organizations to provide care and treatment services to people with HIV to improve health outcomes and reduce HIV transmission among hard-to-reach populations.



Ryan White HIV/AIDS Program Fast Facts: Black/African American Clients



Of the more than half a million clients served by RWHAP, 73.7 percent are from racial/ethnic minority populations, with 47.1 percent of all RWHAP clients identifying as black/African American.

More details about this RWHAP client population are outlined below:

- The majority of black/African American clients served by RWHAP are low income. Data show that 66.6 percent of black/African American clients are living at or below 100 percent of the federal poverty level, which is higher than the national RWHAP average (61.3 percent).
- The majority of black/African American clients served by RWHAP are male. Data show that 62.9 percent of clients are male, 35.0 percent of clients are female, and 2.2 percent of clients are transgender. The proportion of black/African American males is lower than the national RWHAP average (72.0 percent), whereas the proportion of black/African American females is higher than the national RWHAP average (26.1 percent).

- One in seven black/African American clients served by RWHAP has temporary or unstable housing. Among black/African American clients served by RWHAP, 8.3 percent have temporary housing, and 5.9 percent have unstable housing.
- The black/African American RWHAP client population is aging. Black/African American clients aged 50 years and older account for 44.0 percent of all black/African American RWHAP clients.
- Among black/African American male RWHAP clients, 56.3 percent are men who have sex with men (MSM). Among all males served by RWHAP, MSM account for 65.7 percent.

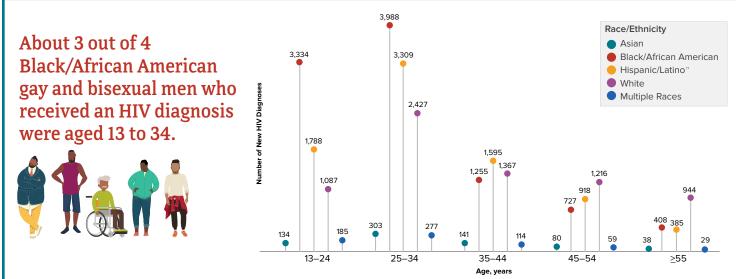
Medical care and treatment improve health outcomes and decrease the risk of HIV transmission. People with HIV who take HIV medication daily as prescribed and reach and maintain an undetectable viral load have effectively no risk of sexually transmitting the virus to an HIV-negative partner. In 2018, approximately 84.1 percent of black/ African American clients receiving RWHAP HIV medical care are virally suppressed,^{*} which is lower than the national RWHAP average (87.1 percent).

- 83.3 percent of black/African American men receiving RWHAP HIV medical care are virally suppressed.
- 85.7 percent of black/African American women receiving RWHAP HIV medical care are virally suppressed.

^{*} Viral suppression is defined as a viral load result of less than 200 copies/mL at the most recent test, among people with HIV who had at least one outpatient ambulatory health services visit and one viral load test during the measurement year.

HIV and African American Gay and Bisexual Men

Of the **37,968 NEW HIV DIAGNOSES** in the US and dependent areas* in 2018, 26% were among Black/African American⁺ gay and bisexual men. [‡] **



Subpopulations representing 2% or less of HIV diagnoses among gay and bisexual men are not reflected in this chart.

From 2014 to 2018, HIV diagnoses remained stable among Black/African American gay and bisexual men. ** But trends varied by age.



* American Samoa, Guam, the Northern Mariana Islands, Puerto Rico, the Republic of Palau, and the US Virgin Islands.

[†] Black refers to people having origins in any of the Black racial groups of Africa. African American is a term often used for Americans of African descent with ancestry in North America. This fact sheet uses African American, unless referencing surveillance data.

⁴ This fact sheet uses the term *gay and bisexual men* to represent gay, bisexual, and other men who reported male-to-male sexual contact aged 13 and older.

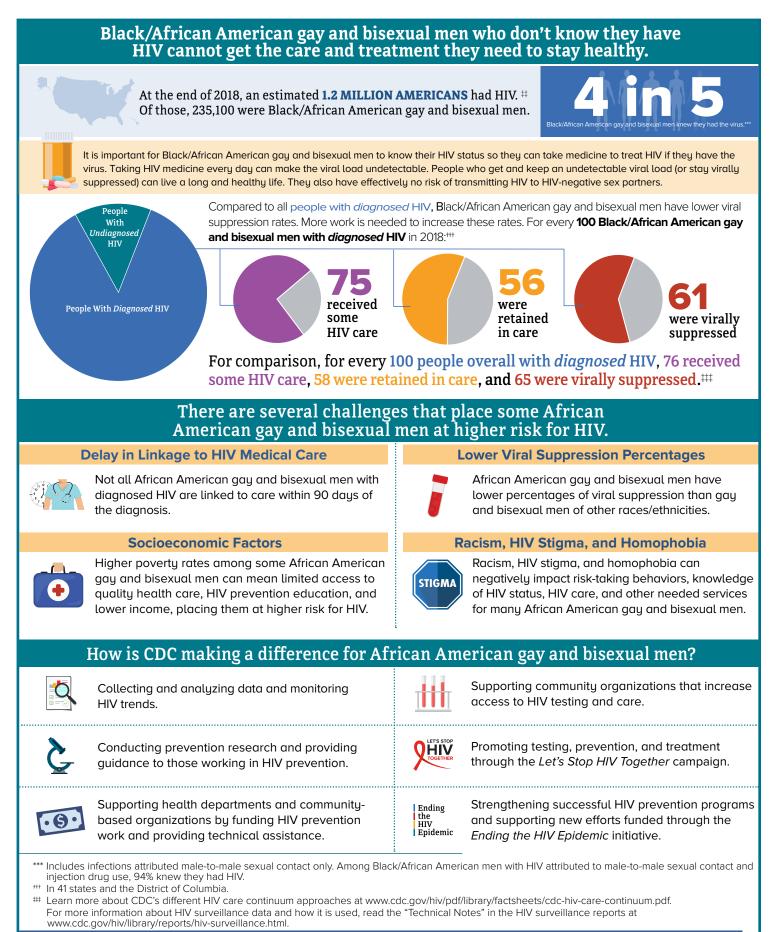
** Includes infections attributed to male-to-male sexual contact and injection drug use.

⁺⁺ Hispanics /Latinos can be of any race.

In 50 states and the District of Columbia.

National Center for HIV/AIDS, Viral Hepatitis, STD, and TB Prevention Division of HIV/AIDS Prevention





For more information visit www.cdc.gov/hiv

2020 Houston HIV Care Services Needs Assessment: Profile of African American Men Who Have Sex with Men (MSM)

PROFILE OF AFRICAN AMERICAN MSM

A recent analysis of national HIV diagnosis rates revealed that the largest percentage of new HIV diagnoses in the south was among Black/African American men who have sex with men (MSM). (Center for Disease Control & Prevention, 2020). Though no single cause has been identified, intersections of high prevalence, decreased status awareness, stigma, racism and discrimination, and likelv homophobia contribute to increased transmission vulnerability among African American MSM (Center for Disease Control & Prevention, 2020). A persistent challenge to designing HIV prevention and care services that meet the needs of Houston area people living with HIV (PLWH) is ensuring that services remain relevant and responsive to the needs of both the general population and groups with increased vulnerability to new transmissions and unmet need. Data about service needs and barriers African American MSM PLWH in the Houston area encounter is of particular importance to local HIV planning, as this information equips communities to design prevention and care services that meet the unique needs of disproportionately affected groups.

Proactive efforts were made to gather a representative sample of all PLWH in the 2020 Houston HIV Care

Services Needs Assessment, as well as focus targeted sampling among key populations (See: *Methodology*, full document), and results presented throughout the full document include African American MSM participants. This Profile highlights results *only* for participants who were African American MSM, as well as comparisons to the entire needs assessment sample.

Notes: This analysis defines African American MSM as PLWH who indicated they were cis-gender men with a primary race/ethnicity of black/African American, and self-identified as gay, bisexual, or pansexual. Results for participants who are transgender or gender nonconforming were reported in a separate profile available on the Houston Ryan White Planning Council website.

Data presented in the Demographics and Socio-Economic Characteristics section of this Profile represent the *actual* survey sample, rather than the *weighted* sample presented throughout the remainder of the Profile (See: *Methodology*, full document). Proportions are not calculated with a denominator of the total number of surveys for every variable due to missing or "check-all" responses.

DEMOGRAPHICS AND SOCIO-ECONOMIC CHARACTERISTICS

(**Table 1**) In total, 103 participants in the 2020 Houston HIV Care Services Needs Assessment were African American MSM, comprising 17% of the entire sample.

Ninety-seven percent (97%) of African American MSM participants were residing in Houston/Harris County at the time of data collection. Compared to the total sample of the needs assessment participants, the majority of the respondents were between the ages of 35 to 64 (77%) and were born in the U.S. (98%). A third of African American MSM participants identified as gay (67%) or bisexual/pansexual (28%). Sixty percent (60%) of African American MSM participants had annual incomes below 100% of the Federal Poverty Level, and the average annual income for African American MSM participants was \$15,127. Among African American MSM participants, thirty-nine (39%) had public health insurance coverage through Medicaid or Medicare, twenty-two (22%) had

insurance coverage through Harris Health and twentyone (21%) had Ryan White only.

Compared to all needs assessment participants, higher proportions of African American MSM participants were ages 55-64 (35% vs. 28%), identified as gay (67% vs. 30%) or bisexual/pansexual (27% vs 9%). The average income among African American MSM participants who reported income was larger than that of the total sample (\$15,127 vs. \$11,360). Lastly, a higher proportion of African American MSM participants did not have health insurance (5%) when compared to all needs assessment participants (2%).

Characteristics of African American MSM participants (as compared to all participants in general) can be summarized as follows:

- Residing in Houston/Harris County
- Adults between the ages of 35 and 64
- · Self-identified as gay or bisexual
- Higher average annual income
- Higher proportion of having no health insurance

| | No. | AA MSM % | Total % | | No. | AA MSM % | Total % | | No. | AA MSM % | Total % |
|-------------------------------------|---|-----------------------------------|------------|---------------------------|-----|--------------------------------------|------------|------------------------------------|-----|----------------|------------|
| County of residence | | | | Age range (median: 50-54) | | | | Sexual orientation (self-reported) | | | |
| Harris | 84 | 97% | 95% | 13-17 | 0 | - | - | Heterosexual | 1 | 1% | 57% |
| Fort Bend | 2 | 2% | 2% | 18-24 | 5 | 6% | 3% | Gay | 60 | 67% | 30% |
| Other | 1 | 1% | 2% | 25-34 | 9 | 10% | 9% | Bisexual / Pansexual | 25 | 28% | 98 |
| | | | | 35-49 | 26 | 30% | 28% | Undecided | 4 | 4% | 4% |
| | | | | 50-54 | 11 | 13% | 18% | | | | |
| | | | | 55-64 | 31 | 35% | 28% | | | | |
| | | | | ≥65 | 6 | 7% | 15% | | | | |
| | | | | Seniors (≥50) | 48 | 26% | 60% | | | | |
| Immigration status | | Yearly income (average: \$15,127) | | | | Health insurance (multiple response) | | | | | |
| Born in the U.S. | Born in the U.S. 88 98% 88% Federal Poverty Level (FPL) | | | | | Private insurance | 10 | 9% | 9% | | |
| Citizen > 5 years | 0 | - | 10% | Below 100% | 26 | 60% | 67% | Medicaid/Medicare | 44 | 39% | 67% |
| Citizen < 5 years | 1 | 1% | 1% | 100% | 9 | 21% | 19% | Harris Health System | 25 | 22% | 29% |
| Visa (student, work, tourist, etc.) | 0 | - | 0.2% | 150% | 3 | 7% | 6% | Ryan White Only | 24 | 21% | 24% |
| Prefer not to answer | 1 | 1% | 0.7% | 200% | 2 | 5% | 5% | VA | 3 | 3% | 3% |
| | | | | 250% | 0 | - | - | None | 6 | 5% | 2% |
| | | | | ≥300% | 3 | 7% | 2% | | | | |

BARRIERS TO RETENTION IN CARE

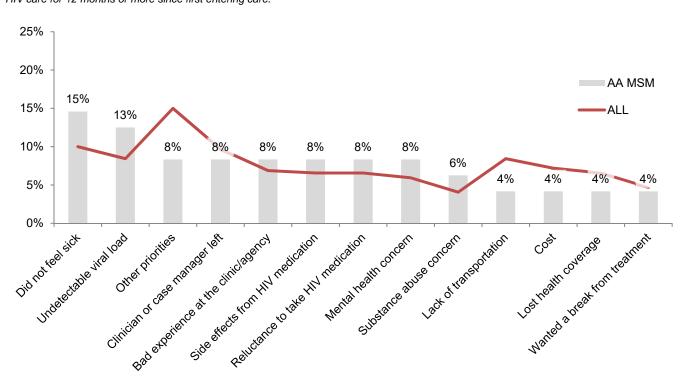
As in the methodology for all needs assessment participants, results presented in the remaining sections of this Profile were statistically weighted using current HIV prevalence for the Houston EMA (2018) in order to produce proportional results (See: *Methodology*, full document).

While 67% of all needs assessment participants reported no interruptions in their HIV care for 12 months or more since their diagnosis, 31% of African American MSM participants reported no interruption in care. Those who reported a break in HIV care for 12 months or more since first entering care were asked to identify the reasons for falling out of care. Thirteen commonly reported reasons were included as options in the consumer survey, and participants could select multiple reasons and write in their reasons.

(**Graph 1**) Among African American MSM participants, not feeling sick was the most cited reason

for interruption in HIV medical care (15%), followed by having an undetectable viral load (13%). Additional reasons for falling out of HIV medical care reported by African American MSM respondents were the following: other priorities, clinician or case manager left, bad experience at the clinic/agency, side effects from HIV medication, reluctance to take HIV medication, and mental health concerns (all 8%). Compared to the total sample, a higher proportion of African American MSM participants reported not feeling sick (15% vs. 10%), and an undetectable viral load (13% vs 8%) as the reasons for the lapse in care. Lower proportions reported having other priorities (8% vs 15%), lack of transportation (4% vs 8%), and cost (4% vs 7%) as reasons for the lapse in care. Write in responses for this question reported they did not want family to know they were taking medication for HIV, were incarcerated, or did not know where to get services resulting in their lapse in HIV medical care.

GRAPH 1-Reasons for Falling Out of HIV Care among African American MSM PLWH in the Houston Area, 2020 Definition: Percent of times each item was reported by African American MSM needs assessment participants as the reason they stopped their HIV care for 12 months or more since first entering care.



OVERALL RANKING OF FUNDED SERVICES, BY NEED

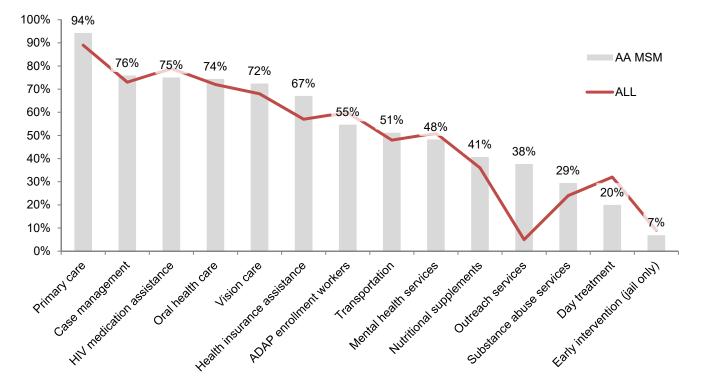
In 2020, 16 HIV core medical and support services were funded through the Houston Area Ryan White HIV/AIDS Program, and housing services were provided through the local HOPWA program. Participants of the 2020 Houston HIV Care

Services Needs Assessment were asked to indicate which of these funded services they needed in the past 12 months.

(**Graph 2**) Among African American MSM participants, primary care was the most needed funded service at 94% of African American MSM participants

reporting need, followed by case management (76%), HIV medication assistance (75%), oral health care (74%), vision care (72%), and health insurance assistance (67%). Compared to the total sample, higher proportion of African American MSM participants reported needing outreach services (38% vs 5%), health insurance assistance (67% vs 57%), primary care (94% vs 89%), nutritional supplements (41% vs 36), and substance abuse services (29% vs 24%). Lower proportions reported needing day treatment (20% vs 32%), ADAP enrollment workers (55% vs 60%), and HIV medication assistance (75% vs. 79%).





OTHER IDENTIFIED NEEDS

In 2020, 10 other/non-Ryan White funded HIVrelated services were assessed to determine emerging needs for PLWH in the Houston area. Participants of the 2020 Houston HIV Care Services Needs Assessment were asked to indicate which of these other/non-Ryan White funded HIV-related services they needed in the past 12 months.

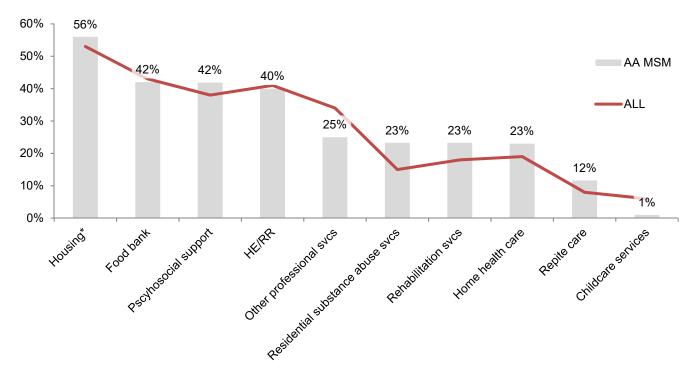
(**Graph 3**) Among the 10 other/non-Ryan White funded HIV-related services, 56% of African American MSM reported housing as the most needed. Additionally, African American MSM participants reported a need for the following other/non-Ryan White funded HIV-related services: food bank (42%), psychosocial support (42%), and health education and risk reduction (HE/RR) (40%).

Compared to the total sample, higher proportions of African American MSM reported a need for residential substance abuse services (23% vs 15%), rehabilitation services (23% vs 18%), home health care (23% vs 19%), psychosocial support (42% vs 38%), and respite care (12% vs 8%). Lower proportions of participants reported needing the following other/non-Ryan White funded HIV-related services: professional services (25% vs 34%), and childcare services (1% vs 6%).

GRAPH 3-Other Needs for HIV Services among African American MSM PLWH in the Houston Area, 2020

Definition: Percent of African American MSM needs assessment participants, who selected each service in response to the survey question, "What other kinds of services do you need to help you get your HIV medical care?"

*These services are not currently funded by the Ryan White program; however, they are available through the Housing Opportunities for People with AIDS (HOPWA) program.



OVERALL BARRIERS TO HIV CARE

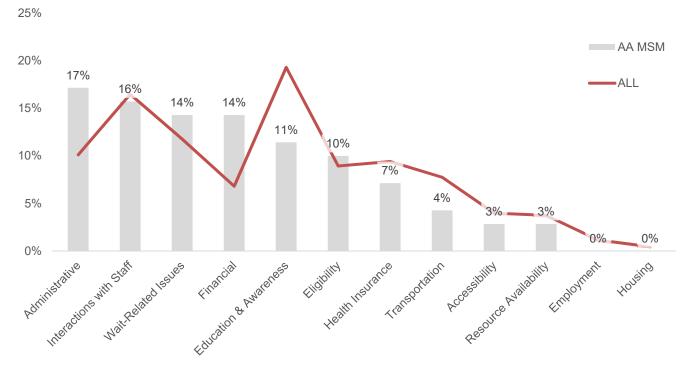
The 2020 Houston Area HIV Needs Assessment process continued the practice of reporting difficulty accessing needed services to provide a brief description of the barrier or barriers encountered, rather than select from a list of pre-selected barriers. Staff used recursive abstraction to categorize participant descriptions into 39 district barriers. These barriers were then grouped together into 12 nodes, or barrier types.

(**Graph 4**) Eighty-six (86) African American MSM participants cited barriers to Ryan White funded HIV care services. African American MSM participants most often cited barriers related to administrative issues (17%), interactions with staff (16%), wait related issues (14%), and financial barriers (14%).

Complex and lengthy processes needed to access services, changes in services and dismissal at agencies and clinics were administrative barriers reported by African American MSM respondents. Barriers reported by African American MSM respondents reporting interactions with staff as a barrier mentioned poor communication from staff, poor treatment by staff, lack of staff knowledge of services, and not receiving a referral to services as barriers.

Compared to the general sample, a greater proportion of African American MSM participants reported encountering administrative barriers (17% vs 10%), as well as barriers related to the participants finances (14% vs 7%). A lower proportion of African American MSM participants reported barriers related to education and awareness (11% vs 19%) as well as waitrelated issues (14% vs 12%).

GRAPH 4-Ranking of Types of Barriers to HIV Services among African American MSM PLWH in the Houston Area, 2020 Definition: Percent of times each barrier type was reported by African American MSM needs assessment participants, regardless of service, when difficulty accessing needed services was reported.



Works Cited

Centers for Disease Control and Prevention. (2020, October 23). *HIV and African American Gay and Bisexual Men*. Retrieved from https://www.cdc.gov/hiv/library/reports/hiv-surveillance/vol-31/index.html.

HIV and Hispanics/Latinos

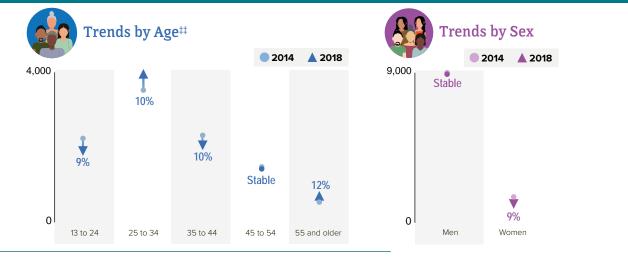


Of the **37,968 NEW HIV DIAGNOSES** in the US and dependent areas* in 2018, 27% were among Hispanics/Latinos.⁺

Most new HIV diagnoses among Hispanics/Latinos were among gay and bisexual men.

| | Men (N=8,977) ‡ | | | Women (N=1,269)‡ | |
|---|-----------------|-------------|-------------------------|------------------|--------------------------|
| Male-to-Male Sexual Contact | | 85% (7,653) | Heterosexual Contact | | 87% <mark>(1,109)</mark> |
| Heterosexual Contact | 7% (624) | | Injection Drug Use | | |
| Injection Drug Use | 4% (350) | | Perinatal** | <1% (5) | |
| Male-to-Male Sexual Contact and Injection Drug Use | 4% (343) | | | | |
| Perinatal** | <1% (5) | | | | |
| Other ⁺⁺ | <1% (3) | | | | |

From 2014 to 2018, HIV diagnoses remained stable among Hispanics/Latinos overall. Although trends varied for different groups of Hispanics/Latinos, HIV diagnoses declined for some groups, including Hispanic women/Latinas and young Hispanics/Latinos aged 13 to 24.



* American Samoa, Guam, the Northern Mariana Islands, Puerto Rico, the Republic of Palau, and the US Virgin Islands.

⁺ Hispanics/Latinos can be of any race.

* Based on sex at birth and includes transgender people

** People who got HIV through perinatal transmission but aged 13 or older at the time of diagnosis.
** Includes blood transfusion, hemophilia, and risk factors not reported or not identified.

Includes blood transtusion, hemophilia, and risk factors not reporte
 Does not include *perinatal* and *other* transmission categories.

*** In 50 states and the District of Columbia.

National Center for HIV/AIDS, Viral Hepatitis, STD, and TB Prevention

Division of HIV/AIDS Prevention

Hispanics/Latinos who don't know they have HIV can't get the care and treatment they need to stay healthy.

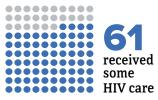


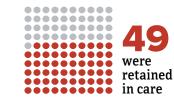
At the end of 2018, an estimated **1.2 MILLION AMERICANS** had HIV.*** Of those, 274,100 were Hispanics/Latinos.

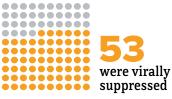


It is important for Hispanics/Latinos to know their HIV status so they can take medicine to treat HIV if they have the virus. Taking HIV medicine every day can make the viral load undetectable. People who get and keep an undetectable viral load (or stay virally suppressed) can live a long and healthy life. They also have effectively no risk of transmitting HIV to HIV-negative sex partners.

Compared to all people with HIV, Hispanics/Latinos have lower viral suppression rates. More work is needed to increase these rates. For every **100 Hispanics/Latinos with HIV**:







For comparison, for every **100 people overall** with HIV, **65 received some HIV care, 50 were retained in care, and 56 were virally suppressed.**

There are several challenges that place some Hispanics/Latinos at higher risk for HIV.



Knowledge of HIV Status

People who don't know they have HIV can't get the care they need and may transmit HIV to others without knowing it.

Racism, HIV Stigma, and Homophobia



Racism, HIV stigma, and homophobia can negatively impact risk-taking behaviors, knowledge of HIV status, HIV care, and other needed services for many Hispanics/Latinos.

Sexually Transmitted Diseases (STDs)



Hispanics/Latinos have higher rates of some STDs. Having another STD can increase a person's chance of getting or transmitting HIV.

Access to HIV Prevention and Treatment Services



Immigration status, poverty, migration patterns, lower educational level, and language barriers may make it harder for some Hispanics/Latinos to get HIV services.

| | How is CDC making a difference for Hispanics/Latinos? | | | | | | | | | |
|----------|---|----------------------------------|---|--|--|--|--|--|--|--|
| | Collecting and analyzing data and monitoring HIV trends. | | Supporting community organizations that increase access to HIV testing and care. | | | | | | | |
| Ş | Conducting prevention research and providing guidance to those working in HIV prevention. | LET'S STOP TOGETHER | Promoting testing, prevention, and treatment through the <i>Let's Stop HIV Together</i> campaign. | | | | | | | |
| •6• | Supporting health departments and community- based organizations by funding HIV prevention work and providing technical assistance. | Ending the HIV Epidemic | Strengthening successful HIV prevention programs and supporting new efforts funded through the <i>Ending the HIV Epidemic</i> initiative. | | | | | | | |
| For more | information about HIV surveillance data, read the "Technical Notes | " in the HIV sur | | | | | | | | |

For more information about HIV surveillance data, read the "Technical Notes" in the HIV surveillance reports at www.cdc.gov/hiv/library/ reports/hiv-surveillance.html.

For more information visit www.cdc.gov/hiv



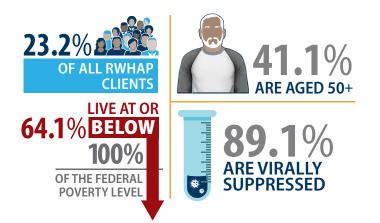
HRSA's Ryan White HIV/AIDS Program Hispanic/Latino Clients: Ryan White HIV/AIDS Program, 2018

Population Fact Sheet | January 2020

The Health Resources and Services Administration's Ryan White HIV/AIDS Program (RWHAP) provides a comprehensive system of HIV primary medical care, medications, and essential support services for low-income people with HIV. More than half the people with diagnosed HIV in the United States—approximately 519,000 people in 2018—receive services through RWHAP each year. The RWHAP funds grants to states, cities/counties, and local community-based organizations to provide care and treatment services to people with HIV to improve health outcomes and reduce HIV transmission among hard-to-reach populations.



Ryan White HIV/AIDS Program Fast Facts: Hispanic/Latino Clients



Of the more than half a million clients served by RWHAP, 73.7 percent are from racial/ethnic minority populations, with 23.2 percent of all RWHAP clients identifying as Hispanic/Latino. Below are more details about this RWHAP client population:

The majority of Hispanic/Latino clients served by RWHAP are low income. Data show that 64.1 percent of Hispanic/Latino clients are living at or below 100 percent of the federal poverty level, which is slightly higher than the national RWHAP average (61.3 percent).

- The majority of Hispanic/Latino clients served by RWHAP are male. Data show that 75.9 percent of clients are male, 21.7 percent are female, and 2.4 percent are transgender.
- Data show that 4.7 percent of Hispanic/Latino RWHAP clients have unstable housing. This percentage is slightly lower than the national RWHAP average (5.3 percent).
- The Hispanic/Latino RWHAP client population is aging. Hispanic/ Latino clients aged 50 years and older account for 41.1 percent of all Hispanic/Latino RWHAP clients.
- Among Hispanic/Latino male RWHAP clients, 65.8 percent are men who have sex with men (MSM). This percentage is consistent with the RWHAP national average (65.7 percent).

Medical care and treatment improve health outcomes and decrease the risk of HIV transmission. People with HIV who take HIV medication daily as prescribed and reach and maintain an undetectable viral load have effectively no risk of sexually transmitting the virus to an HIV-negative partner. In 2018, approximately 89.1 percent of Hispanic/Latino RWHAP clients receiving HIV medical care are virally suppressed,^{*} which is slightly higher than the national RWHAP average (87.1 percent).

^{*} Viral suppression is defined as a viral load result of less than 200 copies/mL at the most recent test, among people with HIV who had at least one outpatient ambulatory health services visit and one viral load test during the measurement year.

HIV and Hispanic/Latino Gay and Bisexual Men



Of the **37,968 NEW HIV DIAGNOSES** in the US and dependent areas* in 2018, 21% were among Hispanic/Latino⁺ gay and bisexual men.^{‡**}

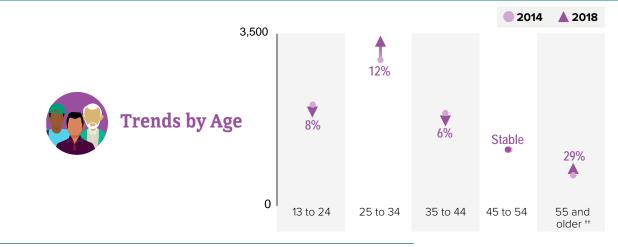
About 2 out of 3 Hispanic/Latino gay and bisexual men who received an HIV diagnosis were aged 13 to 34.



| 13 to 24 | 22% | 1,788 |
|--------------|-----|-------|
| 25 to 34 | 41% | 3,309 |
| 35 to 44 | 20% | 1,595 |
| 45 to 54 | 11% | 918 |
| 55 and older | 5% | 385 |
| 0% | | 100% |

The numbers have been statistically adjusted to account for missing transmission categories. Values may not equal the subpopulation total.

Though HIV diagnoses remained stable among Hispanic/Latino gay and bisexual men overall from 2014 to 2018, trends varied by age.



* American Samoa, Guam, the Northern Mariana Islands, Puerto Rico, the Republic of Palau, and the US Virgin Islands.

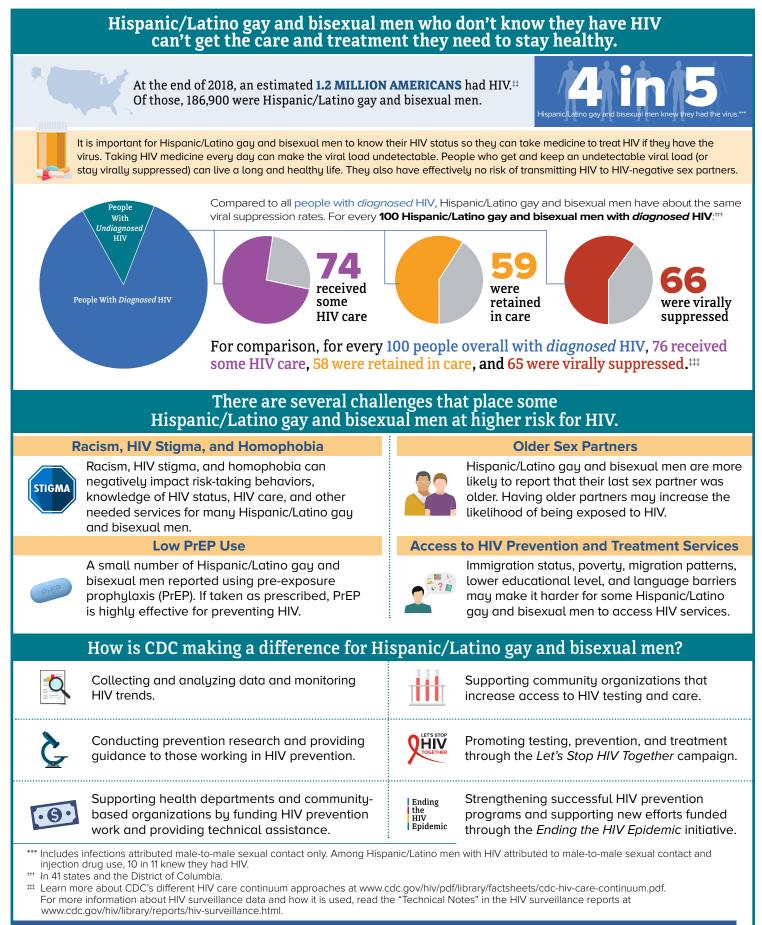
⁺ Hispanics/Latinos can be of any race.

- [‡] This fact sheet uses the term *gay and bisexual men* to represent gay, bisexual, and other men who reported male-to-male sexual contact aged 13 and older.
- ** Includes infections attributed to male-to-male sexual contact and injection drug use (men who reported both risk factors).

⁺⁺ Changes in subpopulations with fewer HIV diagnoses can lead to a large percentage increase or decrease.

[#] In 50 states and the District of Columbia.





2020 Houston HIV Care Services Needs Assessment: Profile of Hispanic/Latino Men Who Have Sex with Men (MSM)

PROFILE OF HISPANIC/LATINO MSM

An analysis conducted by the Centers for Disease Control & Prevention (CDC) showed that among all new HIV diagnoses in 2018 within the U.S., 21% were among Hispanic/Latino men who have sex with men (MSM) (Center for Disease Control & Prevention, 2020). Though no single cause has been identified, intersections of high prevalence, racism, discrimination, stigma, homophobia, and fear of disclosing their immigration status likely contribute to transmission vulnerability increased among Hispanic/Latino MSM (Center for Disease Control & Prevention, 2020). A persistent challenge to designing HIV prevention and care services that meet the needs of Houston area people living with HIV (PLWH) is ensuing that services remain relevant and responsive to the needs of both the general population and groups with increased vulnerability to new transmissions and unmet need. Data about service needs and barriers Hispanic/Latino MSM PLWH in the Houston area encounter is of particular importance to local HIV planning, as this information equips communities to design prevention and care services that meet the unique needs of disproportionately affected groups.

Proactive efforts were made to gather a representative sample of all PLWH in the 2020 Houston HIV Care

Services Needs Assessment, as well as focus targeted sampling among key populations (See: *Methodology*, full document), and results presented throughout the full document include Hispanic/Latino MSM participants. This Profile highlights results *only* for participants who were Hispanic/Latino MSM, as well as comparisons to the entire needs assessment sample.

Notes: This analysis defines Hispanic/Latino MSM as PLWH who indicated they were cis-gender men with a primary race/ethnicity of Hispanic/Latino, and self-identified as gay, bisexual, pansexual, or undecided. Results for participants who are transgender or gender non-conforming were reported in a separate profile available on the Houston Ryan White Planning Council website.

Data presented in the Demographics and Socio-Economic Characteristics section of this Profile represent the *actual* survey sample, rather than the *weighted* sample presented throughout the remainder of the Profile. (See: *Methodology*, full document). Proportions are not calculated with a denominator of the total number of surveys for every variable due to missing or "check-all" responses.

DEMOGRAPHICS AND SOCIO-ECONOMIC CHARACTERISTICS

(**Table 1**) In total, 44 participants in the 2020 Houston HIV Care Services Needs Assessment were Hispanic/Latino MSM, comprising 8% of the entire sample.

Ninety-three percent (93%) of Hispanic/Latino MSM participants were residing in Houston/Harris County at the time of data collection. Compared to the total sample of the needs assessment participants, the majority of the respondents were between the ages of 35 to 64 (84%), have been a citizen of the U.S. for more than 5 years (48%), and primarily had health insurance through public health insurance programs (82%); Medicaid/Medicare (27%), the Harris Health System (23%),White and Rvan (32%). Among Hispanic/Latino MSM needs assessment participants, 71% had annual incomes that were 100% below the Federal Poverty Level (FPL). The average annual income reported by Hispanic/Latino MSM was \$10,871.

•

Compared to all needs assessment participants, higher proportions of Hispanic/Latino MSM participants were between the ages of 55 to 64 (36% vs 28%), were U.S. citizens for more than 5 years (48% vs 10%), and were 100% below the FPL, and had higher occurrences of having health insurance (7% vs 2%). Hispanic/Latino MSM participants who reported income had a lower average annual income when compared to the total sample of the needs assessment (\$10,871 vs \$11,360).

Characteristics of African American MSM participants (as compared to all participants in general) can be summarized as follows:

- Residing in Houston/Harris County
- Adults between the ages of 35 and 64
- Lower average annual income
- Higher proportion of having no health insurance or utilizing public health insurance program.

| | No. | H/L MSM % | Total % | | No. | H/L MSM % | Total % | | No. | H/L MSM % | Total % |
|--|-----|-----------------|------------|---------------------------|------------|-----------------|------------|------------------------------------|------|-----------------|------------|
| County of residence | | | | Age range (median: 50-54) | | | | Sexual orientation (self-reported) | | | |
| Harris | 41 | 93% | 95% | 13-17 | 0 | - | - | Heterosexual | 39 | 93% | 30% |
| Fort Bend | 1 | 2% | 2% | 18-24 | 1 | 2% | 3% | Gay | 3 | 7% | 9% |
| Montgomery | 1 | 2% | 1% | 25-34 | 4 | 9% | 9% | Bisexual / Pansexual | 0 | 0% | 1% |
| Other | 1 | 2% | 1.6% | 35-49 | 13 | 30% | 28% | Undecided | 1 | 2% | 2% |
| | | | | 50-54 | 8 | 18% | 18% | | | | |
| | | | | 55-64 | 16 | 36% | 28% | | | | |
| | | | | ≥65 | 2 | 5% | 15% | | | | |
| | | | | Seniors (≥50) | 26 | 29% | 60% | | | | |
| Immigration status | | | | Yearly income | (average: | \$10,871) | | Health insurance (multiple re | spon | se) | |
| Born in the U.S. | 18 | 41% | 88% | Federal Povert | y Level (F | PL) | | Private insurance | 6 | 10% | 9% |
| Citizen > 5 years | 21 | 48% | 10% | Below 100% | 12 | 71% | 67% | Medicaid/Medicare | 16 | 27% | 67% |
| Citizen < 5 years | 5 | 11% | 1% | 100% | 3 | 18% | 19% | Harris Health System | 14 | 23% | 29% |
| Visa (student, work, tourist, etc.) | 0 | - | 0.2% | 150% | 2 | 12% | 6% | Ryan White Only | 19 | 32% | 24% |
| Prefer not to answer | 0 | - | 0.7% | 200% | 0 | - | 5% | VA | 1 | 2% | 3% |
| | | | | 250% | 0 | - | 0.7% | None | 4 | 7% | 2% |
| | | | | ≥300% | 0 | - | 2% | | | | |

TABLE 1-Select Characteristics among Hispanic/Latino MSM Participants, Houston Area HIV Needs Assessment, 2020

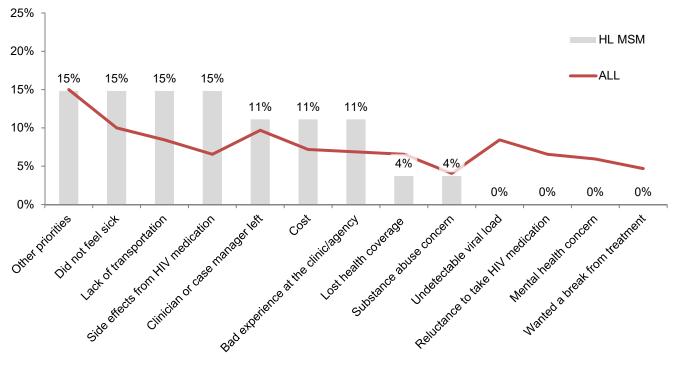
BARRIERS TO RETENTION IN CARE

As in the methodology for all needs assessment participants, results presented in the remaining sections of this Profile were statistically weighed using current HIV prevalence for the Houston EMA (2018) in order to produce proportional results (See: *Methodology*, full document).

While 67% of all needs assessment participants reported no interruptions in their HIV care for 12 months of more since their diagnosis, 81% of Hispanic/Latino MSM needs assessment participants reported no interruption in care. Those who reported a break in HIV care for 12 months or more since first entering care were asked to identify the reasons for falling out of care. Thirteen commonly reported reasons were included as options in the consumer survey, and participants could provide multiple reasons. Participants could also write-in their reasons. (**Graph 1**) Hispanic/Latino MSM needs assessment participants reported other priorities, not feeling sick, lack of transportation, and side effects from HIV medications (all 15%) as reasons for falling out of HIC medical care. Hispanic/Latino MSM also reported their clinician or case manager leaving, the cost of services, and bad experiences at the clinic/agency (all 11%) as reasons for falling out of HIV care.

Compared to the total sample, a higher proportion of Hispanic/Latino MSM participants reported having side effects from HIV medication (15% vs 7%), lack of transportation (15% vs 8%), and not feeling sick (15% vs 10%) as reasons for the lapse in HIV medical care. One write-in response was provided which reported "stupidity" as the reason for their lapse in HIV medical care.

GRAPH 1-Reasons for Falling Out of HIV Care among Hispanic/Latino MSM PLWH in the Houston Area, 2020 Definition: Percent of times each item was reported by Hispanic/Latino MSM needs assessment participants as the reason they stopped their HIV care for 12 months or more since first entering care.



OVERALL RANKING OF FUNDED SERVICES, BY NEED

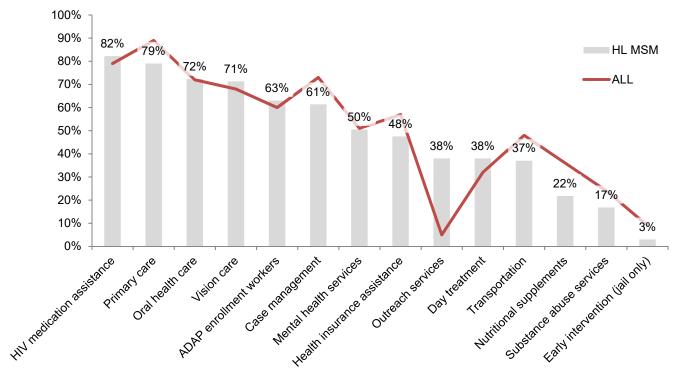
In 2020, 16 HIV core medical and support services were funded through the Houston Area Ryan White HIV/AIDS Program, and housing services were provided through the local HOPWA program. Participants of the 2020 Houston HIV Care Services Needs Assessment were asked to indicate which of these funded services they needed in the past 12 months.

(Graph 2) Among Hispanic/Latino MSM participants, HIV medication assistance was the most needed funded service at 82% of Hispanic/Latino MSM participants reporting need. Hispanic/Latino MSM needs assessment participants also reported a need for primary care (79%), oral health care (72%) and vision care (71%).

Overall Hispanic/Latino MSM reported less need for funded services by the Houston Area Ryan White HIV/AIDS Program. However, Hispanic/Latino MSM did report a much greater need for outreach services when compared to the total sample (38% vs 5%). Lower proportions of Hispanic/Latino MSM reported needing nutritional supplements (22% vs 36%), case management (61% vs 73%), and transportation (37% vs 48%).



Definition: Percent of Hispanic/Latino MSM needs assessment participants stating they needed the service in the past 12 months, regardless of ease or difficulty accessing the service.



OTHER IDENTIFIED NEEDS

In 2020, 10 other/non-Ryan White funded HIVrelated services were assessed to determine emerging needs for PLWH in the Houston area. Participants of the 2020 Houston HIV Care Services Needs Assessment were asked to indicate which of these other/non-Ryan White funded HIV-related services they needed in the past 12 months.

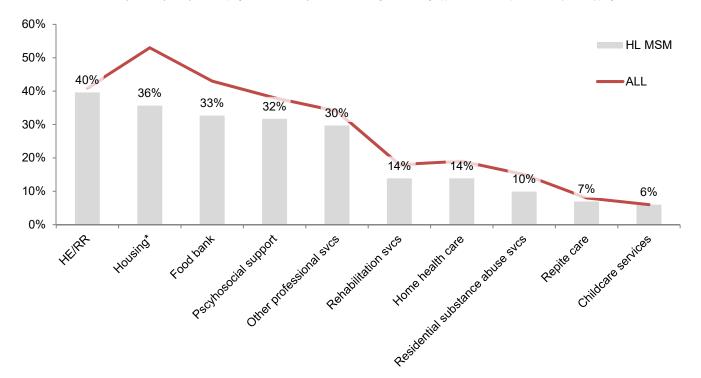
(**Graph 3**) Among the 10 other/non-Ryan White funded HIV-related services, 40% of Hispanic/Latino MSM reported need for health education & risk reduction services as the most needed. Additionally, Hispanic/Latino MSM reported a need for housing (36%), food bank (33%), and psychosocial support services (32%).

Overall, Hispanic/Latino MSM reported a lower need for other/non-Ryan White Services in the 2020 Houston Care Services Needs Assessment. Compared to the total sample, lower proportions of Hispanic/Latino MSM reported need for housing (36% vs 53%), and food bank (33% vs 43%).

GRAPH 3-Other Needs for HIV Services among Hispanic/Latino MSM PLWH in the Houston Area, 2020

Definition: Percent of Hispanic/Latino MSM needs assessment participants, who selected each service in response to the survey question, "What other kinds of services do you need to help you get your HIV medical care?"

These services are not currently funded by the Ryan White program, however, they are available through the Housing Opportunities for People with AIDS (HOPWA) program.



OVERALL BARRIERS TO HIV CARE

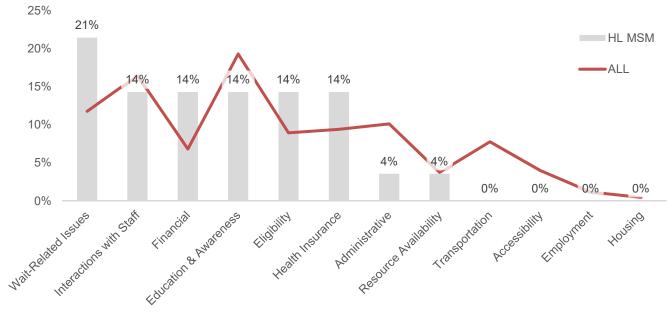
The 2020 Houston Area HIV Needs Assessment process continued the practice of reporting difficulty accessing needed services to provide a brief description of the barrier or barriers encountered, rather than select from a list of pre-selected barriers. Staff used recursive abstraction to categorize participant descriptions into 39 distinct barriers. These barriers were then grouped together into 12 nodes, or barrier types.

(**Graph 4**) Sixteen (16) Hispanic/Latino MSM participants cited barriers to Ryan White funded HIV care services. Hispanic/Latino MSM participants most often cited barriers related to wait issues (21%), interactions with staff at the agency or clinic, financial barriers, and education and awareness of services in the Houston area (all 14%).

Wait-related issues reported by Hispanic/Latino were related to being put on a waitlist, the service not being available due to a full waitlist, wait times at appointments, and approval of the application for the service. Issues related to interactions with staff reported by Hispanic/Latino MSM were that there was poor communication from staff, and providers not providing a referral for services. Barriers related to education and awareness of services were related to Hispanic/Latino MSM participants not knowing that a service was available.

Compared to the general sample, a greater proportion of Hispanic/Latino MSM participants reported encountering barriers that were wait-related (21% vs 12%), and related to finances or not being able to afford the service (14% vs 7%). Lower proportions of Hispanic/Latino MSM reported barriers related to transportation (0% vs 8%), and administrative issues (4% vs 10%) when compared to the total sample.





Works Cited

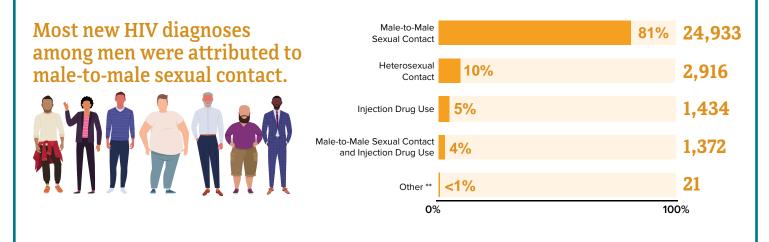
Centers for Disease Control and Prevention. (2020, May 7). *Diagnoses of HIV Infection in the United States and Dependent Areas, 2018.* Retrieved fromhttps://www.cdc.gov/hiv/library/reports/hiv-surveillance/vol-31/index.html.

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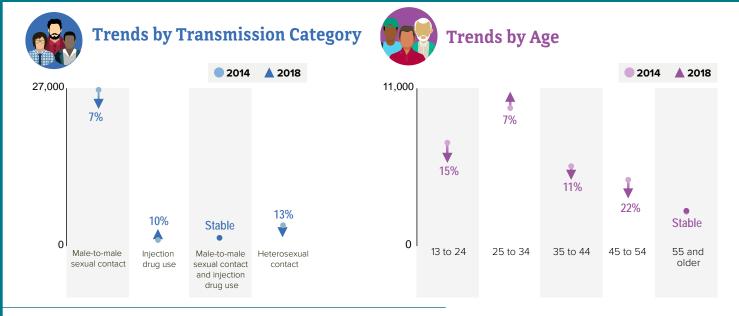
HIV and Men



Of the **37,968 NEW HIV DIAGNOSES** in the US and dependent areas* in 2018, 81% were among men.^{+‡}



From 2014 to 2018, HIV diagnoses decreased 7% among men overall, but trends varied for different groups of men.



* American Samoa, Guam, the Northern Mariana Islands, Puerto Rico, the Republic of Palau, and the US Virgin Islands.

⁺ Adult and adolescent men aged 13 and older.

[‡] Based on sex at birth and includes transgender people.

**Includes hemophilia, blood transfusion, perinatal exposure, and risk factors not reported or not identified.

⁺⁺ In 50 states and the District of Columbia.





Men who don't know they have HIV cannot get the care and treatment they need to stay healthy.

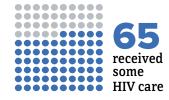


At the end of 2018, an estimated **1.2 MILLION AMERICANS** had HIV.⁺⁺ Of those, 912,100 were men.

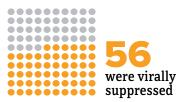


It is important for men to know their HIV status so they can take medicine to treat HIV if they have the virus. Taking HIV medicine every day can make the viral load undetectable. People who get and keep an undetectable viral load (or stay virally suppressed) can live a long and healthy life. They also have effectively no risk of transmitting HIV to HIV-negative sex partners.

When compared to people overall with HIV, men have the same viral suppression rates. But more work is needed to increase these rates. In 2018, for every **100 men with HIV**:⁺⁺







For comparison, for every **100 people overall** with HIV, **65 received some HIV care, 50 were retained in care**, and **56 were virally suppressed**.

There are several challenges that place some men at higher risk for HIV.

| Kno | owled | ge of | HIV | Status |
|-----|-------|-------|-----|--------|
| | | | | |

People who don't know they have HIV can't get the care they need and may pass HIV to others without knowing it.

Sexually Transmitted Diseases (STDs)



Having another STD can greatly increase the chance of getting or transmitting HIV.



Most men get HIV through sexual contact, especially anal sex. Anal sex is the riskiest type of sex for getting or transmitting HIV.



Access to HIV Prevention and Treatment Services

Sexual Behaviors

Sharing needles, syringes, and other injection drug equipment puts people at risk for HIV.

| How is CDC making a difference for men? | | | | | | | | |
|---|---|----------------------------------|---|--|--|--|--|--|
| | Collecting and analyzing data and monitoring HIV trends. | | Supporting community organizations that increase access to HIV testing and care. | | | | | |
| Ś | Conducting prevention research and providing guidance to those working in HIV prevention. | RETS STOP | Promoting testing, prevention, and treatment through the <i>Let's Stop HIV Together</i> campaign. | | | | | |
| • 6 • | Supporting health departments and community- based organizations by funding HIV prevention work and providing technical assistance. | Ending the HIV Epidemic | Strengthening successful HIV prevention programs and supporting new efforts funded through the <i>Ending the HIV Epidemic</i> initiative. | | | | | |

For more information about HIV surveillance data and how they are used, read the "Technical Notes" in the HIV surveillance reports at www.cdc.gov/hiv/library/reports/hiv-surveillance.html.

For more information visit www.cdc.gov/hiv

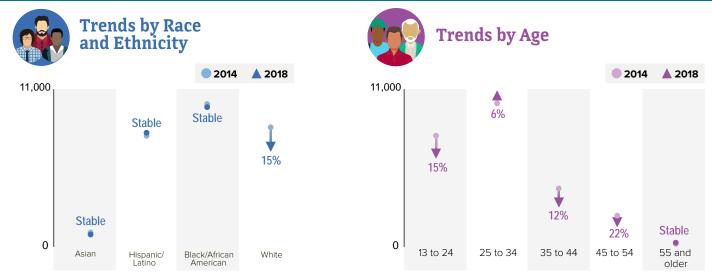
HIV and Gay and Bisexual Men



Of the **37,968 NEW HIV DIAGNOSES** in the US and dependent areas* in 2018, 69% were among gay and bisexual men.^{†‡}

| Among gay and bisexual men w received an HIV diagnosis in 20 racial and ethnic disparities | h0 Black/African American** | 37% | 9,712 |
|--|--|-----|-------|
| racial and ethnic disparities | Hispanic/Latino ⁺⁺ | 30% | 7,996 |
| continue to exist. | White | 27% | 7,040 |
| 着 🚣 🎿 🎿 🚣 📥 | Asian | 3% | 697 |
| 「「柵」、「「「〇」」「鳥」(一) 「梨 | Multiple Races | 3% | 664 |
| | American Indian/Alaska Native | 1% | 140 |
| N N | lative Hawaiian and Other Pacific Islander | <1% | 57 |
| | 0% | 6 | 100% |

From 2014 to 2018, HIV diagnoses decreased 7% among gay and bisexual men overall.



Subpopulations representing 2% or less of HIV diagnoses among gay and bisexual men are not reflected in this chart.

* American Samoa, Guam, the Northern Mariana Islands, Puerto Rico, the Republic of Palau, and the US Virgin Islands.

⁺ This fact sheet uses the term *gay and bisexual men* to represent gay, bisexual, and other men who reported male-to-male sexual contact aged 13 and older.

[±] Includes infections attributed to male-to-male sexual contact *and* injection drug use (men who reported both risk factors).

* Black refers to people having origins in any of the black racial groups of Africa. African American is a term often used for Americans of

African descent with ancestry in North America.

⁺⁺ Hispanics/Latinos can be of any race.

National Center for HIV/AIDS, Viral Hepatitis, STD, and TB Prevention Division of HIV/AIDS Prevention



Gay and bisexual men who don't know they have HIV can't get the care and treatment they need to stay healthy. At the end of 2018, an estimated 1.2 MILLION AMERICANS had HIV.^{‡‡} Of those, 740,400 were gay and bisexual men. gay and bisexual men knew they had the virus.*** It is important for gay and bisexual men to know their HIV status so they can take medicine to treat HIV if they have the virus. Taking HIV medicine every day can make the viral load undetectable. People who get and keep an undetectable viral load (or stay virally suppressed) can live a long and healthy life. They also have effectively no risk of transmitting HIV to HIV-negative sex partners. Compared to all people with HIV, gay and bisexual men have about the same viral suppression rates. For every 100 gay and bisexual men with HIV in 2018:# ---------...... received were some retained were virally HIV care in care suppressed For comparison, for every 100 people overall with HIV, 65 received some HIV care, 50 were retained in care, and 56 were virally suppressed. There are several challenges that place some gay and bisexual men at higher risk for HIV. Lack of Awareness of HIV Status **Sexual Behaviors** Some factors put gay and bisexual men at People who don't know they have HIV can't get higher risk for HIV, including having anal sex the care they need and may pass HIV to others with someone who has HIV without using without knowing it. protection (like condoms or medicine to prevent or treat HIV). **Increased Risk for Other STDs** Stigma, Homophobia, and Discrimination Having another sexually transmitted disease Stigma, homophobia, and discrimination may (STD) can greatly increase the chance of getting STIGMA affect whether gay and bisexual men seek or or transmitting HIV. receive high-quality health services. How is CDC making a difference for gay and bisexual men? Collecting and analyzing data and monitoring Supporting community organizations that HIV trends. increase access to HIV testing and care. Conducting prevention research and providing Promoting testing, prevention, and treatment JHIV guidance to those working in HIV prevention. through the Let's Stop HIV Together campaign. Supporting health departments and community-Strengthening successful HIV prevention Ending the HIV based organizations by funding HIV prevention programs and supporting new efforts funded Epidemic work and providing technical assistance. through the Ending the HIV Epidemic initiative. In 50 states and the District of Columbia. Includes infections attributed to male-to-male sexual contact *only*. Among men with HIV infection attributed to male-to-male sexual contact *and* injection drug use, 12 in 13 knew they had HIV. For more information about HIV surveillance data and how it is used, read the "Technical Notes" in the HIV surveillance reports at www.cdc.gov/hiv/library/reports/hiv-surveillance.html

For more information visit www.cdc.gov/hiv



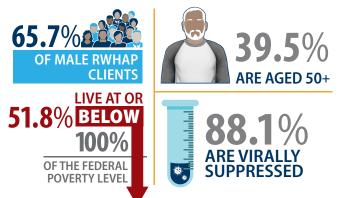
HRSA's Ryan White HIV/AIDS Program Gay, Bisexual, and Other Men Who Have Sex with Men (MSM) Clients: Ryan White HIV/AIDS Program, 2018

Population Fact Sheet | January 2020

The Health Resources and Services Administration's Ryan White HIV/AIDS Program (RWHAP) provides a comprehensive system of HIV primary medical care, medications, and essential support services for low-income people with HIV. More than half the people with diagnosed HIV in the United States—approximately 519,000 people in 2018—receive services through RWHAP each year. The RWHAP funds grants to states, cities/counties, and local community-based organizations to provide care and treatment services to people with HIV to improve health outcomes and reduce HIV transmission among hard-to-reach populations.



Ryan White HIV/AIDS Program Fast Facts: Gay, Bisexual, and Other Men Who Have Sex with Men (MSM) Clients



A significant proportion of RWHAP clients are men who have sex with men (MSM). Of the more than half a million clients served by RWHAP, 47.3 percent are MSM. Of male clients served by RWHAP, 65.7 percent are MSM. More details about this RWHAP client population are outlined below:

- The majority of MSM clients served by RWHAP are from racial/ ethnic minority populations. Data show that 63.7 percent of MSM RWHAP clients served are from racial/ethnic minority populations. Among MSM, 36.3 percent identify as white, 35.0 percent identify as black/African American, and 25.2 percent identify as Hispanic/ Latino.
- More than half of MSM clients served by RWHAP are low income. Of the MSM RWHAP clients served, 51.8 percent are living at or below 100 percent of the federal poverty level, which is lower than the national RWHAP average (61.3 percent).

- Among the MSM RWHAP clients, 4.6 percent have unstable housing. This percentage is slightly lower than the national RWHAP average (5.3 percent).
- The MSM RWHAP client population is aging. MSM clients aged 50 years and older account for 39.5 percent of all RWHAP MSM clients. This percentage is lower than the national RWHAP average (46.1 percent).

Medical care and treatment improve health outcomes and decrease the risk of HIV transmission. People with HIV who take HIV medication daily as prescribed and reach and maintain an undetectable viral load have effectively no risk of sexually transmitting the virus to an HIV-negative partner. In 2018, approximately 88.1 percent of MSM receiving RWHAP HIV medical care are virally suppressed,^{*} which is slightly higher than the national RWHAP average (87.1 percent).

- 78.3 percent of young MSM (aged 13–24) receiving RWHAP HIV medical care are virally suppressed.
- 74.8 percent of young black/African American MSM (aged 13–24) receiving RWHAP HIV medical care are virally suppressed.

^{*} Viral suppression is defined as a viral load result of less than 200 copies/mL at most recent test, among people with HIV who had at least one outpatient ambulatory health services visit and one viral load test during the measurement year.

2020 Houston HIV Care Services Needs Assessment: Profile of Men Who Have Sex with Men (MSM)

PROFILE OF MSM

An analysis conducted by the Centers for Disease Prevention & Control (CDC) in 2018 determined that 69% of all new diagnoses among adolescents and adults within the U.S. were individuals who identified as men who have sex with men (MSM) (Center for Disease Control & Prevention, 2020). No one cause has been identified for the large impact of HIV on MSM, high prevalence, being unaware of their status, stigma, and discrimination likely contribute to the increased transmission vulnerability among MSM (Center for Disease Control & Prevention, 2020). A persistent challenge to designing HIV prevention and care services that meet the needs of Houston area people living with HIV (PLWH) is ensuring that services remain relevant and responsive to the needs of both the general population and groups with increased vulnerability to new transmissions and unmet need. Data about service needs and barriers MSM PLWH in the Houston area encounter is of particular importance to local HIV planning, as this information equips communities to design prevention and care services that meet the unique needs of disproportionately affected groups.

Proactive efforts were made to gather a representative sample of all PLWH in the 2020 Houston HIV Care

Services Needs Assessment, as well as focus targeted sampling among key populations (See: *Methodology*, full document), and results presented throughout the full document include all MSM participants. This Profile highlights results *only* for participants who identified as MSM, as well as comparisons to the entire needs assessment sample

Notes: This analysis defines MSM as PLWH who indicated that they were cis-gender men who identified as gay, bisexual, or pansexual regardless of race/ethnicity. Results for participants who are African American/Black, Hispanic/Latino, and transgender or gender non-conforming were reported in separate profiles available on the Houston Ryan White Planning Council website.

Data presented in the Demographics and Socio-Economic Characteristics section of this Profile represent the *actual* survey sample, rather than the *weighted* sample presented throughout the remainder of the Profile (See: *Methodology*, full document). Proportions are not calculated with a denominator of the total number of surveys for every variable due to missing values or "check all" responses.

DEMOGRAPHICS AND SOCIO-ECONOMIC CHARACTERISTICS

(**Table 1**) In total, 238 participants in the 2020 Houston HIV Care Services Needs Assessment were MSM, comprising 41% of the entire sample.

Ninety-two percent (92%) of MSM participants were residing in Houston/Harris County at the time of data collection. Compared to the total sample of the needs assessment participants, the majority of respondents were between the ages of 35 to 64 (79%), primarily African American/Black (45%), and born in the U.S. (80%). MSM participants mostly self-reported sexual orientation as gay (77%); however, MSM participants also reported being bisexual (16%), pansexual (4%), and being undecided about their sexual orientation (3%). The majority of MSM needs assessment participants reported using public health insurance such as Medicaid, Medicare, Ryan White, and the Harris Health System - to pay for HIV medical care (83%). Fifty-four percent (54%) of MSM participants had reported annual incomes 100% below the Federal Poverty Level (FPL), with the average annual income

among MSM needs assessment participants being \$15,225.

Compared to all needs assessment participants, higher proportions of MSM participants were white (25% vs 14%), used Ryan White only to pay for their HIV medical care (26% vs 24%), and had higher occurrences of having no health insurance (5% vs 2%). The average annual income reported by MSM participants who reported income was larger than that of the total sample (\$15,225 vs \$11,360).

Characteristics of African American MSM participants (as compared to all participants in general) can be summarized as follows:

- Residing in Houston/Harris County
- Adults between the ages of 35 and 64
- · Self-identified as gay or bisexual
- Higher average annual income
- Higher proportion of having no health insurance

| | No. | MSM % | Total % | | No. | MSM % | Total % | | No. | MSM % | Tota % |
|-------------------------------------|---|-----------------------------------|------------|------------------|---------------------------------------|----------|------------|--------------------------|-----|----------|-----------|
| County of residence | Dunty of residenceAge range (median: 50-54)Primary race/ethnicity | | | | | | | | | | |
| Harris | 197 | 92% | 95% | 13-17 | 0 | - | 0% | White | 53 | 25% | 14% |
| Fort Bend | 5 | 2% | 2% | 18-24 | 11 | 5% | 3% | African American / Black | 96 | 45% | 60% |
| Montgomery | 3 | 1% | 1% | 25-34 | 22 | 11% | 9% | Hispanic/Latino | 45 | 21% | 21% |
| Liberty | 2 | 1% | 1% | 35-49 | 60 | 30% | 28% | Asian American | 4 | 2% | 1% |
| Other | 7 | 3% | 2% | 50-54 | 34 | 17% | 18% | Other/Multiracial | 16 | 7% | 5% |
| | | | | 55-64 | 65 | 32% | 28% | | | | |
| | | | | ≥65 | 10 | 5% | 14% | | | | |
| | | | | Seniors (≥50) | 169 | 88% | 60% | | | | |
| Sexual orientation (self-reported) | | Yearly income (average: \$15,225) | | | Health insurance (multiple response) | | | | | | |
| Gay | 163 | 77% | 30% | Federal Povert | Poverty Level (FPL) Private insurance | | 27 | 10% | | | |
| Bisexual | 34 | 16% | 7% | Below 100% | 56 | 54% | 67% | Medicaid/Medicare | 99 | 36% | |
| Pansexual | 8 | 4% | 2% | 100% | 20 | 19% | 19% | Harris Health System | 58 | 21% | |
| Undecided | 7 | 3% | 1% | 150% | 11 | 11% | 6% | Ryan White Only | 71 | 26% | |
| | | | | 200% | 7 | 7% | 5% | VA | 6 | 2% | |
| | | | | 250% | 0 | 0% | 1% | None | 13 | 5% | |
| | | | | ≥300% | 9 | 9% | 2% | | | | |
| Immigration status | | | | | | | | | | | |
| Born in the U.S. | 177 | 80% | 88% | | | | | | | | |
| Citizen > 5 years | 30 | 14% | 10% | | | | | | | | |
| Citizen < 5 years | 5 | 2% | 1% | | | | | | | | |
| Visa (student, work, tourist, etc.) | 9 | 4% | 0% | | | | | | | | |
| Prefer not to answer | 1 | 0% | 1% | | | | | | | | |

BARRIERS TO RETENTION IN CARE

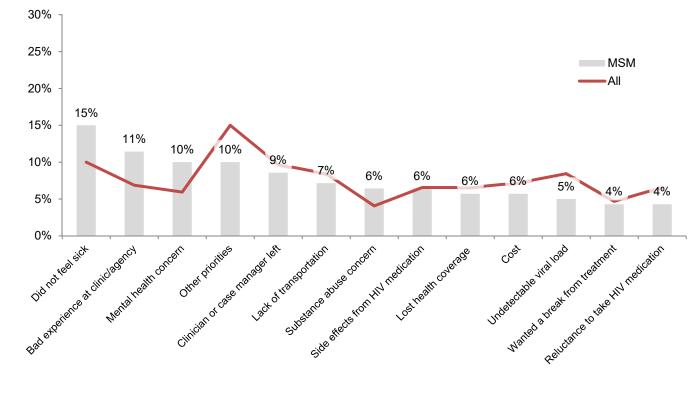
As in the methodology for all needs assessment participants, results presented in the remaining sections of this Profile were statistically weighted using current HIV prevalence for the Houston EMA (2018) in order to produce proportional results (See: *Methodology*, full document).

While 67% of all needs assessment participants reported no interruptions in their HIV care for 12 months or more since their diagnosis, 70% of MSM participants reported no interruptions in care. Those who reported a break in HIV care for 12 months or more since first entering care were asked to identify the reasons for falling out of care. Thirteen commonly reported reasons were included as options in the consumer survey, and participants could select multiple reasons and write in their reasons.

(Graph 1) Among MSM participants, not feeling sick was the most cited reason for interruption in HIV medical care (15%), followed by bad experiences at the clinic or agency (11%), mental health concerns (10%), and other priorities (10%). Compared to the total sample, a higher proportion of MSM participants reported not feeling sick (15% vs 10%), and bad experiences at the clinic or agency (11% vs 7%) as reasons for the lapse in care. Write in responses provided for this question varied with reasons reported by participants for lapses in HIV medical care being that they "didn't want to deal with it now", being homeless, not wanting family to know about their medications, being incarcerated, not knowing where to go to get care, and they weren't aware of the dates of their appointments.

GRAPH 1-Reasons for Falling Out of HIV Care among MSM PLWH in the Houston Area, 2020

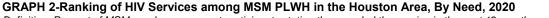
Definition: Percent of times each item was reported by MSM needs assessment participants as the reason they stopped their HIV care for 12 months or more since first entering care.



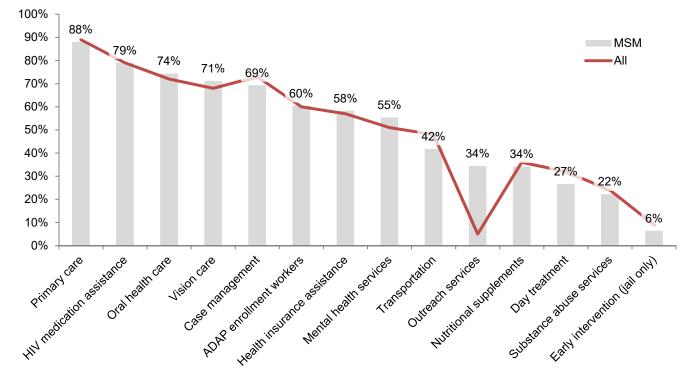
OVERALL RANKING OF FUNDED SERVICES, BY NEED

In 2020, 16 HIV core medical and support services were funded through the Houston Area Ryan White HIV/AIDS Program, and housing services were provided through the local HOPWA Program. Participants of the 2020 Houston HIV Care Services Needs Assessment were asked to indicate which of these funded services they needed in the past 12 months.

(Graph 2) Among MSM participants, primary care was the most needed funded service at 88% of MSM participants reporting need, followed by HIV medication assistance (79%), oral health care (74%), and vision care (71%). When comparing need for HIV core medical and support services funded through the Houston Area Ryan White HIV/AIDS Program of MSM participants with the total sample, we see that the trends are similar, with the exception of one service. MSM participants reported a much higher proportion of need for outreach services when compared to the total sample (34% vs 5%).



Definition: Percent of MSM needs assessment participants stating they needed the service in the past 12 months, regardless of ease or difficulty accessing the service.



OTHER IDENTIFIED NEEDS

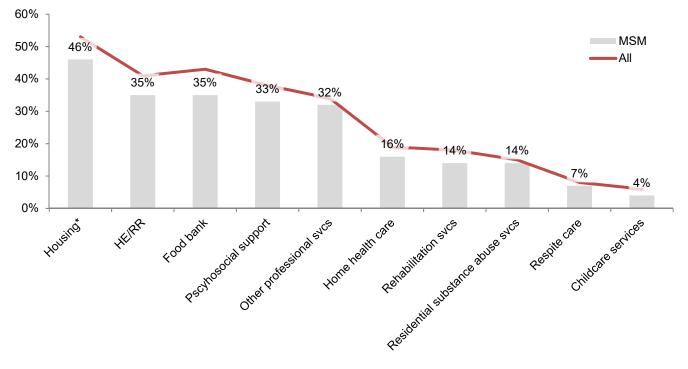
In 2020, 10 other/non-Ryan White funded HIVrelated services were assessed to determine emerging needs for PLWH in the Houston area. Participants of the 2020 Houston HIV Care Services Needs Assessment were asked to indicate which of these other/non-Ryan White funded HIV-related services they needed in the past 12 months.

(Graph 3) Among the 10 other/non-Ryan White funded HIV-related services, forty-six percent (46%) of MSM participants reported housing as the most needed. Additionally, MSM participants reported a need for health education & risk reduction (HE/RR) (35%), food bank (35%), and psychosocial support (33%).

Compared to the total sample, MSM participants reported lower need for all other/non-Ryan White funded HIV-related services. MSM participants reported lower proportions of need for food bank (35% vs 43%), housing (46% vs 53%), and health education & risk reduction (35% vs 41%).

GRAPH 3-Other Needs for HIV Services among MSM PLWH in the Houston Area, 2020

*These services are not currently funded by the Ryan White program; however, they are available through the Housing Opportunities for People with AIDS (HOPWA) program.



Definition: Percent of MSM needs assessment participants, who selected each service in response to the survey question, "What other kinds of services do you need to help you get your HIV medical care?"

OVERALL BARRIERS TO HIV CARE

The 2020 Houston Area HIV Needs Assessment process continued the practice of reporting difficulty accessing needed services to provide a brief description of the barrier or barriers encountered, rather than select from a list of pre-selected barriers. Staff used recursive abstraction to categorize participant descriptions into 39 district barriers. These barriers were then grouped together into 12 nodes, or barrier types.

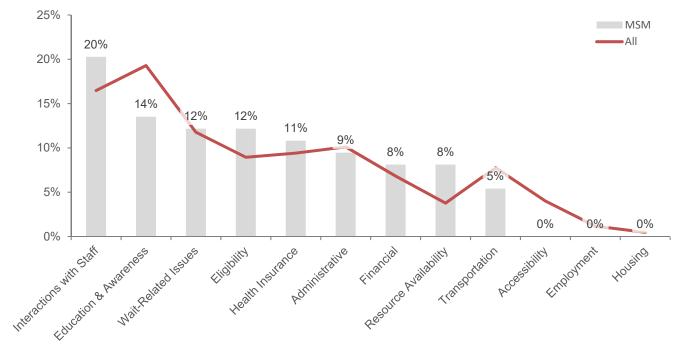
(**Graph 4**) Ninty (90) MSM participants cited barriers to Ryan White funded HIV care services. MSM participants most often cited interactions with staff (20%), service education & awareness (14%), as well as wait and eligibility related barriers (both 12%).

Poor treatment by staff, difficulty receiving a referral, and poor communication from staff were barriers

related to interactions with staff reported by MSM participants. Not knowing a service was available, not knowing where to go, and not knowing who to contact for services were service education & awareness barriers reported by MSM participants. Being put on a waitlist, and redundant processes for service eligibility were the wait-related and eligibility related barriers reported by MSM participants.

Compared to the general sample, a greater proportion of MSM participants reported encountering barriers related to interactions with staff (20% vs 16%), and eligibility for services (12% vs 9%). Lower proportions of MSM participants reported barriers related to service education & awareness (14% vs 19%), and accessibility to services (0% vs 4%).

GRAPH 4-Ranking of Types of Barriers to HIV Services among MSM PLWH in the Houston Area, 2020 Definition: Percent of times each barrier type was reported by MSM needs assessment participants, regardless of service, when difficulty accessing needed services was reported.



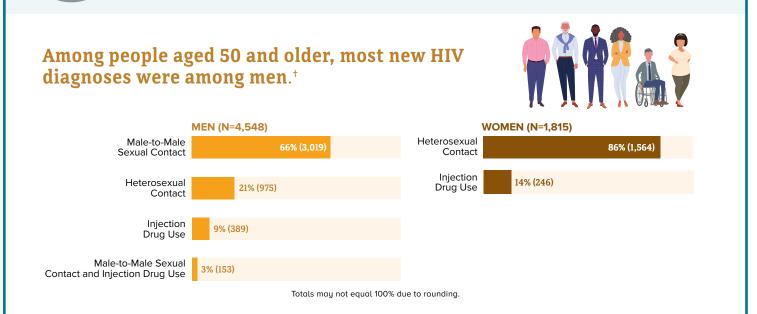
Works Cited

Centers for Disease Control and Prevention. (2020, May 7). *Diagnoses of HIV Infection in the United States and Dependent Areas, 2018.* Retrieved fromhttps://www.cdc.gov/hiv/library/reports/hiv-surveillance/vol-31/index.html.

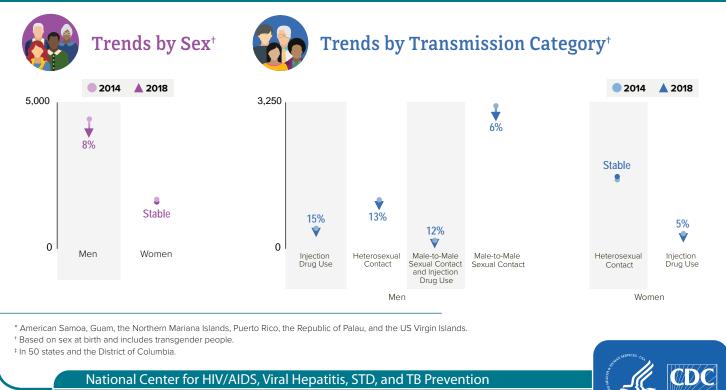
HIV and Older Americans



Of the **37,968 NEW HIV DIAGNOSES** in the US and dependent areas* in 2018, 17% were among people aged 50 and older.



HIV diagnoses decreased 6% overall among people aged 50 and older from 2014 to 2018.



Division of HIV/AIDS Prevention

People aged 50 and older who don't know they have HIV can't get the care and treatment they need to stay healthy.

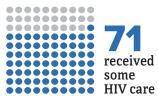


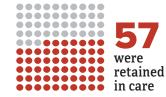
At the end of 2018, an estimated **1.2 MILLION AMERICANS** had HIV.[‡] Of those, 379,000 were aged 55 and older.

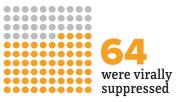


It is important for people aged 50 and older to know their HIV status so they can take medicine to treat HIV if they have the virus. Taking HIV medicine every day can make the viral load undetectable. People who get and keep an undetectable viral load (or stay virally suppressed) can live a long and healthy life. They also have effectively no risk of transmitting HIV to HIV-negative sex partners.

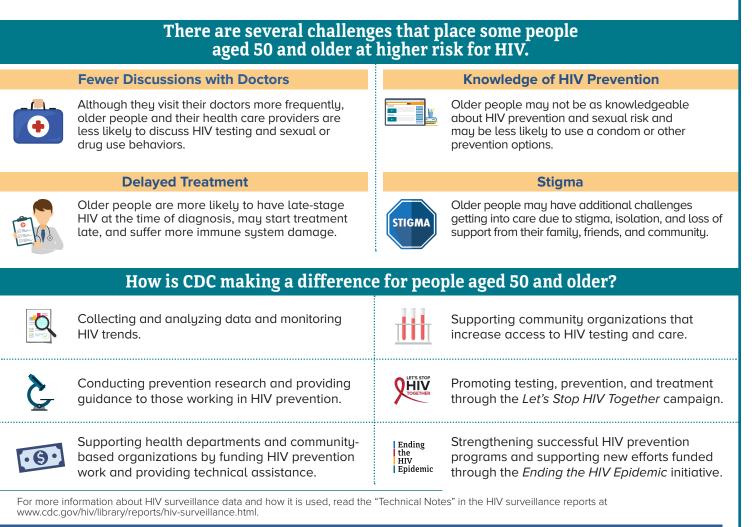
Compared to all people with HIV, people aged 55 and older have higher viral suppression rates. In 2018, for every **100 people aged 55 and older with HIV**: ‡







For comparison, for every **100 people overall** with HIV, **65 received some HIV care**, **50 were retained in care**, and **56 were virally suppressed**.



For more information visit www.cdc.gov/hiv



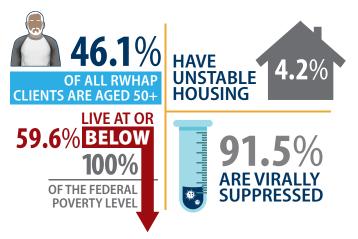
HRSA's Ryan White HIV/AIDS Program Older Adult Clients: Ryan White HIV/AIDS Program, 2018

Population Fact Sheet | January 2020

The Health Resources and Services Administration's Ryan White HIV/AIDS Program (RWHAP) provides a comprehensive system of HIV primary medical care, medications, and essential support services for low-income people with HIV. More than half the people with diagnosed HIV in the United States—approximately 519,000 people in 2018—receive services through RWHAP each year. The RWHAP funds grants to states, cities/counties, and local community-based organizations to provide care and treatment services to people with HIV to improve health outcomes and reduce HIV transmission among hard-to-reach populations.



Ryan White HIV/AIDS Program Fast Facts: Older Adult Clients



The RWHAP client population is aging. Of the more than half a million clients served by RWHAP, 46.1 percent are aged 50 years and older. Below are more details about this RWHAP client population:

The majority of RWHAP clients aged 50 years and older are from racial/ethnic minority populations. Among RWHAP clients aged 50 years and older, 68.2 percent are from racial/ethnic minority populations; 44.9 percent of RWHAP clients in this age group identify as black/African American, which is slightly lower than the national RWHAP average (47.1 percent). Additionally, 20.6 percent of RWHAP clients in this age group identify as Hispanic/Latino, which is slightly lower than the national RWHAP average (23.2 percent).

- The majority of RWHAP clients aged 50 years and older are male. Data show approximately 71.3 percent of clients aged 50 years and older are male, 27.7 percent are female, and 1.0 percent are transgender.
- The majority of RWHAP clients aged 50 years and older are low income. Among RWHAP clients aged 50 years and older, 59.6 percent are living at or below 100 percent of the federal poverty level, which is lower than the national RWHAP average (61.3 percent).
- Data show 4.2 percent of RWHAP clients aged 50 years and older have unstable housing. This percentage is slightly lower than the national RWHAP average (5.3 percent).

Medical care and treatment improve health outcomes and decrease the risk of HIV transmission. People with HIV who take HIV medication daily as prescribed and reach and maintain an undetectable viral load have effectively no risk of sexually transmitting the virus to an HIVnegative partner. In 2018, 91.5 percent of clients aged 50 years and older receiving RWHAP HIV medical care are virally suppressed,^{*} which is higher than the national RWHAP average (87.1 percent).

^{*} Viral suppression is defined as a viral load result of less than 200 copies/mL at most recent test, among people with HIV who had at least one outpatient ambulatory health services visit and one viral load test during the measurement year.

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2020 Houston HIV Care Services Needs Assessment: Profile of Youth and Aging PLWH

PROFILE OF YOUTH AND AGING WITH HIV

While HIV may affect people of all ages, the impact of HIV varies across age groups. The Centers for Disease Control and Prevention (**CDC**) report that youth aged 12 to 24 accounted for 21% of new HIV diagnoses in 2018 with 92% of youth new diagnoses occurring among young men who have sex with men (**MSM**).¹ Locally, the HIV diagnosis rate for youth aged 12 to 24 in the Houston Eligible Metropolitan Area (**EMA**) was 32.6 new diagnoses per 100,000 population, 20% higher than the population as a whole.²

People Living with HIV (**PLWH**) ages 45 to 54 within the Houston EMA in 2019 had a prevalence rate of 386.2 diagnosed cases per 100,000 population. Data about the needs and experiences of youth and those aging with HIV in the greater Houston area are of particular importance to local HIV planning as this information equips communities to tailor HIV prevention and care services to meet the markedly different yet equally critical needs of these age groups.

Proactive efforts were made to gather a representative sample of all PLWH in the 2020 Houston HIV Care

Services Needs Assessment as well as focus targeted sampling among key populations (See: *Methodology*, full document), and results presented throughout the full document include participants who were recently released. This profile highlights results *only* for participants who were youth or aging at the time of survey, as well as comparisons to the entire needs assessment sample.

Notes: "Youth" and "aging" are defined in this analysis as PLWH who indicated at survey that they were between 18 and 24 years of age for youth, and age 50 or over for aging. Data presented in this in the Demographics and Socio-Economic Characteristics section of this Profile represent the *actual* survey sample, rather than the *weighted* sample presented throughout the remainder of the Profile (See: *Methodology*, full document). Proportions are not calculated with a denominator of the total number of surveys for every variable due to missing or "checkall" responses.

¹ <u>https://www.cdc.gov/hiv/group/age/youth/index.html</u>

² Texas Department of State Health Services

DEMOGRAPHICS AND SOCIO-ECONOMIC CHARACTERISTICS

(**Table 1**) In total, 17 participants in the 2020 Houston HIV Care Services Needs Assessment were between the ages of 18 to 24 at the time of survey, while 353 were ages 50 and over. Youth comprised 3% of the total sample, while aging participants comprised 60%. This reflects the increasing number of aging PLLWH in the Houston area.

Eighty-nine percent (89%) of youth participants and 94% of aging participants were residing in Houston/Harris County at the time of data collection. As all needs assessment participants, the majority of youth and aging participants were male (84% and 66%) and African American/Black (53% and 62%). Among youth needs assessment participants, 19% reported not being retained in HIV care at the time of data collection. Among aging needs assessment participants, 13% reported not being retained in HIV medical care at the time of data collection.

Several differences were observed between these populations and the total sample. A greater proportion of youth participant's gender identities were reported as transgender/gender non-conforming (17% vs 4%), identified as multiracial (21% vs 4.7%), identified as gay/lesbian/bisexual/asexual (75% vs 39%). Compared to the total sample, a greater proportion of aging participants identified as heterosexual (61% vs 57%).

Several socio-economic characteristics of youth and aging participants were also different from all

participants. No youth participants reported having private health insurance, and a smaller proportion reported utilizing Ryan White Program services to pay for medical care compared to the total sample (50% vs 24%). Youth needs assessment participants also showed a large proportion of having no insurance compared to the total sample (13% vs 2%). The average annual income among those reporting income for the total sample was \$13,493, compared to \$9,513 among youth participants and \$12,011 among aging participants.

Characteristics of *youth* participants (as compared to all participants) can be summarized as follows:

- Residing in Houston/Harris County
- Male
- African American/Black
- Gay/lesbian/bisexual/asexual
- Transgender/gender non-conforming
- With higher occurrences of no health insurance coverage, and lower average annual income.

Characteristics of *aging* participants (as compared to all participants) can be summarized as follows:

- Residing in Houston/Harris County
- Male
- African American/Black
- Heterosexual
- With lower occurrences of no health insurance coverage, and slightly lower average annual income.

 TABLE 1-Select Participant Characteristics among Youth (18-24) and Aging (50+) participants, Houston Area HIV Needs

 Assessment, 2016

| Assessment, 20 | 10 | | | | | | | | | | |
|--|------------|--------------|------------|--------------------------------------|------------|------------|------------|---|------------|------------|------------|
| | Youth % | Aging % | Total % | | Youth % | Aging % | Total % | | Youth % | Aging % | Total % |
| County of residen | се | | - | Sex at birth | | | | Primary race/eth | nicity | | |
| Harris | 89% | 94% | 95% | Male | 84% | 66% | 66% | White | 11% | 17% | 14% |
| Montgomery | 5% | 1% | 1% | Female | 16% | 34% | 34% | African American/Black | 53% | 62% | 60% |
| Walker | 5% | 0% | 1% | Intesex | 0% | 0% | 0% | Hispanic/Latino | 5% | 14% | 21% |
| Fort Bend | 0% | 2% | 2% | Other | 0% | 0% | 0% | Asian American | 5% | 1% | 1% |
| Other | 0% | 3% | 1.6% | Transgender/Gender Non-Conforming | 17% | 2% | 4% | Native American or Native Alaskan | 0% | 1% | 1% |
| | | | | Currently pregnant | 0% | 0% | 2% | | | | |
| Sexual orientation | 1 | | | Health insurance (mu response) | ltiple | - | | Immigration stat | | | |
| Heterosexual | 22% | 61% | 57% | Private insurance | 0% | 7% | 9% | Born in the U.S. | 100 % | 89% | 88% |
| Gay/Lesbian | 44% | 28% | 30% | Medicaid/Medicare | 21% | 56% | 67% | Citizen > 5 years | 0% | 10% | 10% |
| Bisexual/Pansexu al | 28% | 9% | 9% | Harris Health System | 17% | 21% | 29% | Citizen < 5 years | 0% | 1% | 1% |
| Other | 6% | 2% | 3.8% | Ryan White Only | 50% | 10% | 24% | Visa (student, work, tourist, etc.) | 0% | 0% | 0.2% |
| | | | | VA | 0% | 3% | 3% | Prefer not to answer | 0% | 3% | 0.7% |
| MSM | 77% | 37% | 43% | | | | | | | | |
| Yearly income (ave Yearly income – Yo Yearly income – Ag | outh (ave | rage: \$12,0 | | | | - | - | • | | | |
| Federal Poverty L | evel (FP | L) | | | | | | | | | |
| Below 100% | 100 % | 64% | 67% | | | | | | | | |
| 100% | 0% | 19% | 19% | | | | | | | | |
| 150% | 0% | 5% | 6% | | | | | | | | |
| 200% | 0% | 4% | 5% | | | | | | | | |
| 250% | 0% | 0% | 0.7% | | | | | | | | |
| ≥300% | 0% | 8% | 2% | | | | | | | | |
| | | | | 1 | | | | | | | |

BARRIERS TO RETENTION IN CARE

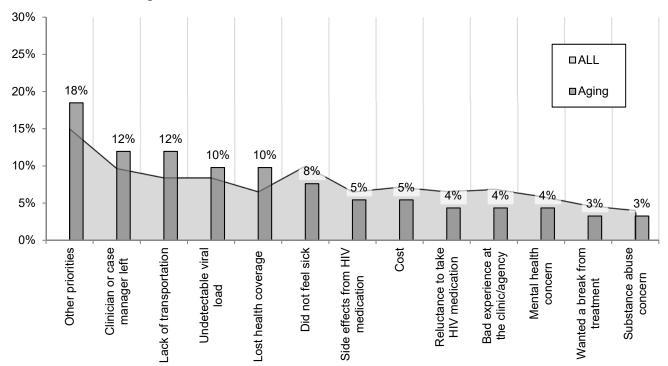
As in the methodology for all needs assessment participants, results presented in the remaining sections of this Profile were statistically weighed using current HIV prevalence for the Houston EMA (2018) in order to produce proportional results (see: *Methodology*, full document).

While 67% of all needs assessment participants reported no interruptions in their HIV care for 12 months or more since their diagnosis, 81% of youth and 70% of aging needs assessment participants reported no interruption in care. Those who reported a break in HIV care for 12 months or more since first entering care were asked to identify the reasons for falling out of care. Thirteen commonly reported reasons were included as options in the consumer survey. Participants also had to the option to write in their reasons as well. (**Graph 1**) The sample of youth participants with a history of interruption in care was too small to compare to the aging participants and the total sample and therefore are not reported on in this section. Among aging participants, other priorities were the most cited reason for a break in HIV medical care (18%). Other reasons for a break in HIV medical care were the clinician or case manager left the clinic/agency (12%), lack of transportation (12%), and having an undetectable viral load (10%).

Compared to the total sample, a greater proportion of aging needs assessment participants reported falling of care due to lack of transportation (12% vs 8%), other priorities (18% vs 15%), and loss of health coverage (10% vs 7%). Write-in responses that were provided by participants included being incarcerated, not wanting or being ready to start HIV medical care, it was hard to find a clinic or provider, and loss of loved ones as reported barriers to retention in HIV medical care.

GRAPH 1-Reasons for Falling Out of HIV Care among Aging PLWH in the Houston Area, 2020

Definition: Percent of times each item was reported by aging needs assessment participants as the reason they stopped their HIV care for 12 months or more since first entering care.



OVERALL RANKING OF FUNDED SERVICES, BY NEED

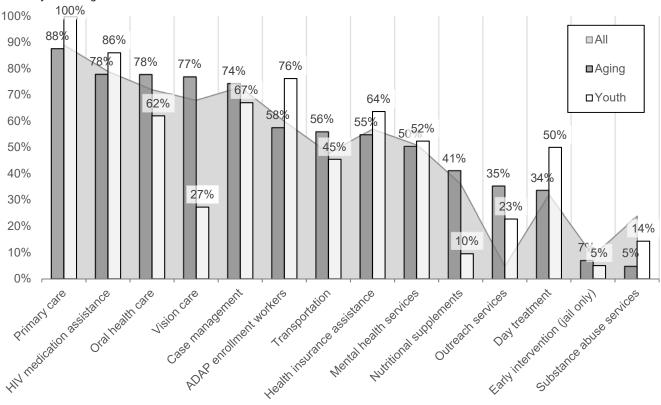
In 2020, 16 HIV core medical and support services were funded through the Houston Area Ryan White HIV/AIDS Program, and housing services were provided through the local HOPWA program. Participants of the 2020 Houston HIV Care Services Needs Assessment were asked to indicate which of these funded services they needed in the past 12 months.

(**Graph 2**) Like the total sample, youth and aging needs assessment participants identified primary care as the most needed Ryan White funded service (100% of youth and 88% of aging participants). For youth, local HIV medication assistance (86%), ADAP enrollment

workers (76%), and case management (67%) followed in ranking of need. For aging participants, local HIV medication assistance (78%), oral health care (78%), and vision care (78%) followed in ranking of need.

Compared to the total sample, higher proportions of youth participants indicated needing day treatment (50% vs 32%), outreach services (23% vs 5%), ADAP enrollment workers (76% vs 60%), primary care (100% vs 89%). Among aging needs assessment participants, a greater proportion indicated needing outreach services (35% vs 5%), vision care (77% vs 68%), and transportation (56% vs 48%).





Other Identified Needs

In 2020, 10 other/non-Ryan White funded HIV related services were assessed to determine emerging needs for PLWH in the Houston area. Participants of the 2020 Houston HIV Care Services Needs Assessment were asked to indicate which of these other/non-Ryan White funded HIV related services they needed in the past 12 months.

(**Graph 3**) From the 10 other/non-Ryan White funded HIV related services, the greatest proportion of youth participants reported needing housing (33%), food bank (32%), and health education and risk reduction services (18%). Among the aging needs assessment

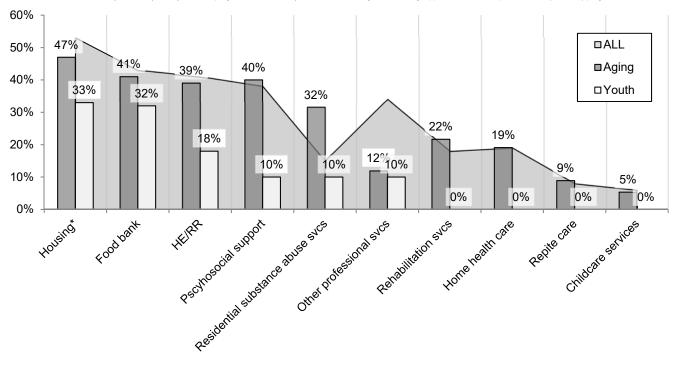
participants, the greatest reported non-Ryan White funded HIV related services were housing (47%), food bank (41%), and psychosocial support services (40%).

Aging participants reported comparable need for other services compared to the total sample, youth needs assessment participants expressed a lower need for other/non-Ryan White funded HIV-related services. Youth needs assessment participants reported a lower proportion of need for health education and risk reduction services (10% vs 41%), other professional services (10% vs 34%), and housing (33% vs 53%) when compared to the total sample.

GRAPH 3-Other Needs for HIV Services among Youth (13-24) and Aging (50+) PLWH in the Houston Area, 2020

Definition: Percent of youth and aging needs assessment participants, who selected each service in response to the survey question, "What other kinds of services do you need to help you get your HIV medical care?"

These services are not currently funded by the Ryan White program; however, they are available through the Housing Opportunities for People with AIDS (HOPWA) program.

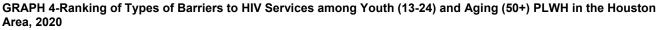


OVERALL BARRIERS TO HIV CARE

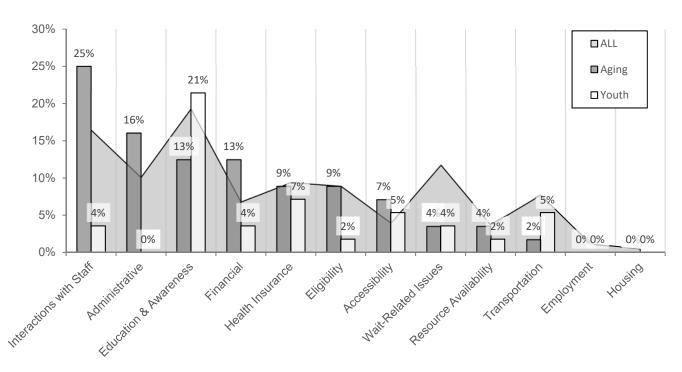
The 2020 Houston Area HIV Needs Assessment process continued the practice of reporting difficulty accessing needed services to provide a brief description of the barrier or barriers encountered, rather than select from a list of pre-selected barriers. Staff used recursive abstraction to categorize participant descriptions into 29 distinct barriers. These barriers were then grouped together into 12 nodes, or barrier types.

(**Graph 4**) Youth participants most often cited barriers related to service education and awareness issues (21%), and issues regarding health insurance (7%). Service education and awareness barriers among youth participants pertained mostly to not knowing who to contact for services, as well as not knowing that the service was available. While barriers related to health insurance among youth pertained mostly to health insurance gaps (certain services/medications not covered by the participants current health insurance) and being uninsured.

Aging needs assessment participants most often cited barriers related to interactions with staff (25%), administrative issues (16%), service education and awareness issues and issues related to finances (both 13%). Aging participants reported that issues relating to interactions with staff mainly pertained to poor treatment, staff having limited or no knowledge of services, and poor correspondence or follow-up from staff. Issues related to administrative issues reported by aging participants were complex processes at the clinic/agency, and understaffing. Education and awareness issues reported by aging participants were related to not knowing that a service was available.



Definition: Percent of times each barrier type was reported by youth and aging needs assessment participants, regardless of service, when difficulty accessing needed services was reported.



ЩDХ

HIV and Youth

Of the **37,832 NEW HIV DIAGNOSES** in the US and dependent areas* in 2018, 21% were among youth. ⁺

| Most new HIV d gay and bisexua | iagnoses amo l men. ‡ ** | ng yout | th we | re amoi | ıg you | ing 🛔 | | | |
|---|-----------------------------|------------------|--------------------|------------------------------------|------------------|----------|---|------------|-----|
| | YOUNG MEN (N=6,829 |) | | | YOUNG | WOMEN (N | =978) | | |
| Male-to-Male Sexual Contact | | 92% (6,28 | 84) | Heterosexual Contact | | | 85% (83 | 6) | |
| Male-to-Male Sexual Contact and Injection Drug Use | 3% (213) | | | Injection Drug Use | | % (122) | | | |
| Heterosexual Contact | 3% (210) | | | Other ** | 2% (20) | | | | |
| Injection Drug Use | 2% (107) | | | | | | | | |
| Other ** | <1% (15) | | | | | | | | |
| | Toto | ıls may not equa | al 100% due | to rounding. | | | | | |
| HIV diagnoses declined 10% | among youth overal | | | | | | | | th. |
| HIV diagnoses declined for g | | by HIV, inc | cluding y | oung black | /African | Americar | i gay and bis | exual men. | |
| | roups most affected | Tre | ends fo | oung black or Young Race and | Gay a | nd Bise | | exual men. | |
| HIV diagnoses declined for g | roups most affected | Tre | ends fo | or Young | Gay a | nd Bise | | | |
| HIV diagnoses declined for g | roups most affected | Tre | ends fo | or Young | Gay a | nd Bise | xual | | |
| HIV diagnoses declined for g Trends by 2 2010 	2017 | roups most affected Sex | Tre | ends fo | or Young | Gay a | nd Bise | xual | | |
| HIV diagnoses declined for g Trends by 5 2010 	2017 | roups most affected Sex | Tre | ends fo | or Young Race and | Gay a | nd Bise | xual | | |
| HIV diagnoses declined for g Trends by 5 2010 	2017 | roups most affected Sex | Tre | ends fo en by R | or Young Race and | Gay ai Ethnic | nd Bise | xual 2010 43% Native Hawaiian and Other | | |

National Center for HIV/AIDS, Viral Hepatitis, STD, and TB Prevention

Division of HIV/AIDS Prevention

21%

Youth who don't know they have HIV cannot get the care and treatment they need to stay healthy.

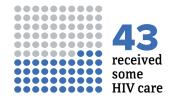


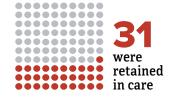
At the end of 2016, an estimated 1.1 MILLION PEOPLE had HIV.[#] Of those, 50,900 were young people.

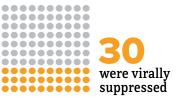


Youth were the least likely to be aware of their infection compared to any other age group. It is important for youth to know their HIV status so they can take medicine to treat HIV if they have the virus. Taking HIV medicine every day can make the viral load undetectable. Youth who get and keep an undetectable viral load (or stay virally suppressed) have effectively no risk of transmitting HIV to HIV-negative sex partners.

Compared to all people with HIV, youth have the lowest rates of viral suppression. **For every 100 youth with HIV:** #







For comparison, for every **100 people overall** with HIV, **64 received some HIV care**, **49 were retained in care**, and **53 were virally suppressed**.

Several challenges make it difficult for youth to access the tools they need to reduce their risk or get treatment and care if they have HIV.



Low Rates of HIV Testing

HIV testing rates among high school students are low. People who do not know they have HIV cannot take advantage of HIV care and treatment and may unknowingly transmit HIV to others.

Low Rates of PrEP Use

Young people are less likely than adults to use medicine to prevent HIV. Barriers include cost, access, perceived stigma, and privacy concerns.

A lii w b

Among people with HIV, young people are more likely than older people to be living in households with low income levels, to have been recently homeless, recently incarcerated, or uninsured. These factors pose barriers to achieving viral suppression.

High Rates of Other STDs

Socioeconomic Challenges



Some of the highest STD rates are among youth aged 20 to 24. Having another STD can greatly increase the chance of getting or transmitting HIV.

| | How is CDC making a difference for youth? | | | | | | | | | | |
|-------------|---|----------------------------------|---|--|--|--|--|--|--|--|--|
| | Collecting and analyzing data and monitoring HIV trends. | | Supporting community organizations that increase access to HIV testing and care. | | | | | | | | |
| Ś | Conducting prevention research and providing guidance to those working in HIV prevention. | LET'S STOP HIV TOGETHER | Promoting testing, prevention, and treatment through the <i>Let's Stop HIV Together</i> campaign. | | | | | | | | |
| • 50 | Supporting health departments and community- based organizations by funding HIV prevention work and providing technical assistance. | Ending the HIV Epidemic | Strengthening successful HIV prevention programs and supporting new efforts funded through the <i>Ending the HIV Epidemic</i> initiative. | | | | | | | | |
| For more in | formation about HIV surveillance data and how it is used, read the | "Technical Not | es" in the HIV surveillance reports at | | | | | | | | |

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For more information visit www.cdc.gov/hiv



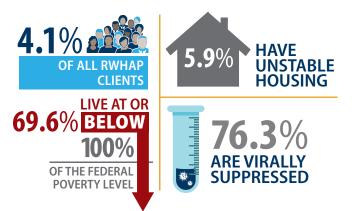
HRSA's Ryan White HIV/AIDS Program Youth and Young Adult Clients: Ryan White HIV/AIDS Program, 2018

Population Fact Sheet | January 2020

The Health Resources and Services Administration's Ryan White HIV/AIDS Program (RWHAP) provides a comprehensive system of HIV primary medical care, medications, and essential support services for low-income people with HIV. More than half the people with diagnosed HIV in the United States—approximately 519,000 people in 2018—receive services through RWHAP each year. The RWHAP funds grants to states, cities/counties, and local community-based organizations to provide care and treatment services to people with HIV to improve health outcomes and reduce HIV transmission among hard-to-reach populations.



Ryan White HIV/AIDS Program Fast Facts: Youth and Young Adult Clients



Youth and young adults aged 13–24 years represent 4.1 percent (nearly 22,000 clients) of the more than half a million RWHAP clients. Below are more details about this RWHAP client population:

- The majority of RWHAP clients aged 13–24 years are from racial/ ethnic minority populations. Among clients in this age group, 87.1 percent are from racial/ethnic minority populations. Nearly twothirds (61.4 percent) of youth and young adult clients identify as black/African American, which is higher than the national RWHAP average (47.1 percent). Hispanics/Latinos represent 21.6 percent of youth and young adult RWHAP clients, which is slightly lower than the national RWHAP average (23.2 percent).
- The majority of RWHAP clients aged 13–24 years are male. Data show that 73.6 percent of clients aged 13–24 years are male, 23.3 percent are female, and 3.1 percent are transgender.

- The majority of RWHAP clients aged 13–24 years are low income. Of youth and young adult RWHAP clients, 69.6 percent are living at or below 100 percent of the federal poverty level, which is higher than the national RWHAP average (61.3 percent).
- Data show that 5.9 percent of RWHAP clients aged 13–24 years have unstable housing. This percentage is slightly higher than the national RWHAP average (5.3 percent).

Medical care and treatment improve health outcomes and decrease the risk of HIV transmission. People with HIV who take HIV medication daily as prescribed and reach and maintain an undetectable viral load have effectively no risk of sexually transmitting the virus to an HIV-negative partner. In 2018, 76.3 percent of clients aged 13–24 years receiving RWHAP HIV medical care are virally suppressed,* which is significantly lower than the national RWHAP average (87.1 percent).

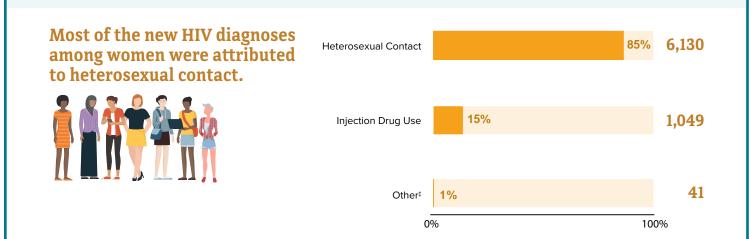
- 78.3 percent of young men who have sex with men (MSM) receiving RWHAP HIV medical care are virally suppressed.
- 74.8 percent of young black/African American MSM receiving RWHAP HIV medical care are virally suppressed.
- 72.1 percent of young black/African American women receiving RWHAP HIV medical care are virally suppressed.
- 68.0 percent of transgender youth and young adults receiving RWHAP HIV medical care are virally suppressed.

^{*} Viral suppression is defined as a viral load result of less than 200 copies/mL at most recent test, among people with HIV who had at least one outpatient ambulatory health services visit and one viral load test during the measurement year.

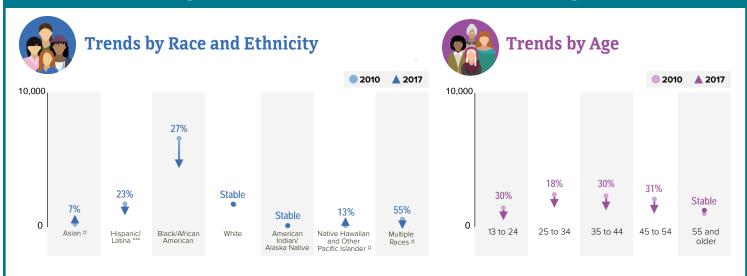
HIV and Women



Of the 37,832 NEW HIV DIAGNOSES in the US and dependent areas* in 2018, 19% were among women.*



HIV diagnoses declined 23% among women overall from 2010 to 2017. ** Although trends varied for different groups of women, HIV diagnoses declined for groups most affected by HIV, including black/African American⁺⁺ women and women aged 25 to 34.



American Samoa, Guam, the Northern Mariana Islands, Puerto Rico, the Republic of Palau, and the US Virgin Islands.

Adult and adolescent women aged 13 and older.

ŧ Includes hemophilia, blood transfusion, perinatal exposure, and risk factors not reported or not identified.

In 50 states and the District of Columbia.

Black refers to people having origins in any of the black racial groups of Africa. African American is a term often used for ++ Americans of African descent with ancestry in North America.

[#] Changes in subpopulations with fewer HIV diagnoses can lead to a large percentage increase or decrease.

*** Hispanic women/Latinas can be of any race.

National Center for HIV/AIDS, Viral Hepatitis, STD, and TB Prevention

Division of HIV/AIDS Prevention

Women who don't know they have HIV cannot get the care and treatment they need to stay healthy.

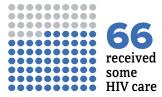


At the end of 2016, an estimated **1.1 MILLION PEOPLE** had HIV. ** Of those, 258,000 were women.



It is important for women to know their HIV status so they can take medicine to treat HIV if they have the virus. Taking HIV medicine every day can make the viral load undetectable. Women who get and keep an undetectable viral load (or stay virally suppressed) have effectively no risk of transmitting HIV to HIV-negative sex partners.

When compared to people overall with HIV, women have about the same viral suppression rates. But more work is needed to increase these rates. In 2016, for **every 100 women with HIV**: **





53 were virally suppressed

For comparison, for every **100 people overall** with HIV, **64 received some HIV care**, **49 were retained in care**, and **53 were virally suppressed**.

There are several challenges that place women at higher risk for HIV.

Other Sexually Transmitted Diseases (STDs)

•

Having another STD, such as gonorrhea and syphilis, can increase the chance of getting or transmitting HIV.

Risk of Exposure

Because receptive sex is riskier than insertive sex, women have a higher risk of getting HIV during vaginal or anal sex than their sex partner.

Unaware of Partner's Risk Factors



Some women don't know their male partner's risk factors for HIV (such as injection drug use or having sex with men) and may not use protection (like condoms or medicine to prevent HIV).

History of Sexual Abuse



Women who have been sexually abused are more likely to engage in risky behaviors like exchanging sex for drugs or having multiple sex partners.

| | How is CDC making a difference for women? | | | | | | | | | | |
|-------------|---|----------------------------------|---|--|--|--|--|--|--|--|--|
| | Collecting and analyzing data and monitoring HIV trends. | | Supporting community organizations that increase access to HIV testing and care. | | | | | | | | |
| Ś | Conducting prevention research and providing guidance to those working in HIV prevention. | LET'S STOP HIV TOGETHER | Promoting testing, prevention, and treatment through the <i>Let's Stop HIV Together</i> campaign. | | | | | | | | |
| •9• | Supporting health departments and community- based organizations by funding HIV prevention work and providing technical assistance. | Ending the HIV Epidemic | Strengthening successful HIV prevention programs and supporting new efforts funded through the <i>Ending the HIV Epidemic</i> initiative. | | | | | | | | |
| For more ir | formation about HIV surveillance data and how it is used, read the | "Technical Not | - es" in the HIV surveillance reports at | | | | | | | | |

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For more information visit www.cdc.gov/hiv



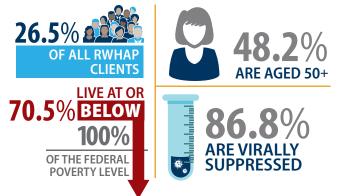
HRSA's Ryan White HIV/AIDS Program Female Clients: Ryan White HIV/AIDS Program, 2018

Population Fact Sheet | January 2020

The Health Resources and Services Administration's Ryan White HIV/AIDS Program (RWHAP) provides a comprehensive system of HIV primary medical care, medications, and essential support services for low-income people with HIV. More than half the people with diagnosed HIV in the United States—approximately 519,000 people in 2018—receive services through RWHAP each year. The RWHAP funds grants to states, cities/counties, and local community-based organizations to provide care and treatment services to people with HIV to improve health outcomes and reduce HIV transmission among hard-to-reach populations.



Ryan White HIV/AIDS Program Fast Facts: Female Clients



Females comprise a substantial proportion of RWHAP clients. Of the more than half a million clients served by RWHAP, 26.5 percent are female.

More details about this RWHAP client population are outlined below:

The majority of female clients served by RWHAP are from racial/ ethnic minority populations. The data show that 84.0 percent of female clients are from racial/ethnic minority populations. 62.1 percent of female clients identify as black/African American, which is higher than the national RWHAP average (47.1 percent), and 19.0 percent of female clients identify as Hispanic/Latino, which is lower than the national RWHAP average (23.2 percent).

- The majority of female clients served by RWHAP are low income. Among female clients served, 70.5 percent are living at or below 100 percent of the federal poverty level, which is higher than the national RWHAP average (61.3 percent).
- The data show that 4.2 percent of female RWHAP clients have unstable housing. This is slightly lower than the national RWHAP average (5.3 percent).
- The RWHAP female client population is aging. Among female RWHAP clients served, 48.2 percent are aged 50 years and older, whereas only 3.6 percent of female RWHAP clients are aged 13–24 years.

Medical care and treatment improve health outcomes and decrease the risk of HIV transmission. People with HIV who take HIV medication daily as prescribed and reach and maintain an undetectable viral load have effectively no risk of sexually transmitting the virus to an HIV-negative partner. In 2018, approximately 86.8 percent of female clients receiving RWHAP HIV medical care are virally suppressed,⁺ which is slightly lower than the national RWHAP average (87.1 percent).

^{*} Viral suppression is defined as a viral load result of less than 200 copies/mL at the most recent test, among people with HIV who had at least one outpatient ambulatory health services visit and one viral load test during the measurement year.

HIV and Pregnant Women, Infants, and Children

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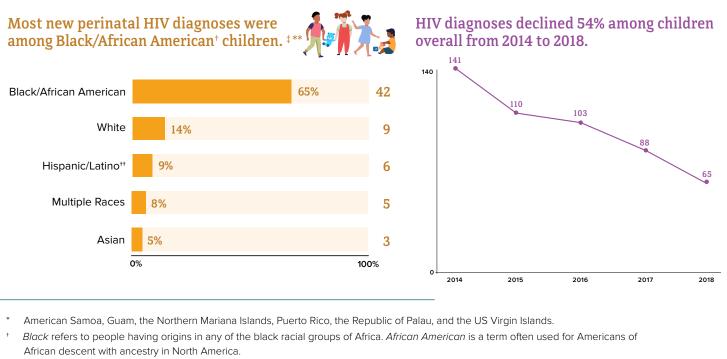
HIV can be passed from mother-to-child anytime during pregnancy, childbirth, and breastfeeding. This is called *perinatal transmission*.

BUT THERE IS GOOD NEWS:

For a woman with HIV, the risk of transmitting HIV to her baby can be **1% OR LESS** if she:

- Takes HIV medicine as prescribed throughout pregnancy, birth, and delivery.
- Gives HIV medicine to her baby for 4 to 6 weeks after giving birth.
- Does NOT breastfeed or pre-chew her baby's food.

Of the **37,968 NEW HIV DIAGNOSES** in the US and dependent areas* in 2018, <1% (65) were due to perinatal transmission.

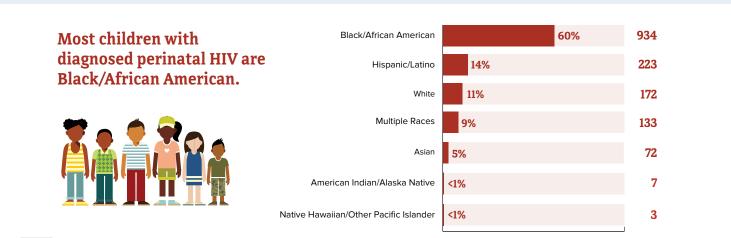


- [‡] Children under the age of 13.
- ** In 2018, there were no cases of perinatal HIV among Native Hawaiians/Other Pacific Islanders and American Indians/Alaska Natives.
- ⁺⁺ Hispanics/Latinos can be of any race.

National Center for HIV/AIDS, Viral Hepatitis, STD, and TB Prevention Division of HIV/AIDS Prevention



Of the **1,042,270 people with diagnosed HIV** at the end of 2018, <1% (1,544) were among children with diagnosed perinatal HIV.



If you are pregnant or planning to get pregnant, **get tested for HIV** as soon as possible. If you have HIV, the sooner you start treatment the better—for your health and your baby's health and to prevent transmitting HIV to your sex partner. If you don't have HIV, but your partner does, ask your doctor about medicine to prevent getting HIV called pre-exposure prophylaxis (PrEP).

There are several challenges that place some babies at risk for HIV.

Unaware of HIV Status

Pregnant women with HIV may not know they have the virus. CDC recommends HIV testing for all women as part of routine prenatal care.

Not Taking HIV Medicine as Prescribed



To get the full protective benefit of HIV medicine, the mother needs to take it as prescribed throughout pregnancy and childbirth and give HIV medicine to her baby after delivery.

Unsure of the Care They Need



Women with HIV may not know they are pregnant, how to prevent or safely plan a pregnancy, or what they can do to reduce the risk of transmitting HIV to their baby.

Social and Economic Factors



Pregnant women with HIV may face more barriers to accessing medical care and staying on treatment.

| | How is CDC making a difference for | pregnant | women and their babies? |
|-------|---|----------------------------------|---|
| | Collecting and analyzing data and monitoring HIV trends. | | Supporting community organizations that increase access to HIV testing and care. |
| ځ | Conducting prevention research and providing guidance to those working in HIV prevention. | LET'S STOP HIV TOGETHER | Promoting testing, prevention, and treatment through the <i>Let's Stop HIV Together</i> campaign. |
| • 9 • | Supporting health departments and community- based organizations by funding HIV prevention work and providing technical assistance. | Ending the HIV Epidemic | Strengthening successful HIV prevention programs and supporting new efforts funded through the <i>Ending the HIV Epidemic</i> initiative. |

For more information about HIV surveillance data and how it is used, read the "Technical Notes" in the HIV surveillance reports at www.cdc.gov/hiv/library/reports/hiv-surveillance.html.

For more information visit www.cdc.gov/hiv

ABOUT HALF LIVED IN THE SOUTH

HIV and Transgender People

HIV Diagnoses in the US, 2009-2014 2,351 TRANSGENDER PEOPLE RECEIVED AN HIV DIAGNOSIS. OF THESE:

84% WERE TRANSGENDER WOMEN

15% WERE TRANSGENDER MEN*

Transgender: people whose gender identity or expression is different from their sex assigned at birth.

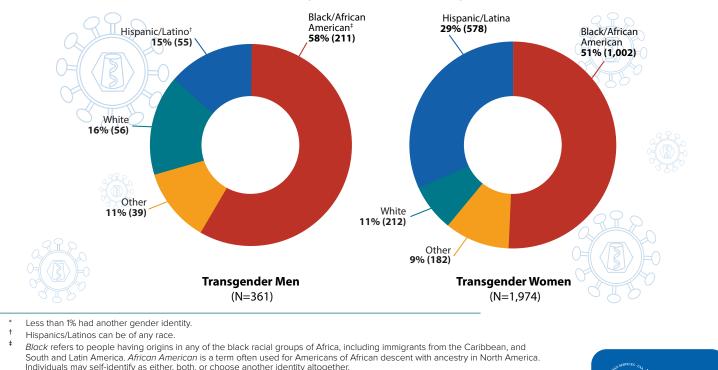
Gender identity: person's internal understanding of their own gender.

Gender expression: person's outward presentation of their gender (example, how they dress).

Transgender women: people who were assigned the male sex at birth but identify as women.

Transgender men: people who were assigned the female sex at birth but identify as men.

HIV Diagnoses Among Transgender People in the United States by Race/Ethnicity, 2009-2014



National Center for HIV/AIDS, Viral Hepatitis, STD, and TB Prevention Division of HIV/AIDS Prevention Around 1.1 million people are living with HIV in the US. People with HIV need to know their HIV status so they can take medicine to treat HIV. Taking HIV medicine as prescribed can make the level of virus in their body very low (called viral suppression) or even undetectable.



A person with HIV who gets and stays virally suppressed or undetectable can stay healthy and has effectively no risk of transmitting HIV to HIV-negative partners through sex.

Why are transgender people at higher risk?

- Some things that may put transgender people at higher risk for getting or transmitting HIV include multiple sexual partners, having anal or vaginal sex without protection** (like a condom or medicine to prevent or treat HIV), and sharing needles, syringes, or other equipment to inject hormones or drugs. Other factors may include commercial sex work, mental health issues, high levels of substance misuse, homelessness, and unemployment.
- Many transgender people face stigma, discrimination, social rejection, and exclusion. These factors may affect their well-being and put them at increased risk for HIV.
- HIV prevention programs designed for other at-risk groups may not address all the needs of transgender people.
- When health care providers are not knowledgeable about transgender issues, this can be a barrier for transgender people with HIV who are looking for treatment and care.
- Due to certain barriers transgender men and women face, current testing programs may not reach enough people in this population.
- The sexual health of transgender men and transgender and gender minority youth has not been well studied. More research is needed to understand their HIV risk behaviors.
- Transgender women and men might not fully engage in medical care.

How is CDC making a difference?

- Conducting prevention research and providing guidance to those working in HIV prevention.
- Supporting health departments and community organizations by funding HIV prevention work for transgender people and providing technical assistance.
- Helping health care providers improve care for transgender people with HIV.
- Promoting testing, prevention, and treatment through campaigns like Act Against AIDS.

Visit **www.cdc.gov/hiv** for more information about CDC's HIV prevention activities among transgender people.

- ^{**} It is important to avoid assumptions regarding the types of sexual activity that transgender people engage in or how they may refer to their body parts.
 ^{**} Estimate for transgender women overall includes laboratory-confirmed infections only. Estimates by
- race/ethnicity include laboratory-confirmed and self-reported infections.

Reduce Your Risk

Not having sex

Using

condoms

Not sharing

or treat HIV

Taking medicine to prevent ——

syringes



It is usually spread by anal or vaginal sex or sharing syringes with a person who has HIV. The only way to know you have HIV is to be tested. Everyone aged 13-64 should be tested at least once, and people at high risk should be tested at least once a year. Ask your doctor, or visit **gettested.cdc.gov** to find a testing site. Without treatment, HIV can make a person very sick or may even cause death. If you have HIV, start treatment as soon as possible to stay healthy and help protect your partners.

For More Information

Call 1-800-CDC-INFO (232-4636) Visit www.cdc.gov/hiv

According to current estimates, about 14% of transgender women in the US have HIV.

> An estimated 44% of black/African American transgender women have HIV—the highest percentage among all transgender women.⁺⁺



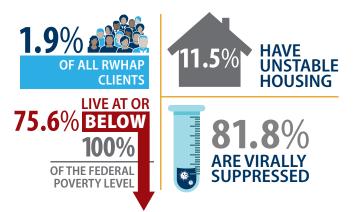
HRSA's Ryan White HIV/AIDS Program Transgender Clients: Ryan White HIV/AIDS Program, 2018

Population Fact Sheet | January 2020

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Ryan White HIV/AIDS Program Fast Facts: Transgender Clients



Of the more than half a million clients served by RWHAP, 1.9 percent are transgender, representing approximately 10,200 clients. Below are more details about this RWHAP client population:

The majority of transgender clients served by RWHAP are from racial/ethnic minority populations. Among the transgender clients served, 88.1 percent are from racial/ethnic minority populations; 54.0 percent of transgender clients identify as black/African American and 29.4 percent identify as Hispanic/Latino, both of which are higher than the national RWHAP averages (47.1 percent and 23.2 percent, respectively).

- The majority of transgender clients served by RWHAP are low income. Among transgender RWHAP clients served, 75.6 percent live at or below 100 percent of the federal poverty level, which is higher than the national RWHAP average (61.3 percent).
- Data show that 11.5 percent of transgender RWHAP clients have unstable housing. This percentage is substantially higher than the national RWHAP average (5.3 percent).
- The transgender client population is younger than the average for RWHAP clients. Approximately 25.1 percent of RWHAP transgender clients are aged 50 years and older.

Medical care and treatment improve health outcomes and decrease the risk of HIV transmission. People with HIV who take HIV medication daily as prescribed and reach and maintain an undetectable viral load have effectively no risk of sexually transmitting the virus to an HIVnegative partner. Among the transgender clients receiving RWHAP HIV medical care in 2018, 81.8 percent are virally suppressed,^{*} which is lower than the national RWHAP average (87.1 percent).

^{*} Viral suppression is defined as a viral load result of less than 200 copies/mL at most recent test, among people with HIV who had at least one outpatient ambulatory health services visit and one viral load test during the measurement year.

2020 Houston HIV Care Services Needs Assessment: Profile of Transgender and Gender Non-conforming Individuals

PROFILE OF TRANSGENDER AND GENDER NON-CONFORMING INDIVIDUALS

A persistent challenge to designing HIV prevention and care services that meet the needs of all Houston area people living with HIV (PLWH) is the lack of epidemiological and surveillance data that accurately reflect the burden of HIV among transgender and gender non-conforming PLWH. A 2013 meta-analysis indicated a heavily disproportionate HIV burden among transgender women in the United States, estimating that 21.7% (1 in 5) of transgender women are living with HIV (Baral, et al., 2013). While included in most state and national surveillance datasets, transgender women living with HIV are categorized as male and men who have sex with men (MSM) by sex at birth and risk factor. Transgender MSM are often categorized as female with heterosexual risk factor. Gender non-conforming or non-binary individuals are included, but are only represented by sex at birth, not current gender identity. Data about service needs and barriers transgender and gender non-conforming PLWH in the Houston area encounter is of particular importance to local HIV planning as this information equips communities to provide prevention and care the unique services that meet needs of disproportionately affected gender minority groups.

Proactive efforts were made to gather a representative sample of all PLWH in the 2020 Houston HIV Care Services Needs Assessment as well as focus targeted sampling among key populations (See: *Methodology*, full document), and results presented throughout the full document include participants who were transgender or gender non-conforming. This Profile highlights results *only* for participants who were transgender or gender non-conforming, as well as comparisons to the entire needs assessment sample.

Notes: "Transgender" and "gender non-conforming" are defined in this analysis as PLWH who indicated having a primary gender identity or gender expression at the time of survey that differed from the participant's reported sex they were assigned at birth, including an option for "intersex". As such, participants who selfidentify as transgender or gender non-conforming but who did not meet this analysis criterion may be excluded. Care should be taken in applying the results presented in this profile to the Houston area transgender and gender non-conforming PLWH population as a whole due to small sample size. Data presented in this in the Demographics and Socio-Economic Characteristics section of this Profile represent the actual survey sample, rather than the weighted sample presented throughout the remainder of the Profile (See: Methodology, full document). Proportions are not calculated with a denominator of the total number of surveys for every variable due to missing or "check-all" responses.

DEMOGRAPHICS AND SOCIO-ECONOMIC CHARACTERISTICS

(**Table 1**) In total, 22 participants in the 2020 Houston HIV Care Services Needs Assessment were identified as transgender or gender non-conforming, comprising 4% of the total sample.

At the time of data collection, 91% of transgender and gender non-conforming participants lived within Houston/Harris County, 48% identified as Black/African American, and 41% were between the ages of 35-49. The majority of transgender and gender non-conforming participants were assigned male at birth (91%). Among the transgender and gender nonconforming participants sampled, 50% identified as transgender female, 9% identified as transgender male, and 36% identified as other/non-conforming. Seven percent (93%) of transgender and gender nonconforming participants reported being in HIV medical care, and the majority of had public health insurance through Medicaid or Medicare (37%), the Harris Health System (Gold Card) (27%), and the Ryan White Program (23%).

Compared to all needs assessment participants, a greater proportion of transgender and gender nonconforming participants displayed a wider variety in sexual orientation with "other" or write-in responses including "transgender", "human", "queer" and "transsexual" when compared to the total needs assessment sample (38% vs 3.8%).

A lower proportion of transgender and gender nonconforming participants were below 100% of the Federal Poverty Line (**FPL**), identified as Black/African American (48% vs 60%), and were seniors (greater than fifty years old) (11% vs 60%) when compared to the total sample of the needs assessment.

Though representing a very small overall number, the proportion of transgender and gender non-conforming participants recently released from incarceration was the same as when compared to the total sample (both 11%). Similarities between the total sample and transgender and gender non-conforming participants and the total sample were also seen in the proportion of participants that were not currently retained in care (both 7%).

Characteristics of transgender and gender nonconforming participants (as compared to all participants in general) can be summarized as follows:

- Residing in Houston/Harris County
- Male at birth
- Transgender female
- African American/Black
- Adults between the ages of 35 and 49
- Self-identified as a wide variety of other sexual identities
- Similar occurrences of recent release from incarceration and not being retained in care when compared to the total sample

 TABLE 1-Select Characteristics among Transgender and Gender Non-Conforming Participants, Houston Area HIV Needs

 Assessment, 2020

| | No. | TG / GN % | Total % | | No. | TG / GN % | Total % | | No. | TG / GN % | Total % |
|------------------------|-----|--------------|------------|-----------------------|------------|--------------|------------|-----------------------|---------|-----------------|------------|
| County of residence | | | | Age range (median: 3 | 35-49) | | | Sex at birth | | | |
| Harris | 20 | 91% | 95% | 13 to 17 | 0 | - | - | Male | 20 | 91% | 57% |
| Fort Bend | 1 | 5% | 2% | 18 to 24 | 3 | 14% | 3% | Female | 2 | 9% | 30% |
| Montgomery | 1 | 5% | 2% | 25 to 34 | 2 | 9% | 9% | Intersex | 0 | - | 9% |
| | | | | 35 to 49 | 9 | 41% | 28% | Other | 0 | - | 4% |
| | | | | 50 to 54 | 3 | 14% | 18% | Gender Identity | | | |
| | | | | 55 to 64 | 0 | - | 28% | Transgender Female | 11 | 50.0% | |
| | | | | ≥65 | 5 | 23% | 15% | Transgender Male | 2 | 9% | |
| | | | | Seniors (≥50) | 8 | 11% | 60% | Other/Non-conforming | 8 | 36% | |
| Primary race/ethnicity | | | | Sexual orientation (s | elf-repo | orted) | | Health insurance (mul | tiple r | esponse) | |
| White | 3 | 14% | 14% | Heterosexual | 4 | 19% | 57% | Private insurance | 2 | 7% | 9% |
| African American/Black | 10 | 48% | 60% | Gay/Lesbian | 6 | 29% | 30% | Medicaid/Medicare | 11 | 37% | 67% |
| Hispanic/Latino | 5 | 24% | 21% | Bisexual/Pansexual | 3 | 14% | 9% | Harris Health System | 8 | 27% | 29% |
| Asian American | 1 | 5% | 0.7% | Other | 8 | 38% | 3.8% | Ryan White Only | 7 | 23% | 24% |
| Other/Multiracial | 2 | 10% | 4.7% | | | | | None | 2 | 7% | 3% |
| Immigration status | | | | Yearly income (averag | je: \$6,68 | 38) | | | | | - |
| Born in the U.S. | 17 | 77% | 88% | Federal Poverty Leve | el (FPL) | | | | | | |
| Citizen > 5 years | 4 | 18% | 10% | Below 100% | 8 | 53% | 67% | | | | |
| Citizen < 5 years | 0 | - | 1% | 100% | 6 | 40% | 19% | | | | |
| Undocumented | 0 | - | 0.2% | 150% | 0 | - | 6% | | | | |
| Prefer not to answer | 1 | 5% | 0.7% | 200% | 0 | - | 5% | | | | |
| Other | | | 1.8% | 250% | 0 | - | - | | | | |
| | | | | ≥300% | 1 | 7% | 2% | | | | |

BARRIERS TO RETENTION IN CARE

As in the methodology for all needs assessment participants, results presented in the remaining sections of the Transgender and Gender Non-Conforming Needs Assessment Profile were statistically weighted using current HIV prevalence for the Houston EMA (2018) in order to produce proportional results (See: *Methodology*, full document).

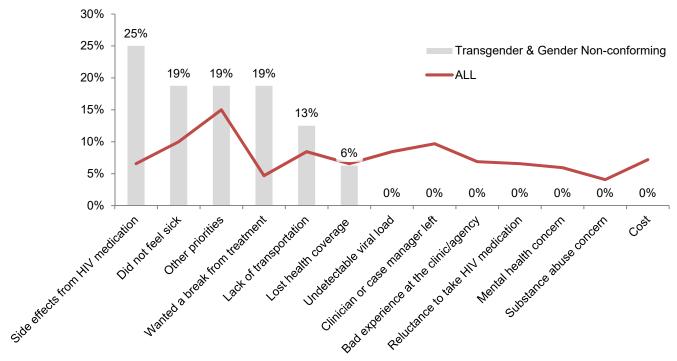
Though representing a very small overall number, the proportion of transgender and gender non-conforming participants reported a higher proportion of at least one interruption in their HIV medical care for 12 months or more since their diagnosis (42% vs 32%). Those who reported a break in HIV care for 12 month or more since first entering care were asked to identify the reasons for falling out of care. Thirteen commonly reported reasons were included as options in the consumer survey, and participants could select multiple reasons. Participants could also write-in their reasons as well.

(Graph 1) Among transgender and gender nonconforming participants, side effects from HIV medication was cited most often as the reason for interruption in HIV medical care at 25% of reported reasons. Transgender and gender non-conforming participants also reported not feeling sick, other priorities, and wanting a break from treatment as common reasons for interruption in HIV medical care (all 19%).

The largest differences in reported barriers to retention in HIV medical care between transgender and gender non-conforming participants and the total sample were in the proportions of reports of side effects from HIV medication (25% vs 7%) and wanting a break from treatment (19% vs 5%). Transgender and gender nonconforming participants did not report undetectable viral load, clinician or case manager leaving, bad experiences at clinics/agencies, reluctance to take HIV medication, mental health concerns, substance abuse concerns, and cost as barriers to retention in HIV medical care. One of the write-in responses when asked to report barriers to retention in HIV medical care was the loss of a participant's child.

GRAPH 1-Reasons for Falling Out of HIV Care among Transgender and Gender Non-conforming PLWH in the Houston Area, 2020

Definition: Percent of times each item was reported by transgender and gender non-conforming needs assessment participants as the reason they stopped their HIV care for 12 months or more since first entering care.



OVERALL RANKING OF FUNDED SERVICES, BY NEED

In 2020, 16 HIV core medical and support services were funded through the Houston Area Ryan White HIV/AIDS Program, and housing services were provided through the local HOPWA program. Participants of the 2020 Houston HIV Care

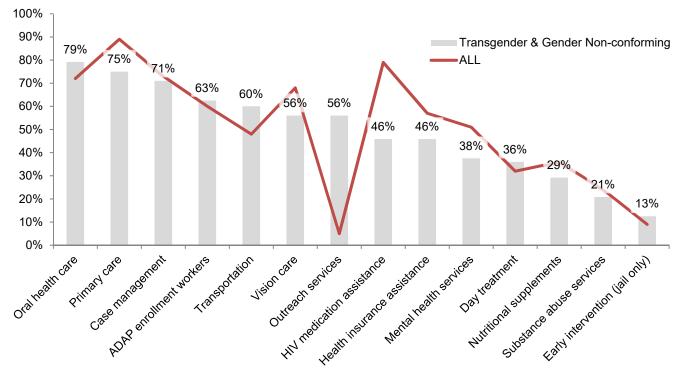
Services Needs Assessment were asked to indicate which of these funded services they needed in the past 12 months.

(**Graph 2**) Among transgender and gender nonconforming participants, oral health care was the most needed Ryan White funded service at 79% of transgender and gender non-conforming participants reporting need, followed by primary care (75%), and case management (71%).

The greatest difference between transgender and gender non-conforming participants and the total sample were in the proportions reporting need for outreach services (56% vs 5%), and transportation (60% vs 48%). Transgender and gender non-conforming participants reported lower proportions of need for HIV medication assistance (46% vs 79%), primary care (46% vs 79%), and mental health services (38% vs 51%).

GRAPH 2-Ranking of HIV Services among Transgender and Gender Non-conforming PLWH in the Houston Area, By Need, 2020

Definition: Percent of transgender and gender non-conforming needs assessment participants stating they needed the service in the past 12 months, regardless of ease or difficulty accessing the service.



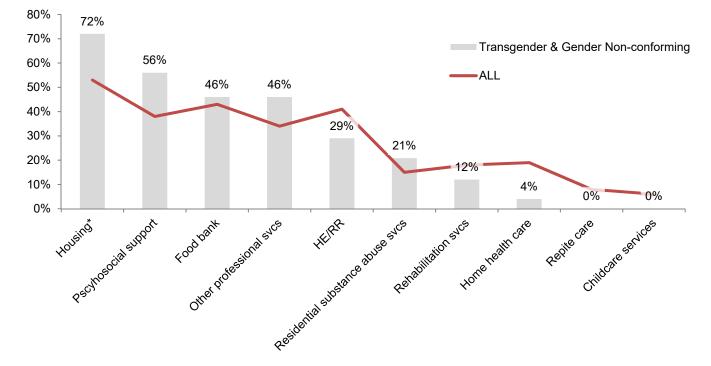
Other Identified Needs

In 2020, 10 other/non-Ryan White funded HIVrelated services were assessed to determine emerging needs for PLWH in the Houston area. Participants of the 2020 Houston HIV Care Services Needs Assessment were asked to indicate which of these other/non-Ryan White funded HIV-related services they needed in the past 12 months.

(**Graph 3**) In general, transgender and gender nonconforming participants reported a higher need for services skewed to meet psychological and safety needs like housing (72%), psychosocial support (56%), food bank (46%) and other professional services (46%). When compared to the reported other needs by the total sample, a greater proportion of transgender and gender non-conforming participants reported needing housing (72% vs 53%), psychosocial support (56% vs 28%), and other professional services (46% vs 34%). Lower proportions of transgender and gender nonconforming participants reported a need for home health care (4% vs 19%), and health education and risk reduction services (29% vs 41%).

GRAPH 3-Other Needs for HIV Services among Transgender and Gender Non-conforming PLWH in the Houston Area, 2020

Definition: Percent of transgender and gender non-conforming needs assessment participants, who selected each service in response to the survey question, "What other kinds of services do you need to help you get your HIV medical care?"
*These services are not currently funded by the Ryan White program; however, they are available through the Housing Opportunities for People with AIDS (HOPWA) program.



OVERALL BARRIERS TO HIV CARE

The 2020 Houston Area HIV Needs Assessment process continued the practice of reporting difficulty accessing needed services to provide a brief description of the barrier or barriers encountered, rather than select from a list of pre-selected barriers. Staff used recursive abstraction to categorize participant descriptions into 39 district barriers. These barriers were then grouped together into 12 nodes, or barrier types.

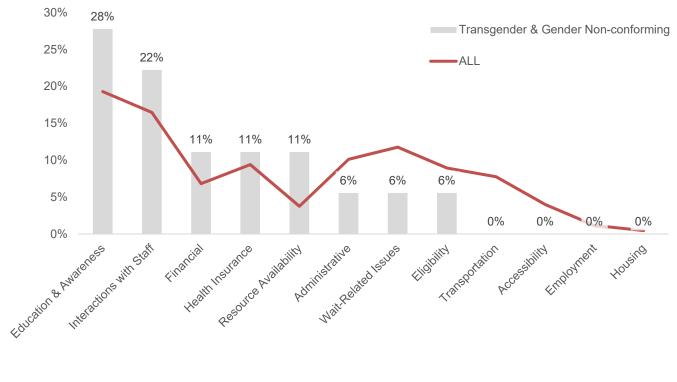
(**Graph 4**) Only 12 transgender and gender nonconforming participants cited barriers to HIV care services. As this group comprises only 50% of all transgender and gender non-conforming participants and 3.9% of the total sample, great care should be taken in applying data and conclusions from Graph 4 to the greater Houston area transgender and gender non-conforming PLWH populations.

Overall, the barrier types reported most often among transgender and gender non-conforming participants related to education and awareness (28%), and interactions with staff (22%). Transgender and gender non-conforming participants also reported interactions with staff, financial barriers, and health insurance (all 11%) as barriers to HIV medical care.

Due to the small number of transgender and gender non-conforming participants reporting barriers to HIV care services, comparison of barrier types between transgender and gender non-conforming participants and the total sample would not be generalizable and are not reported here.

GRAPH 4-Ranking of Types of Barriers to HIV Services among Transgender and Gender Non-conforming PLWH in the Houston Area, 2020

Definition: Percent of times each barrier type was reported by transgender and gender non-conforming needs assessment participants, regardless of service, when difficulty accessing needed services was reported.

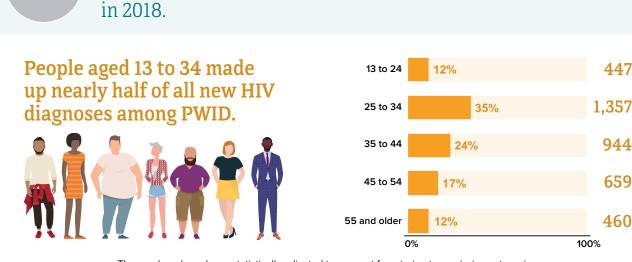


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Baral, S. D., Poteat, T., Stromdahl, S., Wirtz, A. L., Guadamuz, T. E., & Beyrer, C. (2013). Worldwide Burden of HIV in Transgender Women: A Systematic Review and Meta-Analysis. *The Lancet Infections Diseases*, 214-222.

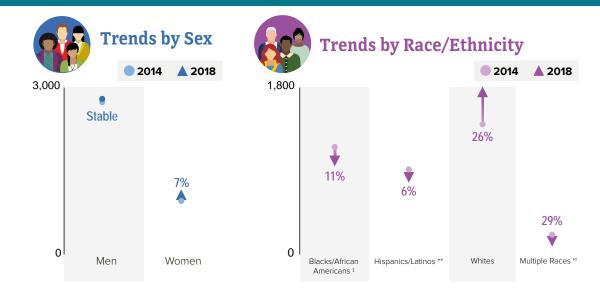
HIV and People Who Inject Drugs

People who inject drugs (PWID)* made up 10% (3,864) of the **37,968 NEW HIV DIAGNOSES** in the US and dependent areas⁺



The numbers have been statistically adjusted to account for missing transmission categories. Values may not equal the total number of PWID who received an HIV diagnosis in 2018.

From 2014 to 2018, HIV diagnoses remained stable among PWID overall. While progress has been made with reducing HIV diagnoses among some groups of PWID, efforts will continue to focus on lowering diagnoses among all PWID.



* Includes infections attributed to male-to-male sexual contact and injection drug use (men who reported both risk factors)

⁺ American Samoa, Guam, the Northern Mariana Islands, Puerto Rico, the Republic of Palau, and the US Virgin Islands.

+ Black refers to people having origins in any of the Black racial groups of Africa. African American is a term often used for people of African descent with ancestry in North America.

- ** Hispanic/Latino people can be of any race
- " Changes in subpopulations with fewer HIV diagnoses can lead to a large percentage increase or decrease.
- # In 50 states and the District of Columbia.



Centers for Disease Control and Prevention National Center for HIV/AIDS, Viral Hepatitis, STD, and TB Prevention

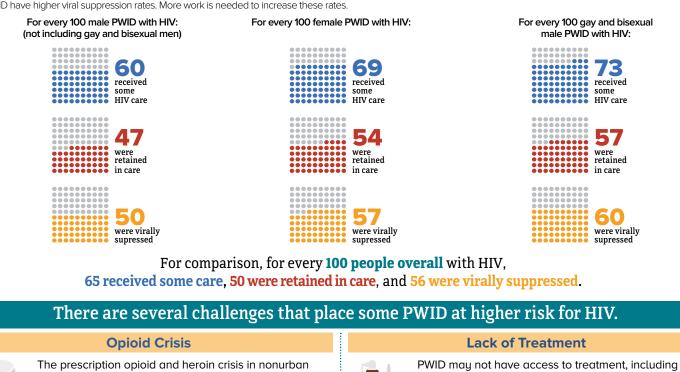
PWID who don't know they have HIV can't get the care and treatment they need to stay healthy.

At the end of 2018, an estimated **1.2 MILLION PEOPLE** had HIV. Of those, 186,500 were among people with HIV attributed to injection drug use.^{‡‡}



It is important for PWID to know their HIV status so they can take medicine to treat HIV if they have the virus. Taking HIV medicine every day can make the viral load undetectable. People who get and keep an undetectable viral load (or remain virally suppressed) can stay healthy for many years and have effectively no risk of transmitting HIV to their sex partners. Keeping an undetectable viral load also likely reduces the risk of transmitting HIV through shared needles, syringes, or other drug injection equipment, though we don't know by how much.

Compared to all people with HIV, male PWID have lower viral suppression rates, female PWID have about the same viral suppression rates, and gay and bisexual male PWID have higher viral suppression rates. More work is needed to increase these rates.



areas has led to increased numbers of PWID and new populations being at risk. These areas have limited access to HIV services and substance use disorder treatment.

Other Diseases

PWID are at risk for getting blood-borne diseases such as viral hepatitis and other sexually transmitted diseases (STDs). Having another STD can greatly increase the likelihood of getting or transmitting HIV through sex.



PWID may not have access to treatment, including medication-assisted treatment (MAT) and medication for opioid use disorder (MOUD). MAT and MOUD can lower HIV risk by reducing injection drug use.

Lack of Prevention Programs

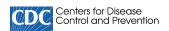
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Some PWID may not have access to effective syringe services programs (SSPs). SSPs provide access to sterile needles and syringes, facilitate safe disposal of used syringes, and most provide HIV testing and linkage to care.

How is CDC making a difference for PWID? Collecting and analyzing data and Supporting community organizations that increase access to HIV testing and care. monitoring HIV trends. Conducting prevention research and providing Promoting testing, prevention, and treatment guidance to those working in HIV prevention. through the Let's Stop HIV Together campaign. Supporting health departments and community-based Strengthening successful HIV prevention programs Ending the HIV organizations by funding HIV prevention work and and supporting new efforts funded through the • 🕒 • providing technical assistance. Epidemic Ending the HIV Epidemic initiative.

For more information about HIV surveillance data, read the "Technical Notes" in the HIV surveillance reports at www.cdc.gov/hiv/library/reports/hiv-surveillance.html

For more information visit www.cdc.gov/hiv



HIV AND INJECTION DRUG USE



Sharing needles, syringes, or other drug injection equipment—for example, cookers—puts people at risk for getting or transmitting HIV and other infections.

LEARN ABOUT YOUR HIV RISK AND HOW TO LOWER IT



About 1 in 10 new HIV diagnoses in the United States are attributed to injection drug use or male-to-male sexual contact and injection drug use (men who report both risk factors).



Risk of HIV

The risk for getting or transmitting HIV is very high if an HIV-negative person uses injection equipment that someone with HIV has used. This is because the needles, syringes, or other injection equipment may have blood in them, and blood can carry HIV. HIV can survive in a used syringe for up to 42 days, depending on temperature and other factors.^a

Substance use disorder can also increase the risk of getting HIV through sex. When people are under the influence of substances, they are more likely to engage in risky sexual behaviors, such as having anal or vaginal sex without protection (like a condom or medicine to prevent or treat HIV), having sex with multiple partners, or trading sex for money or drugs.

1/160 An HIV-negative person has a 1 in 160 chance of getting HIV every time they use a needle that has been used by someone with HIV. someone with HIV.

Sharing syringes is the second-riskiest behavior for getting HIV. Receptive anal sex is the riskiest.

Risk of Other Infections and Overdose

Sharing needles, syringes, or other injection equipment also puts people at risk for getting viral hepatitis. People who inject drugs should talk to a health care provider about getting a blood test for hepatitis B and C and getting vaccinated for hepatitis A and B.

In addition to being at risk for HIV and viral hepatitis, people who inject drugs can have other serious health problems, like skin infections and heart infections. People can also overdose and get very sick or even die from having too many drugs or too much of one drug in their body or from products that may be mixed with the drugs without their knowledge (for example, fentanyl).

^a Abdala N, Reyes R, Carney JM, Heimer R. Survival of HIV-1 in syringes: effects of temperature during storage 🗹 . Subst Use Misuse 2000;35(10):1369–83.



HRSA's Ryan White HIV/AIDS Program Addressing the HIV Care Needs of People With HIV in State Prisons and Local Jails Technical Expert Panel Executive Summary

Policy Clarification Notice (PCN) 18-02 provides clarification to Ryan White **HIV/AIDS Program (RWHAP)** recipients and demonstrates the flexibility in the use of **RWHAP** funds to provide core medical services and support services (described in PCN 16-02 Ryan White HIV/AIDS **Program Services: Eligible** Individuals and Allowable Uses of Funds) for people with HIV who are incarcerated or otherwise justice involved. There are differences between how an RWHAP recipient can collaborate with a federal or state facility versus a local correctional facility. These distinctions are based on the administrative entity (federal or state vs. local) relative to the payor of last resort statutory requirement for RWHAP recipients. The **RWHAP** statute specifies that payor of last resort applies to federal or state payers-like prisons operated by the Federal Bureau of Prisons or a state department of corrections. The provision does not mention local payors; as such, payor of last resort is not applicable. However, the RWHAP cannot duplicate existing services.

The Health Resources and Services Administration (HRSA) HIV/AIDS Bureau (HAB), which oversees the Ryan White HIV/AIDS Program (RWHAP), convened a Technical Expert Panel (TEP) in March 2020 to explore the HIV care needs of people with HIV in state prisons and local jails and the role the RWHAP can play in addressing these needs. The purpose of this panel was to identify supports and barriers to HIV care and treatment in correctional facilities, as well as community re-entry and current approaches and guidance under HAB Policy Clarification Notice (PCN) <u>18-02</u>, The Use of Ryan White HIV/AIDS Program Funds for Core Medical Services and Support Services for People Living With HIV Who Are Incarcerated and Justice Involved. The term "justice involved" is used by U.S. government agencies to refer to any person who is engaged at any point along the continuum of the criminal justice system as a defendant (including arrest, incarceration, and community supervision).

- Federal and State Prison Systems. RWHAP recipients may provide RWHAP core medical and support services to people with HIV who are incarcerated in federal or state prisons on a transitional basis where those services are not provided by the correctional facility. HRSA HAB defers to recipients/subrecipients to define the time limitation, which generally is up to 180 days. RWHAP recipients/subrecipients work with the correctional systems/facilities to define both the nature of the services based on identified HIV-related needs and the duration for which the services are offered.
- Other Correctional Systems. RWHAP recipients may provide RWHAP core medical and support services to people with HIV who are incarcerated in other correctional facilities on a short-term or transitional basis. RWHAP recipients/subrecipients work with the correctional systems/facilities to define both the nature of the services based on identified HIV-related needs and the duration for which the services are offered, which may be the duration of incarceration. If core medical and support services are being provided on a short-term basis, HAB recommends that RWHAP recipients also provide services on a transitional basis. For these systems, RWHAP cannot duplicate existing services.

The following TEP Executive Summary includes the following sections:

- > Considerations for Improving HIV Treatment for People With HIV Who Are Justice Involved
- > Issues Related to Providing HIV Care and Treatment in Correctional Settings
- > Issues Related to HIV Care During Re-Entry
- > Data Considerations

CONSIDERATIONS FOR IMPROVING HIV TREATMENT FOR PEOPLE WITH HIV WHO ARE JUSTICE INVOLVED

Over the course of the discussion, multiple themes and strategies emerged that relate to the provision of services to people with HIV who are involved in the justice system—either during incarceration, upon release, or under community supervision.

Specific Issues

- HIV-Related Stigma and Incarceration. The impact of HIV-related stigma can be exacerbated by incarceration. Breaches of confidentiality, particularly related to HIV status, can constitute a safety risk. To minimize these risks, some facilities have segregated units for people with HIV, or people with HIV may be placed in solitary confinement. These practices have been found in some instances to be discriminatory. The U.S. Department of Justice works to address discrimination complaints from people with HIV in correctional facilities. These often relate to housing, unequal access to services, and access to treatment. Stigma and discrimination also are associated with incarceration. People with HIV who have been incarcerated also may experience the effects of incarceration-related stigma and/or discrimination upon release.
- Impact of Comorbidities. People with HIV often have comorbidities, which can make HIV treatment more difficult and create barriers to linkage to and retention in care once the patient re-enters the community. Substance use disorder (SUD) presents a significant challenge, and panelists emphasized the importance of access to treatment, especially medication-assisted treatment (MAT) for opioid use disorder. Other comorbidities include mental illness, hepatitis C, sexually transmitted infections, and chronic conditions, such as cardiovascular disease.
- Holistic Services—Treating the Whole Person. To ensure optimal health outcomes, people with HIV need comprehensive services both within the correctional facility and upon release. This includes a wide range of support services, including support from peer specialists. In particular, panelists emphasized the need for SUD treatment, mental health services, care for aging individuals, and care that addresses health issues other than HIV.

Services should address not only HIV-related needs but also the social determinants of health—conditions in a person's life and environment that affect a wide range of outcomes and risks related to health, functioning, and quality of life. Challenges confronting this population include lack of a social support network, domestic violence, low levels of educational attainment, history of trauma, low health literacy, limited access to employment (especially post-incarceration), unstable housing, and a history of debt. Any one of these factors constitutes a barrier to engaging in care; combined, they present a significant challenge. Many of these issues predate incarceration and may have contributed to the person's becoming justice involved.

- Multidisciplinary Care Team/Patient-Centered Care. Key members of the team include a physician, nurse, social worker (behavioral/mental health), and case worker (support services). Other disciplines can augment the team. The patient is also an important member of the team.
- Value of Lived Experience. Peer support services can enhance the quality of care and are an important component for ensuring linkage to care in the community. Peer specialists serve in various positions, including navigator, recovery coach, re-entry coach, and community health worker.
- Creating a Bridge Between Incarceration and Community. Many barriers exist between correctional facilities and community providers, which can affect the care and services incarcerated people receive while in the facility and during their re-entry process. In some service models—such as the <u>Hampden County Model</u>—clinicians are dually based in correctional facilities and community health centers to help ensure that essential linkages are made and treatment is not interrupted.
- Challenge of Recidivism. Although multiple factors are related to recidivism, many TEP members expressed that justice-involved individuals often face insurmountable challenges upon their release due to community corrections policies, judicial mandates, and the stigma related to incarceration. These individuals also face limited options, especially related to housing and employment, which can contribute to recidivism.

ISSUES RELATED TO PROVIDING HIV CARE AND TREATMENT IN CORRECTIONAL SETTINGS

Uninterrupted access to antiretroviral medications and adherence to clinical treatment guidelines must be ensured to achieve optimal health outcomes, including viral suppression. Clinical treatment guidelines (e.g., <u>U.S. Department of Health and Human Services Guidelines for</u> <u>the Use of Antiretroviral Agents in Adults and Adolescents with HIV</u>) apply to correctional facilities. Panelists expressed concern that these guidelines may not always be followed, particularly in situations where facilities contract out for medical services.

Specific Issues

- Access to Medication Upon Entry to the Facility. Newly incarcerated individuals may experience delays in obtaining medications for multiple reasons. Not all HIV medications may be available—this depends on the formulary—so patients may be provided a different antiretroviral medication. If patients transfer to another facility, a delay in access also may occur if they run out of medication before they are provided more in the new facility.
- ▶ Access to Medication During Incarceration. Processes for dispensing medication in a facility may result in missed doses. These treatment interruptions, whether one dose or more, can impact health outcomes. Long lines (e.g., 1–2 hours) for directly observed therapy can result in patients missing doses, because they may opt to skip the line if they have work duty or a visitor or must appear in court. Sometimes after waiting in line, medications may not be available. In addition, other circumstances in a facility, such as solitary confinement or lock downs, can reduce access to medications.
- Access to Specialty Care. Correctional systems have multiple facilities with multiple buildings. Specialty care, including infectious disease specialists, may not be available in every clinic, and transfers to these specialists may not occur.

Strategies for Improving HIV Treatment and Care in Correctional Settings

- > Ensure uninterrupted access to antiretroviral medication, including access on entry, a process to track that medications are received, and such strategies as keep-on-person [KOP] medication.
- > Treat comorbidities, including substance use disorder, mental illness, and hepatitis.
- > Provide a multidisciplinary team—at a minimum, a physician, a nurse, and a social worker/case manager, with the patient as a partner.
- > Ensure dually based physicians and case managers (i.e., providers who serve the patient in both the facility and the community).
- > Use telehealth to facilitate access to HIV care and specialists, and maintain a connection to the same clinicians as the patient moves to different facilities.
- > Identify champions to advocate for the needs of patients with HIV, in the correctional system/facility, the community, or both.
- > Introduce patients to harm reduction strategies; provide services in a harm reduction framework.
- > Provide education/training for administration and correctional officers, including stigma reduction training.
- > Train clinical staff to ensure adherence to treatment guidelines.
- > Build connections with community-based organizations and community-based services and allow them access to the facility (e.g., Alcoholics Anonymous/Narcotics Anonymous).
- > Ensure that contracts for the provision of health care within correctional facilities are aligned with HIV treatment guidelines.
- > Develop standard language for requests for proposals for contracted health care services based on U.S. Department of Health and Human Services guidelines and tied to performance measures that correctional systems can use in their procurement process.
- Collect data on access to care within facilities (e.g., type of care provided, access to specialty care, viral suppression rates).
- > Encourage representation of both the department of corrections and individual facilities on RWHAP planning bodies.

Training. The lack of HIV-related information and training for administrators and staff in correctional systems/facilities can affect the care of people with HIV. County managers and correctional facility administrators (i.e., wardens) make decisions related to the resources available to facilities and the policies within facilities that may limit access to or the quality of treatment for people with HIV in those facilities. More training is necessary for clinical staff, corrections officers, and administrators to ensure an understanding of the needs of incarcerated individuals with HIV, with a particular focus on reducing stigma and discrimination in facilities. Panelists also noted the need to educate those in the corrections community about the RWHAP and the resources available to patients with HIV.

ISSUES RELATED TO HIV CARE DURING RE-ENTRY

Panelists noted that patients face multiple challenges to continuity of care during re-entry. Some of these relate to the release process, whereas others relate to disconnects between correctional facilities and services within the community.

Specific Issues

- Unpredictable Release Dates. Release dates may change, frustrating efforts to ensure a "warm handoff." Sometimes release is scheduled for late at night, which can make coordination with community partners difficult. Unpredictable release also can result in a patient's leaving the facility without their medications.
- Connecting With a Community-Based Health Care Provider. Many jurisdictions have processes in place to ensure continuity of care. However, even for systems/facilities where this is the intention, it may not take place. Patients (and staff) must navigate the system, which may include multiple payers, requirements, and processes. For example, enrolling a patient in Medicaid or the RWHAP AIDS Drug Assistance Program may or may not be possible within the facility. Some community-based providers will not make an appointment unless the patient has active insurance or Medicaid, so the patient leaves the correctional facility with no appointment. The patient must contact the provider and make an appointment after release. The Health Insurance Portability and Accountability Act (HIPAA) also plays a role. Many community-based providers will not engage with the patient's clinician within the correctional facility until the patient is released, has accessed their organization, and has signed a HIPAA release. This policy makes advanced coordination impossible.

Even if a community-based provider is selected prior to release, the process may not go smoothly. Many patients may not know where they will be living upon release and may select a provider and pharmacy that is not convenient to where they eventually live. Patients who are on Medicaid prior to release may be assigned to a provider who may not be the most appropriate to provide HIV-related care or be convenient to where the patient is living.

Although the peer navigator is considered one of the most effective bridges to treatment, many community-based organizations (CBO) report challenges getting navigators into correctional facilities so they can facilitate a warm handoff. The issue is twofold: (1) Either the CBO or the facility may lack processes for CBO staff to enter the correctional facility; and (2) peer navigators, people with similar lived experience, may have a history of incarceration and have difficulty gaining approval to access the facility.

- Access to Medications Upon Release. Even if a patient is able to line up a community-based provider before release, ensuring ongoing access to medications can be a challenge. Patients may not have sufficient supply of medication upon release to last until their first appointment, and some retail pharmacies will not fill prescriptions from correctional facilities.
- **Followup.** Followup with patients is difficult. Often, patients leave facilities without a home address or telephone number. They are located only when and if they access care.
- **Exchange of Health Information.** Many systems/facilities do not have electronic health records (EHRs), which complicates the transfer of patient information; patients arrive at their new provider with paper records.

Strategies for Improving HIV Treatment and Care During Re-Entry

- > Ensure a warm handoff (same clinician [dually based], clinician to clinician [face-to-face meeting before transfer], or establish a relationship with a new provider [via telephone]).
- > Employ peer specialists to support re-entry (e.g., navigator, addiction coach, re-entry coach).
- > Ensure that insurance/Medicaid/AIDS Drug Assistance Program is in place upon release.
- > Ensure that the first appointment with a new clinic is in place on release.
- > Follow up with patients to the extent possible, given challenges in tracking patients upon release.
- > Connect patients with essential services, especially housing.
- > Link patients to harm-reduction organizations, especially overdose prevention for the newly released.
- > Help HIV-related community-based organizations connect with correctional facilities and organizations that serve incarcerated individuals (e.g., evangelical organizations).
- > Educate correctional facilities about RWHAP.
- > Engage formerly incarcerated people with HIV in the RWHAP planning process.

DATA CONSIDERATIONS

To improve the quality of patient care and data-driven decision-making, accurate data at the patient and facility levels need to be collected. At the patient level, health outcomes (e.g., viral suppression) need to be documented. At the facility level, quality indicators related to HIV testing, access to care, and access to antiretroviral treatment are needed. Sharable electronic health records and up-to-date data sets also are needed.

Providers also should collect data related to justice involvement, but these data need to be collected in a sensitive manner. Such information includes the date of release from most recent incarceration, length of most recent incarceration, number of previous incarcerations, and history of solitary confinement.

CONCLUSION

A knowledge gap remains on how RWHAP grant funds can be used to support people with HIV who are justice involved. Opportunities exist for RWHAP recipients and correctional facilities to collaborate and ensure that people with HIV who are justice involved receive needed care and treatment, both while incarcerated and upon release.



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2020 Houston HIV Care Services Needs Assessment: Profile of the Recently Released

PROFILE OF THE RECENTLY RELEASED

Proactive efforts were made to gather a representative sample of all PLWH in the 2020 Houston HIV Care Services Needs Assessment as well as focus targeted sampling among key populations (See: *Methodology*, full document), and results presented throughout the full document include participants who were recently released. This profile highlights results *only* for participants at the time of survey, as well as comparisons to the entire needs assessment sample.

Notes: "Recently released from incarcerations" and "recently released" are defined in this analysis as

PLWH who indicated at survey that they were released from jail or prison within the past 12 months at the time of survey. Data presented in the Demographics and Socio-Economic Characteristics section of this Profile represent the *actual* survey sample, rather than the *weighted* sample presented throughout the remainder of the Profile (See: *Methodology*, full document). Proportions are not calculated with a denominator of the total number of surveys for every variable due to missing or "check all" responses.

DEMOGRAPHICS AND SOCIO-ECONOMIC CHARACTERISTICS

(**Table 1**) In total, 65 participants in the 2020 Houston HIV Care Services Needs Assessment were recently released from jail or prison within the 12 months prior to survey comprising 12% of the total sample.

Ninety-five percent (95%) of recently released participants were residing in Houston/Harris County at the time of data collection. Like all needs assessment participants, the majority of recently released participants were male (80%), African American (67%), and identified as heterosexual (60%). Among the recently released participants that were surveyed, 14% reported being out of HIV medical care, and the majority of the recently released participants had public health insurance through Medicaid or Medicare (37%), the Harris Health System (31%), and the Ryan White Program (25%).

Several differences were observed when comparing the recently released participants with the total sample of the 2020 Houston HIV Care Services Needs Assessment. Recently released participants had a higher proportion of males (80% vs 66%), individuals between the ages of 35-49 (37% vs 28%), and participants who identified as African American/Black (67% vs 60%) when compared to the total sample. Recently released participants had a lower proportion of participants who were females (20% vs 34%), participants ages 55-64 (20% vs 28%), and people who had health insurance through Medicare or Medicaid (37% vs 67%). The average annual among recently released participants who reported income was onethird less than the total sample (\$8,974 vs \$13,493).

Characteristics of recently released participants (as compared to all participants) can be summarized as follows:

- Residing in Houston/Harris County
- Male
- African American/Black
- Adults between the ages of 35 and 49
- Heterosexual
- With higher occurrences of no health insurance coverage, and lower average annual income.

TABLE 1-Select Participant Characteristics for Recently Released Participants, Houston Area HIV Needs Assessment, 2020

| | No. | Released % | Total % | | No. | Released % | Total % | | No. | Released % | Total % |
|------------------------|-----|---------------|------------|----------------|---------|---------------|------------|----------------------|---------|---------------|------------|
| County of residence | | | - | Age range (me | dian: 8 | 50-54) | | Sex at birth | | | |
| Harris | 58 | 95% | 95% | 13 to 17 | 0 | - | - | Male | 52 | 80% | 66% |
| Montgomery | 2 | 3% | 1% | 18 to 24 | 3 | 5% | 3% | Female | 13 | 20% | 34% |
| Liberty | 1 | 2% | 1% | 25 to 34 | 6 | 9% | 9% | Intersex | 0 | - | 0% |
| Other | 4 | 7% | 1.6% | 35 to 49 | 24 | 37% | 28% | Other | 0 | - | 0% |
| | | | | 50 to 54 | 15 | 23% | 18% | Transgender | 3 | 4.6% | 4% |
| | | | | 55 to 64 | 13 | 20% | 28% | Currently pregnant | 0 | - | 2% |
| | | | | ≥65 | 4 | 6% | 15% | | | | |
| | | | | Seniors (≥50) | 52 | 85% | 3% | | | | |
| Primary race/ethnicity | | | | Sexual orienta | tion | | | Health insurance (m | ultiple | response) | |
| White | 13 | 20% | 14% | Heterosexual | 38 | 60% | 57% | Private insurance | 2 | 2% | 9% |
| African American/Black | 43 | 67% | 60% | Gay/Lesbian | 18 | 29% | 30% | Medicaid/Medicare | 35 | 37% | 67% |
| Hispanic/Latino | 3 | 5% | 21% | Bisexual | 6 | 10% | 9% | Harris Health System | 29 | 31% | 29% |
| Asian American | 1 | 2% | 0.7% | Other | 1 | 2% | 3.8% | Ryan White Only | 24 | 25% | 24% |
| Other/Multiracial | 4 | 6% | 4.7% | | | | | None | 1 | 1% | 3% |
| | | | | MSM | 27 | 42% | 40% | | | | |
| Immigration status | | | | Yearly income | (averag | je: \$8,974) | | | - | | |
| Born in the U.S. | 2 | 2% | 9% | Federal Pover | ty Leve | el (FPL) | | | | | |
| Citizen > 5 years | 35 | 37% | 67% | Below 100% | 19 | 76% | 67% | | | | |
| Citizen < 5 years | 29 | 31% | 29% | 100% | 3 | 12% | 19% | | | | |
| Undocumented | 24 | 25% | 24% | 150% | 3 | 12% | 6% | | | | |
| Prefer not to answer | 1 | 1% | 3% | 200% | 0 | - | 5% | | | | |
| Other | 4 | 4% | 2% | 250% | 0 | - | - | | | | |
| | | | | ≥300% | 0 | - | 2% | | | | |

BARRIERS TO RETENTION IN CARE

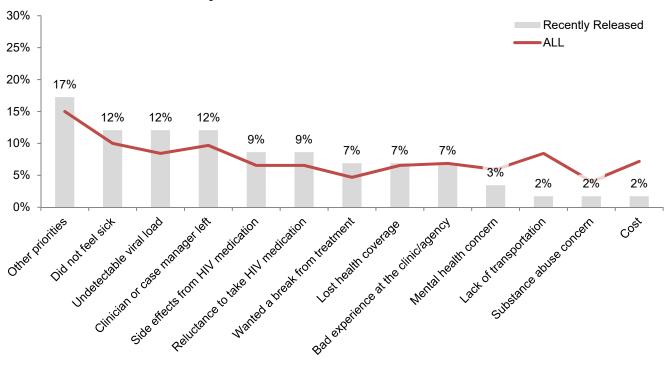
As in the methodology for all needs assessment participants, results presented in the remaining sections of this Profile were statistically weighted using current HIV prevalence for the Houston EMA (2018) in order to produce proportional results (See: *Methodology*, full document).

While 67% of all needs assessment participants reported no interruptions in their HIV care for 12 months or more since their diagnosis, 58% of recently released participants reported no interruption in care. Those who reported a break in HIV care for 12 months or more since first entering care were asked to identify the reasons for falling out of care. Thirteen commonly reported reasons were included as options in the consumer survey. Participants could also write-in their reasons.

(**Graph 1**) Among recently released participants, other priorities was cited most often as the reason for interruption in HIV medical care at 17% of the reported reasons, followed by not feeling sick, undetectable viral load, and clinician or case manager leaving the clinic/agency (all 12%).

The greatest differences between recently released participants and the total sample were in the proportions reporting an undetectable viral load (12% vs 8%) as a reason for falling out of HIV medical care. Write-in responses for this question reported the following as reasons for falling out of HIV medical care – experiencing homelessness, being hospitalized, and the loss of family member.

GRAPH 1-Reasons for Falling Out of HIV Care among Recently Released PLWH in the Houston Area, 2020 Definition: Percent of times each item was reported by recently released needs assessment participants as the reason they stopped their HIV care for 12 months or more since first entering care.



OVERALL RANKING OF FUNDED SERVICES, BY NEED

In 2020, 16 HIV care medical and support services were funded through the Houston Area Ryan White HIV/AIDS Program, and housing services were provided through the local HOPWA Program. Participants of the 2020 Houston HIV Care Services Needs Assessment were asked to indicate which of these funded services they needed in the past 12 months.

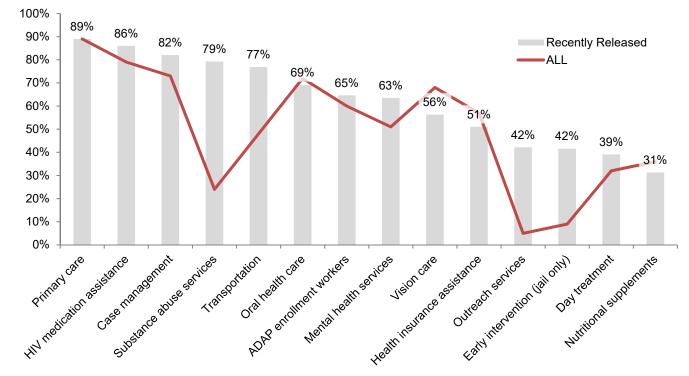
(Graph 2) Among recently released participants, primary care was the most needed funded service at

89% of recently released participants reporting a need. Recently released participants also reported a need for HIV medication assistance (86%), case management (82%), and substance abuse services (79%).

The greatest differences between recently released participants and the total sample were in the proportions reporting need for outreach services (42% vs 5%), early intervention (jail only) services (42% vs 9%), transportation (66% vs 48%) and substance abuse services (79% vs 24%).

GRAPH 2-Ranking of HIV Services among Recently Released in the Houston Area, By Need, 2020

Definition: Percent of recently released needs assessment participants stating they needed the service in the past 12 months, regardless of ease or difficulty accessing the service.



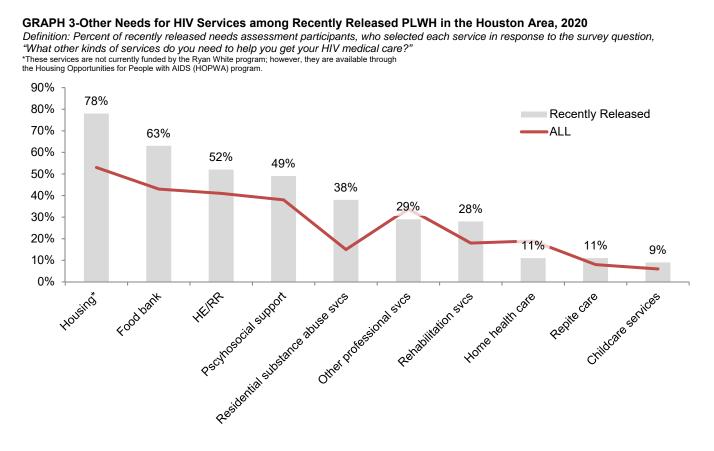
Other Identified Needs

In 2020, 10 other/non-Ryan White funded HIVrelated services were assessed to determine emerging needs for PLWH in the Houston area. Participants in the 2020 Houston HIV Care Services Needs Assessment were asked to indicate which of these other-non-Ryan White funded HIV-related services they needed in the past 12 months.

(Graph 3) From the 10 services options provided, the greatest proportion of recently released participants

reported housing (78%) as the most needed service. This was followed by food bank (63%) and health education and risk reduction services (52%).

Compared to the total sample, a greater proportion of recently released participants reported needing housing services (78% vs 53%), food bank (63% vs 43%), and residential substance abuse services (38% vs 15%).



OVERALL BARRIERS TO HIV CARE

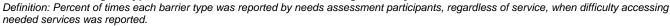
The 2020 Houston Area HIV Needs Assessment process continued the practice of reporting difficulty accessing needed services to provide a brief description of the barrier or barriers encountered, rather than select from a list of pre-selected barriers. Staff used recursive abstraction to categorize participant descriptions into 39 distinct barriers. These barriers were then grouped together into 12 nodes, or barrier types.

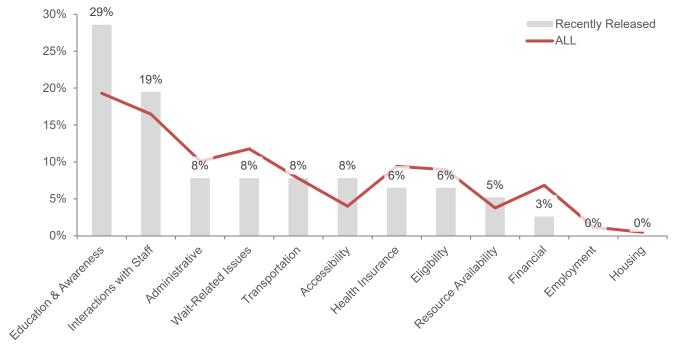
(**Graph 4**) Thirty-one (31) recently released participants cited barriers to Ryan White funded HIV care services. Recently released participants most often cited barriers related to service education & awareness

(29%), and interactions with staff at the clinic/agency (19%).

Compared to the total sample, recently released participants reported greater proportions of service education & awareness barriers (29% vs 19%), with specific barriers reported being related to not knowing a service was available and not knowing the location of the service/where the service was available in an agency as specific barriers. Recently released participants also reported a greater proportion of barriers related to accessibility (8% vs 4%), with specific barriers reported being related to the former incarceration status, i.e. being restricted from services due to probation, parole, or felon status.

GRAPH 4-Ranking of Types of Barriers to HIV Services among Recently Released PLWH in the Houston Area, 2020 Definition: Percent of times each barrier type was reported by needs assessment participants, regardless of service, when difficulty accessing





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2020 Houston HIV Care Services Needs Assessment: Profile of Rural PLWH

PROFILE OF RURAL AREAS

People living with HIV (**PLWH**) in rural areas experience the impact of HIV disproportionately and have specific HIV prevention and care needs that are much different than those seen in urban areas. The CDC estimates that 24% of all new diagnoses in the United States are within rural areas, which is more than any other region (Center for Disease Control & Prevention, 2019).

Proactive efforts were made to gather a representative sample of all PLWH in the 2020 Houston HIV Care Services Needs Assessment as well as focus targeting sampling among key populations (See: *Methodology*, full document), and results presented throughout the full document include participants who were currently living in rural areas within the Houston EMA at the time of data collection.

Note: Rural participants are defined in this analysis as PLWH who indicated at survey that they were currently residing in a county within the Houston EMA that is not Harris County. Data presented in the Demographics and Socio-Economic Characteristics section of this Profile represent the *actual* survey sample, rather than the *weighted* sample presented throughout the remainder of the Profile (See: *Methodology*, full document). Proportions are not calculated with a denominator of the total number of surveys for each variable due to missing or "check all" responses within the survey.

DEMOGRAPHICS AND SOCIO-ECONOMIC CHARACTERISTICS

(**Table 1**) In total, 29 participants in the 2020 Houston HIV Care Services Needs Assessment reported currently residing in a rural county at the time of data collection comprising 5% off the total sample.

The majority of rural needs assessment participants resided within Fort Bend County (31%) at the time of survey. Rural needs assessment participants also reported living within Montgomery County (22%), and Liberty County (9%). Like all needs assessment participants, the majority of rural needs assessment participants were male (70%), and were between the ages of 35 to 64 (70%). While most rural needs assessment participants primarily identified as Black/African American (45%) and heterosexual (45%), a high proportion of rural needs assessment participants identified as White (41%) and gay/lesbian (45%). Among rural needs assessment participants, 87% reported being retained in HIV medical care at the time of the survey, and primarily paid for medical care through Medicaid, Medicare, and the Ryan White Program.

Several differences were observed when comparing the rural needs assessment participants with the total sample of the 2020 Houston HIV Care Services Needs Assessment. Rural needs assessment participants had a higher proportion of individuals between the ages of 25 to 34 (13% vs 9%), who are seniors (78% vs 3%), who identified as transgender (7% vs 4%), individuals who identified as White (41% vs 14%), and individuals who have Ryan White to pay for their HIV medical care (24% vs 24%). Rural needs assessment participants were also more likely to have no health insurance compared to the total sample (7% vs 2%).

Rural needs assessment participants had a lower proportion of participants who had insurance through Medicare or Medicaid (37% vs 67%) or the Harris Health System (12% vs 29%). The average yearly income reported by rural needs assessment participants was \$13,544, which is slightly more than that of the total sample (\$13,544 vs \$13,493).

Characteristics of recently released participants (as compared to all participants) can be summarized as follows:

- Residing in Fort Bend County
- Male
- African American/Black as well as White
- Adults between the ages of 35 and 64
- Heterosexual as well as Gay/Lesbian
- With higher occurrences of no health insurance coverage, and use of public health insurance.

| | No. | Rural % | Total % | | No. | Rural % | Total % | | No. | Rural % | Tota % |
|---|-----|------------|------------|-----------------------------------|-------|------------|------------|--------------------------------------|-----|------------|-----------|
| County of residence | | | | Age range (median: | 50-54 |) | | Sex at birth | | | |
| Fort Bend | 10 | 31% | 2% | 13-17 | 0 | - | - | Male | 21 | 70% | 66% |
| Montgomery | 7 | 22% | 1% | 18-24 | 2 | 7% | 3% | Female | 9 | 30% | 34% |
| Liberty | 3 | 9% | 0.5% | 25-34 | 4 | 13% | 9% | Intersex | 0 | - | 0% |
| Other* | 12 | 38% | 1.6% | 35-49 | 8 | 27% | 28% | Other | 0 | - | 0% |
| *Other includes: Colorado, Walker and Waller County | | | | 50-54 | 4 | 13% | 18% | Transgender | 2 | 6.7% | 4% |
| | | | | 55-64 | 9 | 30% | 28% | Currently pregnant | 0 | 0.0% | 2% |
| | | | | ≥65 | 3 | 10% | 15% | | | | |
| | | | | Seniors (≥50) | 21 | 78% | 3% | | | | |
| Primary race/ethnicity | | | | Sexual orientation | | | | Health insurance (multiple response) | | | |
| White | 12 | 41% | 14% | Heterosexual | 13 | 45% | 57% | Private insurance | 3 | 7% | 9% |
| African American/Black | 13 | 45% | 60% | Gay/Lesbian | 13 | 45% | 30% | Medicaid/Medicare | 15 | 37% | 67% |
| Hispanic/Latino | 3 | 10% | 21% | Bisexual/Pansexual | 3 | 10% | 9% | Harris Health System | 5 | 12% | 29% |
| Asian American | 0 | - | 0.7% | Other | 0 | - | 3.8% | Ryan White Only | 14 | 34% | 24% |
| Other/Multiracial | 1 | 3% | 4.7% | | | | | VA | 1 | 2% | 3% |
| | | | | MSM | `16 | 52% | 41% | | | | |
| Immigration status | | | | Yearly income (average: \$13,544) | | | | | | | |
| Born in the U.S. | 27 | 90% | 88% | Federal Poverty Level (FPL) | | | | | | | |
| Citizen > 5 years | 2 | 7% | 10% | Below 100% | 4 | 33% | 67% | | | | |
| Citizen < 5 years | 0 | - | 1% | 100% | 8 | 67% | 19% | | | | |
| Visa (student, work, tourist, etc.) | 1 | 3% | 0.2% | 150% | 0 | - | 6% | | | | |
| Prefer not to answer | 0 | - | 0.7% | 200% | 0 | - | 5% | | | | |
| Born in the U.S. | 27 | 90% | 88% | 250% | 0 | - | - | | | | |
| | | | | ≥300% | 0 | - | 2% | | | | |

BARRIERS TO RETENTION IN CARE

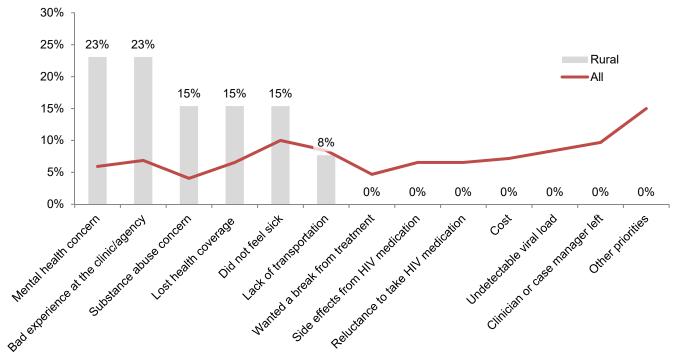
As in the methodology for all needs assessment participants, results presented in the remaining sections of this Profile were statistically weighted using current HIV prevalence for the Houston EMA (2018) in order to produce proportional results (See: *Methodology*, full document).

While 67% of all needs assessment participants reported no interruptions in their HIV care for 12 months or more since their diagnosis, 80% of rural participants reported no interruptions in their HIV care for 12 months or more since their diagnosis. Those who reported a break in HIV care for 12 months or more since first entering care were asked to identify the reasons for falling out of care. Thirteen commonly reported reasons were included as options in the consumer survey. Participants could also write in their reasons. (**Graph 1**) Among rural needs assessment participants, bad experiences at the clinic/agency and mental health concerns was the most cited most often as the reasons for interruption in HIV medical care both at 23% of the reported reasons. The next most cited reasons for interruptions in HIC medical care were not feeling sick, loss of health coverage, and substance abuse concerns (all 15%).

The greatest differences between rural needs assessment participants and the total sample were the proportions reporting mental health concerns (23% vs 6%), bad experiences at the clinic/agency (23% vs 7%), substance abuse concerns (15% vs 4%), loss of health care coverage (15% vs 7%), and not feeling sick (15% vs 10%) as reasons for interruption in HIV medical care. Rural needs assessment participants provided no write in responses.

GRAPH 1-Reasons for Falling Out of HIV Care among Rural PLWH in the Houston Area, 2020

Definition: Percent of times each item was reported by rural needs assessment participants as the reason they stopped their HIV care for 12 months or more since first entering care.



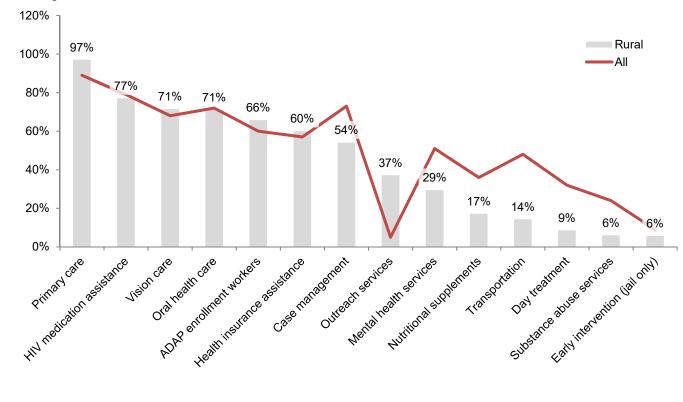
OVERALL RANKING OF FUNDED SERVICES, BY NEED

In 2020, 16 HIV care medical and support services were funded through the Houston Area Ryan White HIV/AIDS Program, and housing services were provided through the local HOPWA program. Participants of the 2020 Houston HIV Care Services Needs Assessment were asked to indicate which of these funded services they needed in the past 12 months.

(Graph 2) Among rural participants, primary care was the most needed funded service at 97% of rural participants reporting a need. Rural participants also indicated needs for local HIV medication assistance (77%), vision care (71%), and oral health care (71%). The greatest differences between rural needs assessment participants and the total sample were in the proportions reporting need for outreach services (37% vs 5%), primary care (97% vs 89%), ADAP enrollment workers (66% vs 60%), and vision care (71% vs 68%).

GRAPH 2-Ranking of HIV Services among Rural PLWH, By Need, 2020

Definition: Percent of rural needs assessment participants stating they needed the service in the past 12 months, regardless of ease or difficulty accessing the service.



Other Identified Needs

In 2020, 10 other/non-Ryan White Funded HIVrelated services were assessed to determine emerging needs for PLWH in the Houston area. Participants in the 2020 Houston HIV Care Services Needs Assessment were asked to indicate which of these other/non-Ryan White funded services they needed in the past 12 months.

(**Graph 5**) From the 10 service options provided, rural needs assessment participants reported health education & risk reduction services (44%) as the most needed other/non-Ryan White Funded HIV-related service. Rural needs assessment participants also cited

other professional services (43%), and food bank services (26%) as needed other/non-Ryan White Funded HIV-related services.

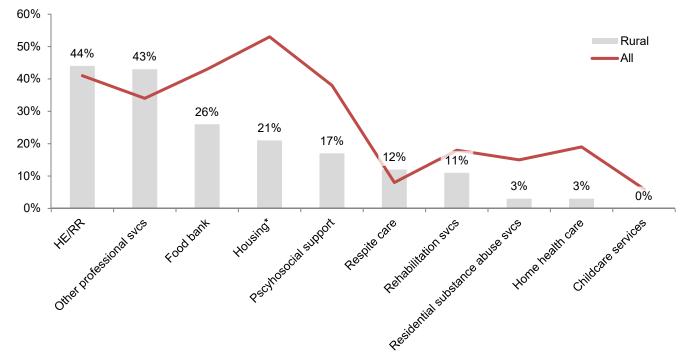
Overall when compared to the total sample rural needs assessment participants reported less need for other/non-Ryan White Funded HIV-related services; however, a greater proportion of rural needs assessment participants reported need for other professional services (43% vs 34%), respite care (12% vs 8%), and health education & risk reduction services (44% vs 41%).

GRAPH 3-Other Needs for HIV Services among Rural PLWH, 2020

Definition: Percent of rural needs assessment participants, who selected each service in response to the survey question,

"What other kinds of services do you need to help you get your HIV medical care?"

*These services are not currently funded by the Ryan White program; however, they are available through the Housing Opportunities for People with AIDS (HOPWA) program.



OVERALL BARRIERS TO HIV CARE

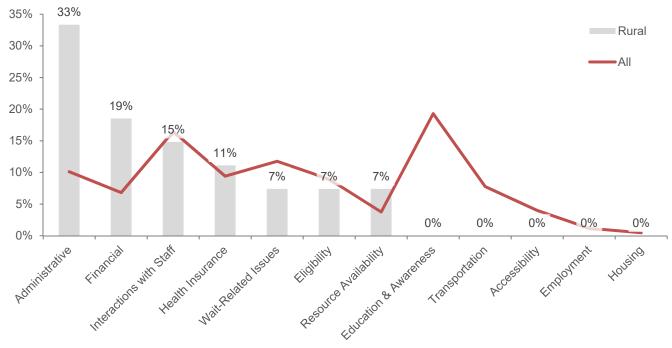
The 2020 Houston Area HIV Needs Assessment process continued the practice of reporting difficulty accessing needed services to provide a brief description of the barrier or barriers encountered, rather than select from a list of pre-selected barriers. Staff used recursive abstraction to categorize participant descriptions into 39 distinct barriers. These barriers were then groups together into 12 nodes, or barrier types.

(**Graph 4**) Thirteen (13) rural needs assessment participants cited barriers to Ryan White funded HIV care services. Rural needs assessment participants most cited barrier type was administrative related (33%); with complex processes, dismissal from the agency or clinic and understaffing being the barriers reported. Rural needs assessment participants also reported financial barriers (19%), not being able to pay for services, and interactions with staff (15%) as reported barrier types. Barriers related to interactions with staff reported by rural needs assessment participants were related to poor treatment by clinic or agency staff, and poor correspondence or follow from staff.

Compared to the total sample, rural needs assessment participants reported greater proportions of service administrative barriers (33% vs 10%), financial barriers (19% vs 7%), and barriers due to resource availability (7% vs 4%).

GRAPH 4-Ranking of Types of Barriers to HIV Services among Rural PLWH, 2020

Definition: Percent of times each barrier type was reported by needs assessment participants, regardless of service, when difficulty accessing needed services was reported.



Works Cited

Centers for Disease Control and Prevention. (2019, September). *Diagnoses of HIV Infection in the United States and Dependent Areas, 2019.* Retrieved from https://www.cdc.gov/hiv/pdf/policies/cdc-hiv-in-the-south-issue-brief.pdf

For more information or a copy of the full 2020 Houston HIV Care Services Needs Assessment contact: Houston Area Ryan White Planning Council 2223 West Loop South #240 Houston, TX 77027 Tel: (832) 927-7926 Fax: (713) 572-3740 Web: rwpchouston.org